

GEORGE ROGER E

Form 4

July 31, 2012

**FORM 4**
**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
*See Instruction*  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person \*  
GEORGE ROGER E

2. Issuer Name **and** Ticker or Trading  
Symbol  
ALIGN TECHNOLOGY INC  
[ALGN]

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

(Last) (First) (Middle)  
C/O ALIGN TECHNOLOGY  
INC, 2560 ORCHARD PARKWAY  
(Street)

3. Date of Earliest Transaction  
(Month/Day/Year)  
07/27/2012

\_\_\_\_ Director \_\_\_\_ 10% Owner  
\_\_X\_\_ Officer (give title \_\_\_\_ Other (specify  
below) below)  
VP, Legal Aff. and Gen Counsel

SAN JOSE, CA 95131

4. If Amendment, Date Original  
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
\_\_X\_\_ Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting  
Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	07/27/2012	07/27/2012	M	24,665 A	\$ 17.88 24,665	D	
Common Stock	07/27/2012	07/27/2012	M	21,354 A	\$ 7.81 46,019	D	
Common Stock	07/27/2012	07/27/2012	M	20,335 A	\$ 13 66,354	D	
Common Stock	07/27/2012	07/27/2012	S	66,354 D	\$ 35.8286 0	D	
	07/30/2012	07/30/2012	M	46,165 A	\$ 13 46,165	D	

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Common Stock									
Common Stock	07/30/2012	07/30/2012	M	20,541	A	\$ 17.94	66,706	D	
Common Stock	07/30/2012	07/30/2012	M	7,260	A	\$ 20.79	73,966	D	
Common Stock	07/30/2012	07/30/2012	S	73,966	D	\$ 34.4351 (2)	0	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Right to Buy (Common Stock)	\$ 7.81	07/27/2012	07/27/2012	M		21,354		02/20/2010	02/20/2019	Common Stock	21,354
Right to Buy (Common Stock)	\$ 13	07/27/2012	07/27/2012	M		20,335		02/20/2009	02/20/2018	Common Stock	20,335
Right to Buy (Common Stock)	\$ 17.88	07/27/2012	07/27/2012	M		24,665		02/20/2008	02/20/2017	Common Stock	24,665
Right to Buy (Common Stock)	\$ 13	07/30/2012	07/30/2012	M		12,461		02/20/2009	02/20/2018	Common Stock	12,461
	\$ 13	07/30/2012	07/30/2012	M		32,000		02/20/2009	02/20/2018		32,000

Right to Buy (Common Stock)								Common Stock	
Right to Buy (Common Stock)	\$ 13	07/30/2012	07/30/2012	M	1,704	02/20/2009	02/20/2018	Common Stock	1,704
Right to Buy (Common Stock)	\$ 17.94	07/30/2012	07/30/2012	M	20,541	02/19/2011	02/19/2017	Common Stock	20,541
Right to Buy (Common Stock)	\$ 20.29	07/30/2012	07/30/2012	M	7,260	02/18/2012	02/18/2018	Common Stock	7,260

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
GEORGE ROGER E C/O ALIGN TECHNOLOGY INC 2560 ORCHARD PARKWAY SAN JOSE, CA 95131			VP, Legal Aff. and Gen Counsel	

## Signatures

Roger E. George                      07/31/2012

\_\_Signature of                      Date  
Reporting Person

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The price reported in column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$35.75 to \$35.91, inclusive. The reporting person undertakes to provide Align Technology, Inc., any security holder of Align Technology, Inc. or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.

(2) The price reported in column 4 is a weighted average exercise price. These shares were sold in multiple transactions at prices ranging from \$34.25 to \$35.29, inclusive. The reporting person undertakes to provide Align Technology, Inc., any security holder of Align Technology, Inc. or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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