

AMKOR TECHNOLOGY, INC.

Form 4

November 22, 2016

# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2015  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
OSBORNE JOHN

2. Issuer Name and Ticker or Trading Symbol  
AMKOR TECHNOLOGY, INC.  
[AMKR]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
5729 FONTANOSO WAY  
(Street)

3. Date of Earliest Transaction (Month/Day/Year)  
11/21/2016

Director  10% Owner  
 Officer (give title below)  Other (specify below)

SAN JOSE, CA 95138

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount or Price (A) or (D)		
Common Stock	11/21/2016		A		20,000	A	\$ 10.97 20,000 D
Common Stock	11/21/2016		D		20,000	D	\$ 12.27 0 D
Common Stock	11/21/2016		A		20,000	A	\$ 11.88 20,000 D
Common Stock	11/21/2016		D		20,000	D	\$ 12.27 0 D
	11/21/2016		A		16,666	A	\$ 7.71 16,666 D

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Common Stock							
Common Stock	11/21/2016	D	16,666	D	\$ 12.27	0	D
					<u>(1)</u>		
Common Stock	11/21/2016	A	10,000	A	\$ 6.46	10,000	D
Common Stock	11/21/2016	D	10,000	D	\$ 12.27	0	D
					<u>(1)</u>		
Common Stock	11/21/2016	A	20,000	A	\$ 9.17	20,000	D
Common Stock	11/21/2016	D	20,000	D	\$ 12.27	0	D
					<u>(1)</u>		
Common Stock	11/21/2016	S	6,994	D	\$ 12.27	34,401	D
					<u>(2)</u>		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Underlying Security (Instr. 3 and 4)	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title
Director Stock Option (Right to Buy)	\$ 10.97	11/21/2016		A	20,000	08/06/2008 <sup>(3)</sup>	08/06/2017	Amkor Technology Inc. Common Stock
Director Stock Option (Right-to-Buy)	\$ 11.88	11/21/2016		A	20,000	05/05/2009 <sup>(4)</sup>	05/05/2018	Amkor Technology Inc.

								Common Stock
Director Stock Option (Right-to-Buy)	\$ 7.71	11/21/2016		A	16,666	05/03/2011 <sup>(5)</sup>	05/03/2020	Amkor Technology Inc., Common Stock
Director Stock Option (Right-tp-Buy)	\$ 6.46	11/21/2016		A	10,000	05/03/2012 <sup>(6)</sup>	05/03/2021	Amkor Technology Inc., Common Stock
Director Stock Option (Right-to-Buy)	\$ 9.17	11/21/2016		A	20,000	05/13/2015 <sup>(7)</sup>	05/13/2024	Amkor Technology Inc., Common Stock

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
OSBORNE JOHN 5729 FONTANOSO WAY SAN JOSE, CA 95138		X		

## Signatures

Jerry C. Allison, Attorney-in-Fact for John Osborne  
11/22/2016

\*\*Signature of Reporting Person Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The price reported in Column 4 is a weighted average price. The shares were sold in multiple transaction at prices ranging from 12.25 to 12.30.
- (2) The price reported in Column 4 is a weighted average price. The shares were sold in multiple transaction at prices ranging from 12.25 to 12.27.
- (3) The option was fully vested on 8/6/2010.
- (4) The option was fully vested on 5/5/2011.
- (5) The option was fully vested on 5/3/2013.
- (6) The option was fully vested on 5/3/14.
- (7) The option fully vested on 5/13/2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.