### Edgar Filing: WESTERN ALLIANCE BANCORPORATION - Form 4

### WESTERN ALLIANCE BANCORPORATION

Form 4 January 27, 2015

# FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

See Instruction

Check this box

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \* WALL MERRILL

(First)

(Middle)

2. Issuer Name and Ticker or Trading

Symbol

WESTERN ALLIANCE

BANCORPORATION [WAL]

(Month/Day/Year)

01/24/2015

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

Estimated average

burden hours per

3. Date of Earliest Transaction

10% Owner Director X\_ Officer (give title Other (specify

below) EVP, Chief Admin Officer

C/O WESTERN ALLIANCE BANCORPORATION, ONE E. WASHINGTON STREET, STE 1400

(Street)

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

PHOENIX, AZ 85004

(Instr. 3)

Common

Stock

(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5)

Amount of Securities Beneficially (D) or Owned Indirect (I) Following (Instr. 4) Reported

I

6. Ownership 7. Nature of Form: Direct Indirect Beneficial Ownership (Instr. 4)

(A) Transaction(s) or (Instr. 3 and 4)

Code V Amount (D) Price

01/24/2015 F 1,785 \$0 D 117,362 D

Common Stock

5,980 (1)

401K Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Month/Day/Year)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control

SEC 1474 (9-02)

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#### number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.                | 5.                     | 6. Date Exerc | cisable and     | 7. Title | e and       | 8. Price of | 9. Nu  |
|-------------|-------------|---------------------|--------------------|-------------------|------------------------|---------------|-----------------|----------|-------------|-------------|--------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | TransactionNumber |                        | Expiration D  | ate             | Amour    | nt of       | Derivative  | Deriv  |
| Security    | or Exercise |                     | any                | Code              | of                     | (Month/Day/   | Year)           | Underl   | ying        | Security    | Secui  |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8)        | : 8) Derivative        |               |                 | Securit  | ties        | (Instr. 5)  | Bene   |
|             | Derivative  |                     |                    |                   | Securities<br>Acquired |               |                 | (Instr.  | 3 and 4)    |             | Owne   |
|             | Security    |                     |                    |                   |                        |               |                 |          |             |             | Follo  |
|             |             |                     |                    |                   | (A) or                 |               |                 |          |             |             | Repo   |
|             |             |                     |                    |                   | Disposed               |               |                 |          |             |             | Trans  |
|             |             |                     |                    |                   | of (D)                 |               |                 |          |             |             | (Instr |
|             |             |                     |                    |                   | (Instr. 3,             |               |                 |          |             |             |        |
|             |             |                     |                    |                   | 4, and 5)              |               |                 |          |             |             |        |
|             |             |                     |                    |                   |                        |               |                 |          | Amount      |             |        |
|             |             |                     |                    |                   |                        |               |                 |          | Amount      |             |        |
|             |             |                     |                    |                   |                        | Date          | Expiration Date | Title N  | or<br>Namel |             |        |
|             |             |                     |                    |                   |                        | Exercisable   |                 |          | Number      |             |        |
|             |             |                     |                    | C 1 W             | (A) (D)                |               |                 |          | of          |             |        |
|             |             |                     |                    | Code V            | (A) (D)                |               |                 |          | Shares      |             |        |

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

WALL MERRILL C/O WESTERN ALLIANCE BANCORPORATION ONE E. WASHINGTON STREET, STE 1400 PHOENIX, AZ 85004

EVP, Chief Admin Officer

### **Signatures**

/s/ Dale Gibbons (Attorney-in-fact) 01/27/2015

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reflects shares held in the 401K Plan to include employer match as of 1/22/15.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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