Edgar Filing: WESTERN ALLIANCE BANCORPORATION - Form 4

WESTERN ALLIANCE BANCORPORATION

07/02/2015

10/30/2015

10/30/2015

Stock

Stock

Common

Common

Form 4

November 03 2015

November (03, 2015													
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549										OMB APPROVAL				
										OMB Number:	3235-0287			
Check t if no lor subject Section Form 4	NGES IN BENEFICIAL OWNERSHIP OF SECURITIES							Expires: Estimated a burden hou response						
obligati may con See Inst 1(b).	ons ons section 17	(a) of the F	Public U	Itility	Hol		mpar	ıy Ao	ct of 1	Act of 1934, 1935 or Section	l			
(Print or Type	(Responses)													
NAGY M NAFEES Sy.			2. Issuer Name and Ticker or Trading Symbol WESTERN ALLIANCE							5. Relationship of Reporting Person(s) to Issuer				
						TION [رً]		(Check all applicable)				
				of Earliest Transaction Day/Year)						X Director 10% Owner Officer (give title Other (specify below)				
BANCORI	FERN ALLIANC PORATION, ON GTON STREET,	E E.	10/30/2	2015					· ·	(Clow)	below)			
	(Street)	(Street) 4. If Am Filed(Mo				ate Origin	al		A	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person				
PHOENIX	x, AZ 85004						- F	Form filed by More than One Reporting erson						
(City)	(State)	(Zip)	Tab	le I - N	on-I	Derivativo	e Secu	rities	Acqui	ired, Disposed of,	or Beneficial	ly Owned		
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	saction Date 2A. Deemed /Day/Year) Execution Date, if any (Month/Day/Year)			actio 8)	4. Securi nor Dispo (Instr. 3,	sed of	(D)	ed (A)	Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common Stock	07/02/2015					Amount 5,000	(D)	\$ 0	rice	(Instr. 3 and 4)	D			
Common	07/02/2015			G (1)	V	5,000	A	\$0		39,860	I	Sajan Star		

G₍₁₎ V 5,000 A

5,648 D

5,552 D

S

S

\$

(2)

\$

9,249

36.1077 34,212

I

IV LLC

IV LLC

Sunset

Sajan Star

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Stock					36.1077 (2)			Property Group LLC
Common Stock	11/02/2015	S	9,411	D	\$ 36.1796 (3)	24,801	I	Sajan Star IV LLC
Common Stock	11/02/2015	S	9,249	D	\$ 36.1796 (3)	0	I	Sunset Property Group LLC
Common Stock						360,358	I	Sajan Alliance, LLC
Common Stock						11,886	I	Nagy Perpetual Trust
Common Stock						11,885	I	AJN Perpetual Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Tit	le and	8. Price of	
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	onNumber	Expiration Date		Amount of		Derivative	į
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Unde	rlying	Security	
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Secur	ities	(Instr. 5)	1
	Derivative			Securities				(Instr	. 3 and 4)		
	Security			Acquired							1
				(A) or							Į
				Disposed							
				of (D)							
					(Instr. 3,						
					4, and 5)						
									Amount		
						Date Exercisable	Expiration Date	Title	or		
									Number		
									of		
				Code V	(A) (D)				Shares		

Reporting Owners

Relationships

Reporting Owner Name / Address

Reporting Owners 2

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X

10% Officer Other Director Owner

NAGY M NAFEES C/O WESTERN ALLIANCE BANCORPORATION ONE E. WASHINGTON STREET, STE 1400 PHOENIX, AZ 85004

Signatures

/s/ Dale Gibbons 11/03/2015 (Attorney-in-fact)

**Signature of Reporting Person Date

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) On July 2, 2015, the reporting person transferred 5,000 shares to Sajan Star IV LLC.
- The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$35.57 to \$36.44, inclusive. The reporting person undertakes to provide to Western Alliance Bancorporation, any security holder of Western Alliance Bancorporation, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within this range.
- The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$36.00 to \$36.47, inclusive. The reporting person undertakes to provide to Western Alliance Bancorporation, any security holder of Western Alliance Bancorporation, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within this range.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3