Intercontinental Exchange, Inc.

Form 4

August 05, 2016

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

2. Issuer Name and Ticker or Trading

2005 Estimated average burden hours per response... 0.5

**OMB APPROVAL** 

3235-0287

January 31,

**OMB** 

5. Relationship of Reporting Person(s) to

Issuer

Number:

Expires:

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

Symbol

1(b).

Hill Scott A

(Print or Type Responses)

1. Name and Address of Reporting Person \*

			Intercontinental Exchange, Inc. [ICE]				e. [ICE]	(Check all applicable)			
(Last) 5660 NEW	(First) NORTHSIDE	(Middle)		f Earliest Tr Day/Year) 2016	ransaction			DirectorX Officer (give below)	10%	Owner or (specify	
				endment, Date Original onth/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting			
FCISUII											
(City)	(State)	(State) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned									
1.Title of Security (Instr. 3)	2. Transaction I (Month/Day/Ye	ar) Execution	med on Date, if Day/Year)	3. Transactio Code (Instr. 8)	(Instr. 3,	ispose	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	08/03/2016			M	500 (1)	A	\$ 112.15	38,809	D		
Common Stock	08/03/2016			M	1,113 (1)	A	\$ 112.48	39,922	D		
Common Stock	08/03/2016			M	1,250 (1)	A	\$ 138.8	41,172	D		
Common Stock	08/03/2016			M	5,427 (1)	A	\$ 189.43	46,599	D		
Common Stock	08/03/2016			S	9,117 (1)	D	\$ 280	37,482 <u>(2)</u>	D		

#### Edgar Filing: Intercontinental Exchange, Inc. - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number Transaction Derivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exerc Expiration D (Month/Day/	ate	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (right to buy) Holding	\$ 138.8	08/03/2016		M	1	1,250	(3)	05/14/2017	Common Stock	1,250
Employee Stock Option (right to buy) Holding	\$ 189.43	08/03/2016		M	5	5,427	(3)	12/28/2017	Common Stock	5,427
Empoyee Stock Option (right to buy) Holding	\$ 112.48	08/03/2016		M	1	1,113	(3)	01/11/2021	Common Stock	1,113
Employee Stock Option (right to buy) Holding	\$ 112.15	08/03/2016		M		500	(3)	01/17/2022	Common Stock	500

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Hill Scott A

5660 NEW NORTHSIDE DRIVE

Chief Financial Officer

ATLANTA, GA 30328

## **Signatures**

/s/Andrew J. Surdykowski, Attorney-in-fact

08/05/2016

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales reported in this Form 4 were effected pursuant to a pre-arranged trading plan established in accordance with Rule 10b5-1 of the Securities Act of 1934, as amended.
  - The common stock number referred in Table I is an aggregate number and represents 23,362 shares of common stock and 14,120 unvested performance based restricted stock units, for which the performance period has been satisfied. These performance based
- restricted stock units vest over a three year period, in which 33.33% of the performance based restricted stock units vest each year. The satisfaction of the performance target for the 2016 performance based restricted stock units and the one-time NYSE merger-related performance based restricted stock units, and the corresponding number of shares of common stock to be issued pursuant to these awards, will not be determined until February 2017 and will be reported at the time of vesting.
- (3) These options are fully vested.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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