

AMKOR TECHNOLOGY, INC.  
Form 4  
November 08, 2016

**FORM 4** UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Kelley Stephen Douglas

2. Issuer Name and Ticker or Trading Symbol  
AMKOR TECHNOLOGY, INC.  
[AMKR]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
2045 EAST INNOVATION  
CIRCLE  
(Street)

3. Date of Earliest Transaction (Month/Day/Year)  
11/08/2016

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
President and CEO

TEMPE, AZ 85284

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
|                                 |                                      |  |                                | (A) or (D)  | Price   |  |   |
|                                 |                                      |  |                                | Code  | V   | Amount   |   |
| Common Stock                    | 11/04/2016                           |  | M                              | 100,000   | A   | \$ 4.5   | 448,843 <sup>(1)</sup> D                              |
| Common Stock                    | 11/04/2016                           |  | S                              | 100,000   | D   | \$ 10.45 <sup>(2)</sup>                                  | 348,843 D   |
| Common Stock                    | 11/07/2016                           |  | M                              | 100,000   | A   | \$ 4.5   | 448,843 D   |
| Common Stock                    | 11/07/2016                           |  | S                              | 100,000   | D   | \$ 10.89 <sup>(3)</sup>                                  | 348,843 D   |

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Common Stock 11/08/2016 F 21,563 D \$ 11.24 327,280 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Security (Instr. 3 and 4) |       |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|-------|
|  |  |                                      |  | Code                           | V (A) (D)   | Date Exercisable   | Expiration Date   | Title |
| Employee Stock Option (Right to Buy)       | \$ 4.5   | 09/14/2016                           |  | M                              | 42,579  | 05/08/2014 <sup>(4)</sup> 05/08/2023                     | Amkor Technology, Inc., Common Stock                        |       |
| Employee Stock Option (Right to Buy)       | \$ 4.5   | 11/04/2016                           |  | M                              | 100,000   | 05/08/2014 <sup>(4)</sup> 05/08/2023                     | Amkor Technology, Inc., Common Stock                        |       |
| Employee Stock Option (Right to Buy)       | \$ 4.5   | 11/07/2016                           |  | M                              | 100,000   | 05/08/2014 <sup>(4)</sup> 05/08/2023                     | Amkor Technology, Inc., Common Stock                        |       |

## Reporting Owners

| Reporting Owner Name / Address   | Relationships |           |                   |       |
|--|---------------|-----------|-------------------|-------|
|  | Director      | 10% Owner | Officer           | Other |
| Kelley Stephen Douglas<br>2045 EAST INNOVATION CIRCLE<br>TEMPE, AZ 85284 | X             |           | President and CEO |       |

## Signatures

Jerry C. Allison, Attorney-in-Fact for Stephen D.  
Kelley

11/08/2016

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Also reflects the August 22, 2016 transfer of 5,822 shares to Mr. Kelley's ex-spouse pursuant to the terms of a qualified domestic relations order.
- (2) The price reported in Column 4 is a weighted average. Shares sold in multiple transactions at prices from 10.42 to 10.50.
- (3) The price reported in Column 4 is a weighted average. Shares sold in multiple transactions at prices from 10.86 to 10.94.
- (4) The option grant vests as follows: 25% of the shares vest on the first anniversary of the grant date and 1/16 of the option vests each quarter thereafter, such that 100% of the option will vest on the fourth anniversary of the grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.