

Jung Holger R.
Form 4
October 02, 2017

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Jung Holger R.

(Last) (First) (Middle)

C/O KRATON CORPORATION, 15710 JOHN F. KENNEDY BLVD. SUITE 300

(Street)

HOUSTON, TX 77032

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
Kraton Corp [KRA]

3. Date of Earliest Transaction (Month/Day/Year)
09/29/2017

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)
SVP & Polymer Seg. President

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
| | | | | (A) or (D) | Price | | |
| Common Stock | 09/29/2017 ⁽¹⁾ | | M | 6,234 | A \$ 27.98 | 48,819 | D |
| Common Stock | 09/29/2017 | | S | 6,234 | D \$ 39.5 | 42,585 | D |
| Common Stock | 09/29/2017 ⁽¹⁾ | | M | 6,519 | A \$ 23.84 | 49,104 | D |
| Common Stock | 09/29/2017 | | S | 6,519 | D \$ 38 | 42,585 | D |
| Common Stock | 10/02/2017 ⁽¹⁾ | | M | 8,449 | A \$ 28.42 | 51,034 | D |

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Common Stock 10/02/2017 S 8,449 D \$ 41 42,585 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Amount or Number of Shares |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|-------------------------------|
| Employee Options (Right to Buy) | \$ 27.98 | 09/29/2017 ⁽¹⁾ | | M | 6,234 | ⁽²⁾ 03/03/2024 | Common Stock | 6,234 |
| Employee Options (Right to Buy) | \$ 23.84 | 09/29/2017 ⁽¹⁾ | | M | 6,519 | ⁽²⁾ 03/04/2023 | Common Stock | 6,519 |
| Employee Options (Right to Buy) | \$ 28.42 | 10/02/2017 ⁽¹⁾ | | M | 8,449 | ⁽²⁾ 03/05/2025 | Common Stock | 8,449 |

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

Jung Holger R.
C/O KRATON CORPORATION
15710 JOHN F. KENNEDY BLVD. SUITE 300
HOUSTON, TX 77032

SVP & Polymer Seg. President

Signatures

/s/ James L. Simmons as Attorney-in-Fact for Holger R.
Jung

10/02/2017

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The transactions reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan established by the reporting person on August 8, 2017
- (2) The options vested with respect to one-third of the underlying common stock on each of the first three anniversaries of the grant date, subject to the executive's being employed by the company on such vesting dates.

Remarks:

The transactions reported above in Table I reflect the cashless exercise of stock options. The cashless exercise for each set of c

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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