#### Edgar Filing: CALIFORNIA WATER SERVICE GROUP - Form 4

#### CALIFORNIA WATER SERVICE GROUP

Form 4 August 05, 2016

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

response...

Check this box if no longer subject to Section 16. Form 4 or

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

January 31, Expires: 2005 Estimated average burden hours per

0.5

**OMB APPROVAL** 

Form 5 obligations may continue.

See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

| 1. Name and Address of Reporting Person * Mortensen Michelle R |  |       | Symbol   | Name and ORNIA W  |                                       |        |                | 5. Relationship of Reporting Person(s) to<br>Issuer  (Check all applicable)  |  |                      |  |
|--|--|-------|----------|---|---------------------------------------|--------|----------------|--|--|----------------------|--|
| (Last) 1720 NORT   |  |       |          | 3. Date of Earliest Transaction (Month/Day/Year) 08/03/2016 |                                       |        |                |  |  | Owner<br>er (specify |  |
| SAN JOSE,  | (Street)                               |       |          | ndment, Dai<br>th/Day/Year)                                 | Č                                     | 1      |                | 6. Individual or Jo<br>Applicable Line)<br>_X_ Form filed by O<br>Form filed by M<br>Person                        | One Reporting Per  | rson                 |  |
| (City)   | (State)                                | (Zip) | Table    | e I - Non-D   | erivative                             | Secur  | ities Acq      | uired, Disposed of   | , or Beneficiall   | ly Owned             |  |
| 1.Title of<br>Security<br>(Instr. 3)                           | 2. Transaction Dat<br>(Month/Day/Year) |       | Date, if | 3.<br>Transactio<br>Code<br>(Instr. 8)                      | 4. Securi<br>n(A) or Di<br>(Instr. 3, | ispose | d of (D)<br>5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) |                      |  |
| Common<br>Stock  | 08/03/2016                             |       |          | F   | 15 (1)                                | D      | \$<br>32.56    | 2,990  | D  |                      |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactio<br>Code<br>(Instr. 8) | 5.  onNumber of Derivative Securities Acquired (A) or Disposed of (D) |                     | ate                | 7. Title and<br>Amount of<br>Underlying<br>Securities<br>(Instr. 3 and 4) | of<br>ng<br>s | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Nu<br>Deriv<br>Secur<br>Bene<br>Owne<br>Follo<br>Repo<br>Trans<br>(Instr |
|---|---|---|---|--|---|---------------------|--------------------|---|---------------|---|---|
|   |   |   |   | Code V                                 | (Instr. 3, 4, and 5)  (A) (D)   | Date<br>Exercisable | Expiration<br>Date | or<br>Title Nu<br>of  | umber         |   |   |

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Mortensen Michelle R

1720 NORTH FIRST STREET Corporate Secretary

SAN JOSE, CA 95112

# **Signatures**

Michelle 08/05/2016 Mortensen

\*\*Signature of Date Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents the number of shares withheld by and surrendered to the Issuer to satisfy the tax withholding obligations that arose in connection with the vesting of the Restricted Stock Award (RSA) Award #340.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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