Steris plc Form 4 February 16, 2017

# FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** Form 4 or

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Last)

Form 5

(Print or Type Responses)

1. Name and Address of Reporting Person \* Burton Karen L

(Middle)

C/O CHANCERY HOUSE, 190 WATERSIDE RD., HAMILTON **INDUSTRIAL PARK** 

LEICESTER, X0 LE5 1QZ

(Street)

(First)

2. Issuer Name and Ticker or Trading Symbol

Steris plc [STE]

3. Date of Earliest Transaction (Month/Day/Year)

02/14/2017

4. If Amendment, Date Original

Filed(Month/Day/Year)

**OMB APPROVAL** 

OMB 3235-0287 Number:

January 31, Expires: 2005

Estimated average burden hours per response...

0.5

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner X\_ Officer (give title Other (specify below)

VP, Controller & CAO

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

(City)	(State)	(Zip) Tabl	e I - Non-E	Derivative	Secui	rities Acq	uired, Disposed o	f, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactio Code (Instr. 8)	(Instr. 3,	ispose 4 and (A) or	d of (D) 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Ordinary Shares, 0.10 par value	02/14/2017		Code V  M	Amount 475 (1)	(D)	Price \$ 30.84	9,201 (2)	D	
Ordinary Shares, 0.10 par value	02/14/2017		S	475	D	\$ 67.69	8,726 <u>(2)</u>	D	
Ordinary Shares, 0.10 par	02/14/2017		M	500 (1)	A	\$ 31.87	9,226 (2)	D	

1	
val	110

Ordinary Shares, 0.10 par value	02/14/2017	S	500	D	\$ 67.69	8,726 <u>(2)</u>	D	
Ordinary Shares, 0.10 par value						318 <u>(3)</u>	I	By 401(k)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

(9-02)

8. P Der Sec (Ins

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)			Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4,		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (E	D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		
Employee Stock Option (right to buy)	\$ 30.84	02/14/2017		M	47	75	<u>(4)</u>	05/21/2018	Ordinary Shares	475		
Employee Stock Option (right to buy)	\$ 31.87	02/14/2017		M	50	00	<u>(5)</u>	05/20/2020	Ordinary Shares	500		

# **Reporting Owners**

Reporting Owner Name / Address		Keiationsinps							
	Director	10% Owner	Officer	Other					

Reporting Owners 2 Burton Karen L C/O CHANCERY HOUSE, 190 WATERSIDE RD. HAMILTON INDUSTRIAL PARK LEICESTER, X0 LE5 1QZ

VP, Controller & CAO

### **Signatures**

/s/ Ronald E. Snyder, Authorized Representative under Power of Attorney

02/16/2017

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This exercise and sale of a total of 975 ordinary shares is pursuant to a Rule 10b5-1 Stock Trading Plan entered into by the Reporting Person on December 5, 2016.
- (2) 5,956 of these shares are restricted and vest as follows: 1,300 on May 31, 2017; 1,000 on April 2, 2018; 972 on May 30, 2018; 764 on May 28, 2019; 700 on October 1, 2019 and 1,220 on June 1, 2020.
- (3) Based on closing price of February 14, 2017.
- (4) This option was granted as part of an award of 2,100 ordinary shares, 1,625 of which have previously been exercised.
- (5) This option was granted on May 20, 2010 and is fully vested.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3