

Steris plc  
Form 4  
January 18, 2017

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
Number: 3235-0287  
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2005  
Estimated average  
burden hours per  
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Bardwell Kathleen

(Last) (First) (Middle)

C/O CHANCERY HOUSE, 190  
WATERSIDE RD., HAMILTON  
INDUSTRIAL PARK

(Street)

LEISCETER, X0 LE5 1QZ

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol

Steris plc [STE]

3. Date of Earliest Transaction  
(Month/Day/Year)

01/17/2017

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
\_\_X\_\_ Officer (give title \_\_\_\_\_ Other (specify  
below) below)  
Sr.V. P. & C.C.O.

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
\_\_X\_\_ Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
Ordinary Shares, 0.10 par value	01/17/2017		M	962 <sup>(1)</sup> A	\$ 22.83	16,538	D
Ordinary Shares, 0.10 par value	01/17/2017		M	1,000 <sup>(2)</sup> A	\$ 34.23	17,538	D
Ordinary Shares, 0.10 par	01/17/2017		S	1,962 D	\$ 68.78	15,576 <sup>(3)</sup>	D

value

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
Employee Stock Option (right to buy)	\$ 22.83	01/17/2017		M	962	<u>(4)</u> 05/21/2019	Ordinary Shares	962
Employee Stock Option (right to buy)	\$ 34.23	01/17/2017		M	1,000	<u>(5)</u> 11/12/2019	Ordinary Shares	1,000

## Reporting Owners

### Reporting Owner Name / Address

### Relationships

Director 10% Owner Officer Other

Bardwell Kathleen  
C/O CHANCERY HOUSE, 190 WATERSIDE RD.  
HAMILTON INDUSTRIAL PARK  
LEISCESTER, X0 LE5 1QZ

Sr.V. P. & C.C.O.

## Signatures

/s/ Ronald E. Snyder, Authorized Representative under Power of  
Attorney

01/18/2017

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) This exercise and sale of a total of 962 ordinary shares is pursuant to a Rule 10b5-1 Stock Trading Plan entered into by the Reporting Person on November 15, 2016.

(2) This exercise and sale of a total of 1,000 ordinary shares is pursuant to a Rule 10b5-1 Stock Trading Plan entered into by the Reporting Person on November 15, 2016.

(3) 6,950 of these ordinary shares are restricted. The restrictions on these ordinary shares lapse as follows: 500 on June 1, 2017; 500 on June 1, 2018; 500 on June 3, 2019; 500 on June 1, 2020; 625 on May 30, 2017; 625 on May 28, 2018; 625 on May 28, 2019; 750 on May 30, 2017; 750 on May 30, 2018; 450 on May 31, 2017; 375 on October 2, 2017; 375 on October 1, 2018 and 375 on October 1, 2019.

(4) This option to purchase 962 STERIS plc ordinary shares, which is fully vested, was received in the Merger in exchange for an option to purchase 962 STERIS Corporation common shares for \$22.83 per share, subject to the same terms and conditions as the original STERIS Corporation stock option.

(5) This option to purchase 1,000 STERIS plc ordinary shares, which is fully vested, was received in the Merger in exchange for an option to purchase 1,000 STERIS Corporation common shares for \$34.23 per share, subject to the same terms and conditions as the original STERIS Corporation stock option.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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