

Adamas Pharmaceuticals Inc  
Form 4  
October 07, 2016

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
Number: 3235-0287  
Expires: January 31,  
2005  
Estimated average  
burden hours per  
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Went Gregory T

(Last) (First) (Middle)

C/O ADAMAS  
PHARMACEUTICALS, INC., 1900  
POWELL ST., SUITE 750

(Street)

EMERYVILLE, CA 94608

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol  
Adamas Pharmaceuticals Inc  
[ADMS]

3. Date of Earliest Transaction  
(Month/Day/Year)  
10/05/2016

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

☐ Director ☐ 10% Owner  
☒ Officer (give title below) ☐ Other (specify below)

Chief Executive Officer

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transaction<br>Code<br>(Instr. 8) | 4. Securities Acquired<br>(A) or Disposed of (D)<br>(Instr. 3, 4 and 5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6.<br>Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4)        |
|---------------------------------------|-----------------------------------------|-------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------|--------------------------------------------------------------------------|
| Common<br>Stock                       | 10/05/2016                              |                                                             | J <sup>(1)</sup>                        | 117,450 D \$ 0                                                          | 146,208                                                                                                            | D                                                                       |                                                                          |
| Common<br>Stock                       | 10/05/2016                              |                                                             | J <sup>(1)</sup>                        | 195,400 D \$ 0                                                          | 195,392                                                                                                            | I                                                                       | By Trust                                                                 |
| Common<br>Stock                       |                                         |                                                             |                                         |                                                                         | 80,000                                                                                                             | I                                                                       | Gregory T<br>Went &<br>Marjorie S<br>Went ttees<br>2012 Irr<br>Trust FBO |

|                 |        |   |                                                                                                                                   |
|-----------------|--------|---|-----------------------------------------------------------------------------------------------------------------------------------|
| Common<br>Stock | 80,000 | I | Bridget<br>Elise Went<br><br>Gregory T<br>Went &<br>Marjorie S<br>Went ttees<br>2012 Irr<br>Trust FBO<br>Cora<br>Margaret<br>Went |
|-----------------|--------|---|-----------------------------------------------------------------------------------------------------------------------------------|

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transaction<br>Code<br>(Instr. 8) | 5. Number of<br>Derivative<br>Securities<br>Acquired (A) or<br>Disposed of (D)<br>(Instr. 3, 4, and<br>5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) |                 |                                  |
|-----------------------------------------------------|-----------------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|-----------------------------------------|-----------------------------------------------------------------------------------------------------------|----------------------------------------------------------------|---------------------------------------------------------------------|-----------------|----------------------------------|
|                                                     |                                                                       |                                         |                                                             | Code                                    | V (A) (D)                                                                                                 | Date<br>Exercisable                                            | Expiration<br>Date                                                  | Title           | Amount or<br>Number of<br>Shares |
| Stock<br>Option<br>(Right to<br>Buy)                | \$ 0.665                                                              | 10/05/2016                              |                                                             | J <sup>(1)</sup>                        | 121,784                                                                                                   | <sup>(2)</sup>                                                 | 11/16/2021                                                          | Common<br>Stock | 121,784                          |
| Stock<br>Option<br>(Right to<br>Buy)                | \$ 0.665                                                              | 10/05/2016                              |                                                             | J <sup>(1)</sup>                        | 27,995                                                                                                    | <sup>(3)</sup>                                                 | 02/22/2022                                                          | Common<br>Stock | 27,995                           |
| Stock<br>Option<br>(Right to<br>Buy)                | \$ 8.995                                                              | 10/05/2016                              |                                                             | J <sup>(1)</sup>                        | 262,963                                                                                                   | <sup>(4)</sup>                                                 | 02/20/2024                                                          | Common<br>Stock | 262,963                          |
| Stock<br>Option<br>(Right to<br>Buy)                | \$ 17.31                                                              | 10/05/2016                              |                                                             | J <sup>(1)</sup>                        | 9,439                                                                                                     | <sup>(5)</sup>                                                 | 02/24/2025                                                          | Common<br>Stock | 9,439                            |

## Reporting Owners

| Reporting Owner Name / Address                                                                           | Relationships |           |                         |       |
|----------------------------------------------------------------------------------------------------------|---------------|-----------|-------------------------|-------|
|                                                                                                          | Director      | 10% Owner | Officer                 | Other |
| Went Gregory T<br>C/O ADAMAS PHARMACEUTICALS, INC.<br>1900 POWELL ST., SUITE 750<br>EMERYVILLE, CA 94608 | X             |           | Chief Executive Officer |       |

## Signatures

Jennifer Rhodes,  
Attorney-in-fact

10/07/2016

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Securities disposed of pursuant to a marital separation agreement.

(2) Fully vested.

(3) The Option shares vest as follows: one-fifth (1/5th) of the shares vested one year after February 1, 2012; the balance of the shares vest in a series of forty-eight (48) successive equal monthly installments measured from the first anniversary of February 1, 2012, subject to Reporting Person's Continuous Service (as defined in the Issuer's 2007 Stock Plan) as of each such date. The Option shares are subject to an early exercise right and may be exercised in full prior to vesting.

(4) The Option shares vest as follows: one-fifth (1/5th) of the shares vest one year after February 20, 2014; the balance of the shares vest in a series of forty-eight (48) successive equal monthly installments measured from the first anniversary of February 20, 2014, subject to Reporting Person's Continuous Service (as defined in the Issuer's 2007 Stock Plan) as of each such date. The Option shares are subject to an early exercise right and may be exercised in full prior to vesting.

(5) The Option vests as follows: 1/48th of the option shares vest on the 1st of each month beginning with March 1, 2015, subject to Reporting Person's Continuous Service (as defined in the Issuer's 2014 Equity Incentive Plan) as of each such date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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