Edgar Filing: BROCK THOMAS W - Form 4

BROCK THO Form 4	OMAS W										
February 20,	2019										
FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION								OMB APPROVAL			
	UNITE	ITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549						OMB Number:	3235-0287		
Check thi if no long subject to Section 1 Form 4 or Form 5	6. Filed p		GES IN BENEFICIAL OWNERSHIP OF SECURITIES (a) of the Securities Exchange Act of 1934,					Expires: January 31 200 Estimated average burden hours per response 0.			
obligation may cont <i>See</i> Instru 1(b).	inue. Section 1		Public Ut of the In	•	•	· ·		1935 or Section	I		
(Print or Type R	Responses)										
BROCK THOMAS W Symbol LIBER			TY ALL STAR EQUITY			5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
			FUND [_				× ×			
1290 BROADWAY, SUITE 1100 (Month/E) (Street) 4. If Ame				ate of Earliest Transaction nth/Day/Year) 20/2019			1	Director 10% Owner Officer (give titleX Other (specify below) below) Trustee			
			Ionth/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person				
DENVER, O	CO 80203							Form filed by Mo Person			
(City)	(State)	(Zip)	Table	e I - Non-D	erivative	Secur	ities Acqu	ired, Disposed of,	or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction D (Month/Day/Yea	ar) Execution any	emed on Date, if Day/Year)	3. Transactio Code (Instr. 8)	4. Securi or(A) or D (Instr. 3,	ispose	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Shares of Beneficial Interest	02/20/2019			Code V P	Amount 800	(D) A	Price \$ 6.0865	(Instr. 3 and 4) 1,800	I	By Spouse	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. ofNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Titl Amou Under Securi (Instr.	int of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owna Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Addre	ess	Relationships						
	Director	10% Owner	Officer	Other				
BROCK THOMAS W 1290 BROADWAY SUITE 1100 DENVER, CO 80203				Trustee				
Signatures								
/s/ Thomas W. Brock	02/20/2019							
<pre>**Signature of Reporting Person</pre>	Date							

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.