Kam Ryan Form 4 November 05, 2018

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

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response...

if no longer subject to Section 16. Form 4 or

Check this box

Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

See Instruction

1. Name and Address of Reporting Person *

Kam Ryan

2. Issuer Name and Ticker or Trading

Symbol

Five9, Inc. [FIVN]

(Last)

(City)

Common

Stock

(Middle)

3. Date of Earliest Transaction

(Month/Day/Year)

11/02/2018

(Check all applicable) 10% Owner

5. Relationship of Reporting Person(s) to

X_ Officer (give title below)

Other (specify

C/O FIVE9, INC., BISHOP RANCH 8, 4000 EXECUTIVE PARKWAY,

(Street)

(State)

11/02/2018

(First)

#400

4. If Amendment, Date Original

A

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Chief Marketing Officer

Applicable Line)

Director

X Form filed by One Reporting Person Form filed by More than One Reporting

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Issuer

SAN RAMON, CA 94583

1. Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3) (Month/Day/Year)

(Zip)

3. 4. Securities Acquired 5. Amount of Transaction(A) or Disposed of Code (D) (Instr. 3, 4 and 5) (Instr. 8) (A)

Securities Beneficially Owned Following Reported Transaction(s)

6. Ownership 7. Nature of Form: Direct Indirect (D) or Beneficial Ownership Indirect (I) (Instr. 4) (Instr. 4)

or (D) Amount

Code V Price

31.421

(Instr. 3 and 4)

Α

\$0

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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31,421

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of or Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exer Expiration D (Month/Day)	Pate	7. Title and A Underlying S (Instr. 3 and	Securities
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Common Stock	\$ 39.35	11/02/2018		A	68,475	<u>(1)</u>	11/02/2028	Common Stock	68,475

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Kam Ryan C/O FIVE9, INC., BISHOP RANCH 8 4000 EXECUTIVE PARKWAY, #400 SAN RAMON, CA 94583

Chief Marketing Officer

Signatures

/s/ David Hill, attorney in fact 11/05/2018

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The option vests as to 25% of the total number of shares on the one-year anniversary of the date of grant, and in 36 equal installments each month thereafter.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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