

Steris plc  
Form 3  
February 03, 2017

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

OMB  
Number: 3235-0104  
Expires: January 31,  
2005  
Estimated average  
burden hours per  
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting  
Person \*

Â Burton Karen L

(Last) (First) (Middle)

C/O CHANCERY HOUSE, 190  
WATERSIDE  
RD.,Â HAMILTON  
INDUSTRIAL PARK

(Street)

LEICESTER,Â X0Â LE5 1QZ

(City) (State) (Zip)

2. Date of Event Requiring  
Statement

(Month/Day/Year)

01/26/2017

3. Issuer Name **and** Ticker or Trading Symbol  
Steris plc [STE]

4. Relationship of Reporting  
Person(s) to Issuer

5. If Amendment, Date Original  
Filed(Month/Day/Year)

(Check all applicable)

\_\_\_\_ Director \_\_\_\_ 10% Owner

☒ Officer \_\_\_\_ Other

(give title below) (specify below)

VP, Controller & CAO

6. Individual or Joint/Group  
Filing(Check Applicable Line)  
☒ Form filed by One Reporting  
Person  
\_\_\_\_ Form filed by More than One  
Reporting Person

### Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security  
(Instr. 4)

2. Amount of Securities  
Beneficially Owned  
(Instr. 4)

3. Ownership  
Form:  
Direct (D)  
or Indirect  
(I)  
(Instr. 5)

4. Nature of Indirect Beneficial  
Ownership  
(Instr. 5)

Ordinary Shares, 0.10 par value

8,726 <sup>(1)</sup>

D

Â

Ordinary Shares, 0.10 par value

319 <sup>(2)</sup>

I

By 401(k)

Reminder: Report on a separate line for each class of securities beneficially  
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of  
information contained in this form are not  
required to respond unless the form displays a  
currently valid OMB control number.**

**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

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1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares			
Employee Stock Option (right to buy)	Â (3)	05/21/2018	Ordinary Shares	475	\$ 30.84	D	Â
Employee Stock Option (right to buy)	Â (4)	05/20/2020	Ordinary Shares	3,800	\$ 31.87	D	Â
Employee Stock Option (right to buy)	Â (5)	05/31/2021	Ordinary Shares	3,800	\$ 36.09	D	Â
Employee Stock Option (right to buy)	Â (6)	05/30/2022	Ordinary Shares	3,700	\$ 29.94	D	Â
Employee Stock Option (right to buy)	Â (7)	05/31/2023	Ordinary Shares	4,040	\$ 45.34	D	Â
Employee Stock Option (right to buy)	Â (8)	05/30/2024	Ordinary Shares	3,400	\$ 53.52	D	Â
Employee Stock Option (right to buy)	Â (9)	05/28/2025	Ordinary Shares	2,852	\$ 66.15	D	Â
Employee Stock Option (right to buy)	Â (10)	06/01/2026	Ordinary Shares	2,600	\$ 69.72	D	Â

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Burton Karen L C/O CHANCERY HOUSE, 190 WATERSIDE RD. HAMILTON INDUSTRIAL PARK LEICESTER,Â X0Â LE5 1QZ	Â	Â	Â VP, Controller & CAO	Â

## Signatures

/s/ Ronald E. Snyder, Authorized Representative under Power of Attorney

02/03/2017

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) 5,956 of these shares are restricted and vest as follows: 1,300 on May 31, 2017; 1,000 on April 2, 2018; 972 on May 30, 2018; 764 on May 28, 2019; 700 on October 1, 2019 and 1,220 on June 1, 2020.

(2) Based on closing price of February 2, 2017.

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- (3) This option was granted as part of an award of 2,100 ordinary shares on May 21, 2008, 1,625 of which has been exercised.
- (4) This option was granted on May 20, 2010 and is fully vested.
- (5) This option was granted on May 31, 2011 and is fully vested.
- (6) This option was granted on May 30, 2012 and is fully vested.
- (7) This option was granted on May 31, 2013 and is exercisable in four equal amounts as follows: 1,010 on May 31, 2014, 1,010 on May 31, 2015, 1,010 on May 31, 2016 and 1,010 on May 31, 2017.
- (8) This option was granted on May 30, 2014 and is exercisable in four equal amounts as follows: 850 on May 30, 2015, 850 on May 30, 2016, 850 on May 30, 2017 and 850 on May 30, 2018.
- (9) This option was granted on May 28, 2015 and is exercisable in four equal amounts as follows: 713 on May 28, 2016, 713 on May 30, 2017, 713 on May 29, 2018 and 713 on May 28, 2019.
- (10) This option was granted on June 1, 2016 and is exercisable in four equal amounts as follows: 650 on June 1, 2017, 650 on June 1, 2018, 650 on June 3, 2019 and 650 on June 1, 2020.

^

### Remarks:

Vice^ President,^ Controller^ and^ Chief^ Accounting^ Officer

### Exhibit^ 24^ -^ Power^ of^ Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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