

NOVICH NEIL S
Form 4
October 02, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
burden hours per
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person *
NOVICH NEIL S

(Last) (First) (Middle)

ONE BATESVILLE BOULEVARD

(Street)

BATESVILLE, IN 47006

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
Hillenbrand, Inc. [HI]

3. Date of Earliest Transaction
(Month/Day/Year)

09/28/2018

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

____ Director ____ 10% Owner
____ Officer (give title below) ____ Other (specify below)

6. Individual or Joint/Group Filing(Check
Applicable Line)

☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
				(A) or (D)			
			Code	V	Amount		Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of
information contained in this form are not
required to respond unless the form
displays a currently valid OMB control
number.**

SEC 1474
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)**

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. S

Edgar Filing: NOVICH NEIL S - Form 4

	Derivative Security		Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Units (Deferred Stock Award 2/24/10)	<u>(1)</u>		09/28/2018	A ⁽²⁾		13		<u>(3)</u>	<u>(3)</u>	Common Stock	13
Restricted Stock Units (Deferred Stock Award) 2/23/11	<u>(1)</u>		09/28/2018	A ⁽²⁾		19		<u>(3)</u>	<u>(3)</u>	Common Stock	19
Restricted Stock Units (Deferred Stock Award) 2/22/12	<u>(1)</u>		09/28/2018	A ⁽²⁾		18		<u>(3)</u>	<u>(3)</u>	Common Stock	18
Restricted Stock Units (Deferred Stock Award) 2/27/13	<u>(1)</u>		09/28/2018	A ⁽²⁾		17		<u>(3)</u>	<u>(3)</u>	Common Stock	17
Restricted Stock Units (Deferred Stock Award 2/26/14)	<u>(1)</u>		09/28/2018	A ⁽²⁾		13		<u>(3)</u>	<u>(3)</u>	Common Stock	13
Restricted Stock Units (Deferred Stock Award 2/25/15)	<u>(1)</u>		09/28/2018	A ⁽²⁾		13		<u>(3)</u>	<u>(3)</u>	Common Stock	13
Restricted Stock Units (Deferred Stock Award 2/24/2016)	<u>(1)</u>		09/28/2018	A ⁽²⁾		15		<u>(3)</u>	<u>(3)</u>	Common Stock	15
Restricted Stock Units (Deferred Stock Award) 2/22/2017	<u>(1)</u>		09/28/2018	A ⁽²⁾		11		<u>(3)</u>	<u>(3)</u>	Common Stock	11
Restricted Stock Units (Deferred Stock Award) 2/15/2018	<u>(1)</u>		09/28/2018	A ⁽²⁾		9		<u>(3)</u>	<u>(3)</u>	Common Stock	9

RESTRICTED STOCK UNITS CUMULATIVE TOTAL	(1)				(3)	(3)	Common Stock	128 (4)
Deferred Director Fees	(1)	09/28/2018	A(2)	8	(5)	(5)	Common Stock	8

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
NOVICH NEIL S ONE BATESVILLE BOULEVARD BATESVILLE, IN 47006				

Signatures

By Patricia C. Lecher As Attorney-In-Fact for Neil S. Novich 10/02/2018

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Conversion of Exercise Price of Derivative Securities is 1-for-1.
- (2) Restricted Stock Units are entitled to dividend equivalent rights which accrue on dividend record dates.
These stock units vest immediately upon grant. However, for awards granted prior to May 2014, directors must hold the underlying shares of common stock of the Company for six months after they cease serving as a director; for awards granted in May 2014 or later, directors must hold the underlying shares of common stock of the Company for one day after the director ceases serving.
- (3) This amount represents cumulative total of all Restricted Stock Units (deferred stock awards) granted to reporting person. This cumulative total does not represent additional Restricted Stock Units granted to the reporting person, but is merely a total of all awards reported separately on this SEC Form 4.
- (4) These stock units will be automatically converted into shares of stock upon the reporting person's retirement from the Board of Directors of the Company.
- (5)

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.