

WESTERN ALLIANCE BANCORPORATION

Form 4/A

October 24, 2016

FORM 4UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIESFiled pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
FROESCHLE DUANE RALPH

(Last) (First) (Middle)

C/O WESTERN ALLIANCE
BANCORPORATION, ONE E.
WASHINGTON STREET, STE
1400

(Street)

PHOENIX, AZ 85004

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading
SymbolWESTERN ALLIANCE
BANCORPORATION [WAL]3. Date of Earliest Transaction
(Month/Day/Year)
05/18/20164. If Amendment, Date Original
Filed(Month/Day/Year)
05/20/20165. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify below)

Executive Vice President

6. Individual or Joint/Group Filing(Check
Applicable Line)☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code	V	Amount	(A) or (D)	Price			
Common Stock	05/18/2016		S		10,147	D	\$ 35.25	39,853 ⁽¹⁾	I	Duane Froeschle Revocable Trust u/a dtd 06/29/2006
Common Stock								11,050 ⁽²⁾	D	
								64,228 ⁽³⁾	I	

Common
StockDuane
Froeschle
Revocable
Trust u/a
u/a/d dtd
06/29/2006Common
Stock5,184 ⁽⁴⁾ I

401K Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

Reporting Owners

Reporting Owner Name / Address

FROESCHLE DUANE RALPH
C/O WESTERN ALLIANCE BANCORPORATION
ONE E. WASHINGTON STREET, STE 1400
PHOENIX, AZ 85004

Relationships

Director	10% Owner	Officer	Other
		Executive Vice President	

Signatures

/s/ Dale Gibbons
(Attorney-in-fact)

10/24/2016

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) On April 15, 2016, the Reporting Person transferred 50,000 shares to the Duane Froeschle Revocable Trust u/a/ dtd 06/29/2006.

The disposed of shares were previously incorrectly reported as coming from the Reporting Person's direct holdings. This filing reflects the

(2) changes in form of ownership for the Reporting Person's holdings as of April 15, 2016, and properly states the holding from which the disposed of shares were sold.

(3) On April 15, 2016, the Reporting Person transferred 64,228 shares to the Duane Froeschle Revocable Trust u/a u/a/d dtd 06/29/2006.

(4) Reflects shares held in the 401K Plan to include employer match as of 5/12/16.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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