Edgar Filing: WESTERN ALLIANCE BANCORPORATION - Form 4

WESTERN ALLIANCE BANCORPORATION

Form 4

November 04, 2015

						OMB AF	PROVAL	
FURI	ORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549						3235-0287	
Check to if no lor subject section Form 4 Form 5 obligation may con See Inst 1(b).	stateMinds 16. or Filed purso ons ntinue. Section 17(a)	ENT OF CHA uant to Section) of the Public U	NGES IN BENEF SECURITIES 16(a) of the Securi Utility Holding Cor Investment Compar	ICIAL OWN ties Exchange npany Act of	Act of 1934, 1935 or Section	Number: Expires: Estimated a burden hour response		
(Print or Type	Responses)							
1. Name and CADY GE	Address of Reporting Po RALD A	Symbol WEST	uer Name and Ticker or I FERN ALLIANCE CORPORATION [V		5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
BANCORI	(First) (Mircell) FERN ALLIANCE PORATION, ONE INSTREET	(Month) 11/02/	of Earliest Transaction /Day/Year) /2015		Director 10% Owner _X Officer (give title Other (specify below) EVP, So. CA Administration			
PHOENIX	(Street)		nendment, Date Origina Ionth/Day/Year)		6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			
(City)	(State) (Z	Zip) Ta	ble I - Non-Derivative	Securities Acqu	ired, Disposed of,	or Beneficiall	y Owned	
1.Title of Security (Instr. 3)	а	2A. Deemed Execution Date, if any (Month/Day/Year)	Transaction Dispose Code (Instr. 3, 4		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	11/02/2015		S 20,000	D \$ 36.2726	77,014	D		
Common Stock					6,441 <u>(1)</u>	I	401K Plan	
Common Stock					9,644	I	By Spouse	
Common Stock					3,803 (1)	I	Spouse 401k	

Edgar Filing: WESTERN ALLIANCE BANCORPORATION - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

9. Nu Deriv Secur Bene Own Follo Repo Trans

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

 Title of 	2.	3. Transaction Date	3A. Deemed	4.	5.		6. Date Exerc	cisable and	7. Tit	le and	8. Price of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transa	ctionNum	nber	Expiration D	ate	Amou	unt of	Derivative
Security	or Exercise		any	Code	of		(Month/Day/	Year)	Unde	rlying	Security
(Instr. 3)	Price of		(Month/Day/Year)	(Instr.	8) Deri	vative			Secur	rities	(Instr. 5)
	Derivative				Secu	ırities			(Instr	. 3 and 4)	
	Security				Acqı	uired					
	·				(A) (or					
					` ′	osed					
					of (I						
					(Inst	· /					
					4, an	- 1					
					,	/					
										Amount	
							Date	Expiration		or	
							Exercisable Date	•	Title	Number	
								Date		of	
				Code	V (A)	(D)				Shares	

Reporting Owners

Reporting Owner Name / Address

Director 10% Owner Officer Other

CADY GERALD A C/O WESTERN ALLIANCE BANCORPORATION ONE E WASHINGTON STREET PHOENIX, AZ 85004

EVP, So. CA Administration

Signatures

/s/ Dale Gibbons (Attorney-in-fact) 11/04/2015

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reflects shares held in the 401K Plan to include employer match as of 10/29/15.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2