Edgar Filing: Mackaness James H - Form 4

Mackaness . Form 4	James H												
February 14	, 2013												
FORM	SECUE	RITIE	S A	OMB APPROVAL									
		Washington, D.C. 20549									3235-0	287	
Check th if no lon subject t Section Form 4 o Form 5	ger STATE o STATE 16. or										Expires: January 3 20 Estimated average burden hours per response 0		
obligatio may con <i>See</i> Instr 1(b).	tinue. Section 17	(a) of the		tility I	Hole	ding Cor	npan	y Act of	1935 or Section	l			
(Print or Type	Responses)												
1. Name and Address of Reporting Person <u>*</u> Mackaness James H			2. Issuer Name and Ticker or Trading Symbol IRIDEX CORP [IRIX]						5. Relationship of Reporting Person(s) to Issuer				
(Last) (First) (Middle)			3. Date of Earliest Transaction						(Check	(Check all applicable)			
1212 TERRA BELLA AVENUE			(Month/Day/Year) 02/12/2013						Director 10% Owner X Officer (give title Other (specify below) below) CFO and COO				
				endment, Date Original nth/Day/Year)					 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 				
MOUNTA	IN VIEW, CA 94	-043							Person		porting		
(City)	(State)	(Zip)	Tabl	le I - No	on-E	Derivative	Secu	rities Acq	uired, Disposed of,	or Beneficial	ly Owned		
1.Title of Security (Instr. 3)	2. Transaction Dat (Month/Day/Year)	Executio any	ned n Date, if Day/Year)	Code (Instr.	8)	4. Securi on(A) or Di (Instr. 3, Amount	spose	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature Indirect Beneficia Ownersh (Instr. 4)	al iip	
Common Stock	02/12/2013			М	·	3,000	A	\$ 2.49	5,000	D			
Common Stock	02/12/2013			S <u>(1)</u>		3,000	D	\$ 4.8307	2,000	D			
Common Stock	02/13/2013			М		3,000	А	\$ 2.49	5,000	D			
Common Stock	02/13/2013			S <u>(1)</u>		3,000	D	\$ 4.7	2,000	D			
Common Stock	02/14/2013			М		3,000	А	\$ 2.49	5,000	D			

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Common 02/14/2013 Stock

3

 $S_{\underline{(1)}}$ 3,000 D $\overset{\$}{4.7864}$ 2,000 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number on f Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option Right to Buy (2)	\$ 2.49	02/12/2013		М	3,000	01/02/2009(3)	01/02/2015	Common Stock	3,000
Stock Option Right to Buy (2)	\$ 2.49	02/13/2013		М	3,000	01/02/2009(3)	01/02/2015	Common Stock	3,000
Stock Option Right to Buy (2)	\$ 2.49	02/14/2013		М	3,000	01/02/2009(3)	01/02/2015	Common Stock	3,000

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Mackaness James H 1212 TERRA BELLA AVENUE MOUNTAIN VIEW, CA 94043			CFO and COO				

Signatures

/s/ Susan Bruce, Attorney-in-Fact for James H. Mackaness

02/14/2013

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sale reported in this Form 4 was pursuant to the Reporting Person's Rule 10b5-1 Selling Plan that was effective March 12, 2012.
- (2) This option was granted pursuant to IRIDEX Corporation's incentive 1998 Stock Plan and is exempt pursuant to Rule 16b-3.
- (3) The shares shall vest as follows: 12/48ths of the shares shall vest 12 months after the Vesting Commencement Date and 1/48th of the shares shall vest each month thereafter.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.