

SHAW RUTH G  
Form 4  
January 10, 2007

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
SHAW RUTH G

(Last) (First) (Middle)  
526 S. CHURCH STREET  
  
(Street)

CHARLOTTE, NC 28202

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
Duke Energy CORP [DUK]

3. Date of Earliest Transaction  
(Month/Day/Year)  
01/08/2007

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_ Director \_\_\_ 10% Owner  
 Officer (give title below) \_\_\_ Other (specify below)  
Exec Adv to Chairman, Pres & CEO

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
\_\_\_ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
				(A) or (D)	Code V Amount (D) Price		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

1. Title of Derivative Security	2. Conversion or Exercise	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any	4. Transaction Code	5. Number of Derivative Securities Acquired (A) or	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Security (Instr. 3 and 4)
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(Instr. 3)	Price of Derivative Security	(Month/Day/Year)	(Instr. 8) Code	Disposed of (D)		Date Exercisable	Expiration Date	Title	A
				V	(A)				
Stock Option (Right to Buy)	\$ 29.47	01/08/2007	D <sup>(1)</sup>		200,000	04/16/2003	04/16/2008	Common Stock	2
Stock Option (Right to Buy)	\$ 16.79	01/08/2007	A <sup>(1)</sup>	200,000		04/16/2003	04/16/2008	Common Stock	2
Stock Option (Right to Buy)	\$ 24.88	01/08/2007	D <sup>(1)</sup>		93,000	12/20/2004	12/20/2009	Common Stock	9
Stock Option (Right to Buy)	\$ 14.17	01/08/2007	A <sup>(1)</sup>	93,000		12/20/2004	12/20/2009	Common Stock	9
Stock Option (Right to Buy)	\$ 42.81	01/08/2007	D <sup>(1)</sup>		123,600	12/20/2005	12/20/2010	Common Stock	1
Stock Option (Right to Buy)	\$ 24.38	01/08/2007	A <sup>(1)</sup>	123,600		12/20/2005	12/20/2010	Common Stock	1
Stock Option (Right to Buy)	\$ 37.68	01/08/2007	D <sup>(1)</sup>		91,500	12/19/2005	12/19/2011	Common Stock	9
Stock Option (Right to Buy)	\$ 21.46	01/08/2007	A <sup>(1)</sup>	91,500		12/19/2005	12/19/2011	Common Stock	9
Stock Option (Right to Buy)	\$ 13.77	01/08/2007	D <sup>(1)</sup>		110,000	<sup>(2)</sup>	02/25/2013	Common Stock	1
Stock Option (Right to Buy)	\$ 7.84	01/08/2007	A <sup>(1)</sup>	110,000		<sup>(2)</sup>	02/25/2013	Common Stock	1

