Hill Scott A Form 4 October 05, 2017

## FORM 4

#### **OMB APPROVAL**

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** 3235-0287 Number:

Check this box if no longer subject to Section 16.

January 31, Expires: 2005

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Estimated average burden hours per response... 0.5

Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

| 1. Name and A<br>Hill Scott A        | 2. Issuer Name <b>and</b> Ticker or Trading Symbol |          |   |  |   | 5. Relationship of Reporting Person(s) to Issuer |  |  |  |   |  |
|--------------------------------------|--|----------|---|--|---|--|--|--|--|---|--|
| (Last)                               | (First)  | (Middle) | Intercontinental Exchange, Inc. [ICE] 3. Date of Earliest Transaction |  |   |  | e. [ICE]                                     | (Check all applicable)   |  |   |  |
| 5660 NEW NORTHSIDE DRIVE             |  |          | (Month/Day/Year)<br>10/03/2017  |  |   |  |  | Director X Officer (give to below) Chief Fi  |  | Owner r (specify  |  |
|                                      |  |          |   | endment, I<br>onth/Day/Ye              | Oate Origina<br>ar)                       | ıl   |  | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person                  |  |   |  |
| ATLANTA                              | A, GA 30328  |          |   |  |   | ì  | Form filed by More than One Reporting Person |  |  |   |  |
| (City)                               | (State)  | (Zip)    | Tab   | ole I - Non-                           | Derivative                                | Secui  | rities Acqu                                  | ired, Disposed of,   | or Beneficiall   | y Owned   |  |
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Dat<br>(Month/Day/Year)             |          | Date, if  | 3.<br>Transactic<br>Code<br>(Instr. 8) | 4. Securit<br>corr Dispos<br>(Instr. 3, 4 | ed of (  |  | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |
| Common<br>Stock                      | 10/03/2017   |          |   | S                                      | 852 <u>(1)</u>                            | D  | \$ 70  | 211,893  | D  |   |  |
| Common<br>Stock                      | 10/04/2017   |          |   | M                                      | 19,730<br>(1)                             | A  | \$ 16.03                                     | 231,623  | D  |   |  |
| Common<br>Stock                      | 10/04/2017   |          |   | S                                      | 36,778<br>(1)                             | D  | \$<br>70.0264                                | 194,845 (3)  | D  |   |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)         | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) | TransactiorDerivative Code Securities |        | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                    | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) |                                     |
|---|---|---|---|--|---------------------------------------|--------|--|--------------------|---|-------------------------------------|
|   |   |   |   | Code V                                 | (A)                                   | (D)    | Date<br>Exercisable                                      | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of Shares |
| Employee<br>Stock<br>Option<br>(right to<br>buy)<br>Holding | \$ 16.03  | 10/04/2017                              |   | M                                      |                                       | 19,730 | <u>(4)</u>   | 12/16/2018         | Common<br>Stock   | 19,730                              |

### **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Hill Scott A

5660 NEW NORTHSIDE DRIVE Chief Financial Officer

ATLANTA, GA 30328

### **Signatures**

/s/ Andrew J. Surdykowski, Attorney-in-fact

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The transactions reported in this Form 4 were effected pursuant to a pre-arranged trading plan established in accordance with Rule 10b5-1 of the Securities Act of 1934, as amended.
- The price range for the aggregate amount sold by the direct holder is \$70.00 \$70.16. The Issuer will upon request by the Staff of the (2) U.S. Securities and Exchange Commission or a security holder of the Issuer provide the full information regarding the number of shares sold at each separate price.
- (3) The common stock number referred in Table I is an aggregate number and represents 134,776 shares of common stock and 60,069 unvested performance based restricted stock units, for which the performance period has been satisfied. These performance based restricted stock units vest over a three year period, in which 33.33% of the units vest each year. The satisfaction of the 2017 performance based restricted units tied to earnings before interest, taxes, depreciation, and amortization, ("EBITDA") and the corresponding number of

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shares to be issued pursuant to these awards, will not be determined until February 2018 and will be reported at the time of vesting. The satisfaction of the 2017 total shareholder return performance based restricted stock units and the corresponding number of shares to be issued pursuant to these awards, will not be determined until February 2020 and will be reported at the time of vesting.

#### (4) These options are fully vested.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.