### Edgar Filing: MONARCH CASINO & RESORT INC - Form 3

#### MONARCH CASINO & RESORT INC

Form 3 April 04, 2016

### FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:

3235-0104

Expires:

January 31, 2005

0.5

Estimated average burden hours per

response...

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement MONARCH CASINO & RESORT INC [MCRI] Cooley Richard L. (Month/Day/Year) 03/21/2016 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 3800 S. VIRGINIA STREET (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) 10% Owner Director \_X\_ Form filed by One Reporting \_X\_\_ Officer Other Person (give title below) (specify below) RENO, NVÂ 89502 Form filed by More than One Vice President of Finance Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) D Â Common Stock 32,200 Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 $Table\ II\ -\ Derivative\ Securities\ Beneficially\ Owned\ (\textit{e.g.},\ puts,\ calls,\ warrants,\ options,\ convertible\ securities)$ 

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) |            | Securities Underivative S | 3. Title and Amount of<br>Securities Underlying<br>Derivative Security |                        | 5. Ownership Form of    | 6. Nature of Indirect<br>Beneficial<br>Ownership |
|--|--|------------|---------------------------|--|------------------------|-------------------------|--|
|  |  |            | (Instr. 4)                |  | Price of               | Derivative              | (Instr. 5)                                       |
|  | Date   | Expiration | Title                     | Amount or  | Derivative<br>Security | Security:<br>Direct (D) |  |
|  | Exercisable Date   | Date       |                           | Number of  | Security               | or Indirect             |  |

#### Edgar Filing: MONARCH CASINO & RESORT INC - Form 3

|                                      |            |            |                 | Shares |          | (I)<br>(Instr. 5) |   |
|--------------------------------------|------------|------------|-----------------|--------|----------|-------------------|---|
| Employee Stock Option (right to buy) | 10/21/2013 | 10/21/2020 | Common<br>Stock | 20,000 | \$ 11.48 | D                 | Â |
| Employee Stock Option (right to buy) | 10/21/2014 | 10/21/2021 | Common<br>Stock | 20,000 | \$ 9.33  | D                 | Â |
| Employee Stock Option (right to buy) | 10/21/2015 | 10/21/2022 | Common<br>Stock | 20,000 | \$ 8.56  | D                 | Â |
| Employee Stock Option (right to buy) | 10/21/2016 | 10/21/2023 | Common<br>Stock | 20,000 | \$ 21.71 | D                 | Â |
| Employee Stock Option (right to buy) | 10/21/2017 | 10/21/2024 | Common<br>Stock | 20,000 | \$ 12.32 | D                 | Â |
| Employee Stock Option (right to buy) | 10/21/2018 | 10/21/2025 | Common<br>Stock | 20,000 | \$ 17.62 | D                 | Â |

# **Reporting Owners**

| Reporting Owner Name / Address                                 | Relationships      |   |                           |       |  |  |
|--|--------------------|---|---------------------------|-------|--|--|
| <b>Fg</b>  | Director 10% Owner |   | Officer                   | Other |  |  |
| Cooley Richard L.<br>3800 S. VIRGINIA STREET<br>RENO, NV 89502 | Â                  | Â | Vice President of Finance | Â     |  |  |

## **Signatures**

/s/ Richard L.
Cooley

\*\*Signature of Reporting Person

O4/04/2016

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Â

#### **Remarks:**

Exhibit List

#### Exhibit 24 - Confirming Statement

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2