

Moore Anthony L  
Form 4  
May 11, 2018

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Moore Anthony L

(Last) (First) (Middle)  
1572 STEAMBOAT ROAD  
(Street)

GILBERTSVILLE, KY 42044

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
STONERIDGE INC [SRI]

3. Date of Earliest Transaction (Month/Day/Year)  
05/10/2018

4. If Amendment, Date Original Filed (Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)  
Vice President of Operations

6. Individual or Joint/Group Filing (Check Applicable Line)  
 Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)		
				(A) or (D)	Price				
Common Shares, without par value	05/10/2018		M	6,793	A	Ⓛ	6,793	D	
Common Shares, without par value	05/10/2018		F	2,061	D	\$	28.98	4,732	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not**

SEC 1474 (9-02)

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**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price or Value of Underlying Securities (Instr. 3 and 4)
Share Units	(1)	05/10/2018		M	6,793	(1) (1)	Common Shares, without par value	6,793 \$

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Moore Anthony L 1572 STEAMBOAT ROAD GILBERTSVILLE, KY 42044			Vice President of Operations	

## Signatures

/s/ Robert M. Loesch, by power of attorney  
05/11/2018

\*\*Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

In May 2016 the Reporting Person was granted share units (sometimes called performance shares) under the Company's LTIP. The May 2016 share units, which are contingent on continued employment through the second anniversary of the date of grant, once earned are payable in common shares on a one-for-one basis. The May 2106 grant was earned (vested) on May 10, 2018 on paid in common shares.

(2) This amount represents grants made to the Reporting Person under the Company's Long-Term Incentive Plan in 2016, 2017 and 2018 of either (i) share units, which are time-based, and paid on a one-for-one basis in common shares on the third anniversary from the date of grant if the Reporting Person is still then employed by the Company, and (ii) performance shares, which are time-based and Company performance-based, and are also paid, if earned, on a one-for-one basis in common shares on the third anniversary from the date of grant if the Reporting Person is still then employed by the Company and based on the Company's performance over a three year performance period.

## Edgar Filing: Moore Anthony L - Form 4

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.  
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