### Edgar Filing: GEORGE ROGER E - Form 4

GEORGE F Form 4 July 31, 201												
FORM										OMB AF	PROVAL	
	UNITED	STATES						NGE CC	OMMISSION	OMB	3235-0287	
Check this box Washington, D.C. 20549									Number:	January 31,		
if no longer subject to Section 16. Form 4 or Form 5 obligations Filed pursuant to Section 17(a) of the			Section	<b>SEC</b> 16(a) o	C <b>UI</b> of th	Expires: 2005 Estimated average burden hours per response 0.5						
may cor <i>See</i> Inst 1(b).	ruction							ct of 1940				
(Print or Type	Responses)											
1. Name and GEORGE	Address of Reporting ROGER E	Person <sup>*</sup>	Symbol			<b>d</b> Ticker or		I	. Relationship of I ssuer	Reporting Pers	on(s) to	
			ALIGN		ΗN	OLOGY	INC	<u>}</u>	(Check	all applicable	)	
(Last)	(First) (	Middle)			iest Transaction Director [apr] Officer (give					e title 10% Owner		
	N TECHNOLOG ORCHARD PAR		(Month/ 07/27/2	-	ar)				elow)	below) ff. and Gen Co		
	(Street)		4. If Am Filed(Mo			ate Origina <sup>(r)</sup>	ıl	A	. Individual or Joi Applicable Line) X_ Form filed by Or	ne Reporting Per	rson	
SAN JOSE	E, CA 95131							P	Form filed by Mo Person	ore than One Rej	porting	
(City)	(State)	(Zip)	Tat	ole I - N	on-	Derivative	Secu	rities Acqui	red, Disposed of,	or Beneficiall	ly Owned	
1.Title of Security (Instr. 3)2. Transaction Date (Month/Day/Year)2A. Deemed Execution Date, if any (Month/Day/Year)			if Transactionor Disposed of (D) Securitie Code (Instr. 3, 4 and 5) Beneficie r) (Instr. 8) Owned Followin (A) Transaction or					5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common				Code	V	Amount	(D)	Price				
Stock	07/27/2012	07/27/20	012	Μ		24,665	А	\$ 17.88	24,665	D		
Common Stock	07/27/2012	07/27/20	М		21,354	А	\$ 7.81	46,019	D			
Common Stock	07/27/2012	07/27/2012		М		20,335	А	\$ 13	66,354	D		
Common Stock	07/27/2012	07/27/20	)12	S		66,354	D	\$ 35.8286 (1)	0	D		
	07/30/2012	07/30/20	)12	М		46,165	А	\$13	46,165	D		

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Common Stock								
Common Stock	07/30/2012	07/30/2012	М	20,541	А	\$ 17.94	66,706	D
Common Stock	07/30/2012	07/30/2012	М	7,260	А	\$ 20.79	73,966	D
Common Stock	07/30/2012	07/30/2012	S	73,966	D	\$ 34.4351 (2)	0	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	<ul> <li>5. Number of</li> <li>ctiorDerivative</li> <li>Securities</li> <li>8) Acquired (A)</li> <li>or Disposed of</li> <li>(D)</li> <li>(Instr. 3, 4, and 5)</li> </ul>		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Right to Buy (Common Stock)	\$ 7.81	07/27/2012	07/27/2012	М		21,354	02/20/2010	02/20/2019	Common Stock	21,354
Right to Buy (Common Stock)	\$ 13	07/27/2012	07/27/2012	М		20,335	02/20/2009	02/20/2018	Common Stock	20,335
Right to Buy (Common Stock)	\$ 17.88	07/27/2012	07/27/2012	М		24,665	02/20/2008	02/20/2017	Common Stock	24,665
Right to Buy (Common Stock)	\$ 13	07/30/2012	07/30/2012	М		12,461	02/20/2009	02/20/2018	Common Stock	12,461
	\$ 13	07/30/2012	07/30/2012	М		32,000	02/20/2009	02/20/2018		32,000

Right to Buy (Common Stock)								Common Stock	
Right to Buy (Common Stock)	\$ 13	07/30/2012	07/30/2012	М	1,704	02/20/2009	02/20/2018	Common Stock	1,704
Right to Buy (Common Stock)	\$ 17.94	07/30/2012	07/30/2012	М	20,541	02/19/2011	02/19/2017	Common Stock	20,541
Right to Buy (Common Stock)	\$ 20.29	07/30/2012	07/30/2012	М	7,260	02/18/2012	02/18/2018	Common Stock	7,260

## **Reporting Owners**

<b>Reporting Owner Name / Ac</b>	ddress	Relationships							
1	Director	10% Owner	Officer	Other					
GEORGE ROGER E C/O ALIGN TECHNOLOO 2560 ORCHARD PARKW SAN JOSE, CA 95131			VP, Legal Aff. and Gen Counsel						
Signatures									
Roger E. George	07/31/2012								

<u>\*\*</u>Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The price reported in column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$35.75 to \$35.91, inclusive. The reporting person undertakes to provide Align Technology, Inc., any security holder of Align Technology, Inc. or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.

The price reported in column 4 is a weighted average exercise price. These shares were sold in multiple transactions at prices ranging from \$34.25 to \$35.29, inclusive. The reporting person undertakes to provide Align Technology, Inc., any security holder of Align

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Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.