

3D SYSTEMS CORP

Form 4

May 19, 2016

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
LOEWENBAUM G WALTER II

(Last) (First) (Middle)

333 THREE D SYSTEMS CIRCLE

(Street)

ROCK HILL, SC 29730

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
3D SYSTEMS CORP [DDD]

3. Date of Earliest Transaction
(Month/Day/Year)
05/17/2016

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

6. Individual or Joint/Group Filing(Check
Applicable Line)

☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	05/17/2016		A	7,824 A	1,022,634	D	
Common Stock					376,441	I	By The Loewenbaum 1992 Trust ⁽²⁾ ⁽³⁾
Common Stock					210,715	I	By spouse ⁽²⁾
Common Stock					33,279	I	By The Lillian Shaw Loewenbaum Trust ⁽²⁾ ⁽³⁾

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Common Stock	5,100	I	By Anna Willis Loewenbaum 1993 Trust <u>(2)</u> <u>(3)</u>
Common Stock	5,100	I	The Elizabeth Scott Loewenbaum 1993 Trust <u>(2)</u> <u>(3)</u>
Common Stock	60,000	I	By The Wally's Trust u/w/o Joel Simon Loewenbaum <u>(2)</u> <u>(4)</u>
Common Stock	55,565	I	By The Waterproof Partnership, L.P. <u>(2)</u> <u>(5)</u>
Common Stock	67,500	I	Blanco Cattle & Ranch, LLC <u>(6)</u>
Common Stock	223,802	I	Elizabeth Scott Loewenbaum 2010 Trust <u>(2)</u> <u>(4)</u>
Common Stock	205,000	I	Anna Loewenbaum Hargrove 2010 Trust <u>(2)</u> <u>(4)</u>
Common Stock	18,328	I	George Walter Loewenbaum TTEE the GWLDDD 2014 AnnuityTrust U/A Dtd 04/14/2014 <u>(2)</u> <u>(4)</u>
Common Stock	44,967	I	Lillian Shaw Loewenbaum TTEE the LSLDDD 2014 Annuity

					Trust U/A Dtd 04/14/2014 ⁽²⁾ <u>(7)</u>
Common Stock		50,000	I		Lillian Shaw Loewenbaum TTEE the LSL3DS 2014 Annuity Trust U/A/ Dtd 11/13/2014 ⁽²⁾ <u>(7)</u>
Common Stock		50,000	I		George Walter Loewenbaum TTEE the GWL3DS 2014 Annuity Trust U/A Dtd 11/13/2014 ⁽²⁾ <u>(4)</u>
Common Stock		81,672	I		The GWL3D 2015 Annuity Trust U/A Dtd 05/18/2015 ⁽²⁾ <u>(4)</u>
Common Stock		55,033	I		The LSL3D 2015 Annuity Trust U/A Dtd 05/18/2015 ⁽²⁾ <u>(7)</u>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr
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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Code V (A) (D)				

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
LOEWENBAUM G WALTER II 333 THREE D SYSTEMS CIRCLE ROCK HILL, SC 29730		X		

Signatures

/s/ Andrew M. Johnson, Attorney-in-Fact	05/19/2016
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__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

A total of 7,824 shares were awarded to the Reporting Person. Of those shares, 3,000 shares were awarded to the Reporting Person

- (1) pursuant to the 2004 Restricted Stock Plan for Non-Employee Directors, and the Reporting Person purchased these shares at par value, or \$0.001 per share; and 4,824 shares were awarded to the Reporting Person pursuant to the Issuer's 2015 Incentive Plan.
- (2) The Reporting Person disclaims beneficial ownership of these securities except to the extent of any pecuniary interest therein.
- (3) Mr. and Mrs. Loewenbaum serve as Trustees.
- (4) Mr. Loewenbaum serves as Trustee.
- (5) Mr. and Mrs. Loewenbaum are the general partners.
- (6) The limited liability company is owned 100% by Mr. Loewenbaum.
- (7) Mrs. Loewenbaum serves as trustee.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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