PNC FINANCIAL SERVICES GROUP, INC.

Form 4 April 23, 2014

# FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

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subject to Section 16. Form 4 or Form 5 obligations

if no longer

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

may continue. See Instruction

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Kozich Gregory H

2. Issuer Name and Ticker or Trading

Symbol

PNC FINANCIAL SERVICES

GROUP, INC. [PNC]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Controller

(Last)

(City)

(First)

(State)

(Middle)

(Zip)

3. Date of Earliest Transaction (Month/Day/Year)

03/08/2014

Director 10% Owner X\_ Officer (give title

Other (specify below)

ONE PNC PLAZA, 249 FIFTH **AVENUE** 

> (Street) 4. If Amendment, Date Original

> > Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

below)

PITTSBURGH, PA 15222

|                  |                    |                         |  |  | -   | ´ •  |  | •  |
|------------------|--------------------|-------------------------|--|--|---|--|--|--|
|                  |                    | 3.                      | · · · · · · · · · · · · · · · · · · ·  |  |   | 5. Amount of   | *  |  |
| (Month/Day/Year) | Execution Date, if | Transactio              | n(A) or $D$ :  | ispose   | d of (D)  | Securities   | Form: Direct   | Indirect   |
|                  | any                | Code                    | (, ., ., ., .,   |  |   | Beneficially   | (D) or   | Beneficial   |
|                  | (Month/Day/Year)   | (Instr. 8)              |  |  |   | Owned  | Indirect (I)   | Ownership  |
|                  |                    |                         |  |  |   | Following  | (Instr. 4)   | (Instr. 4)   |
|                  |                    |                         |  | ( )  |   | Reported   |  |  |
|                  |                    |                         |  |  |   | Transaction(s)   |  |  |
|                  |                    | Code V                  | Amount   |  | Price   | (Instr. 3 and 4)   |  |  |
|                  |                    |                         |  |  |   |  |  |  |
| 03/08/2014       |                    | F(1)                    | 272  | D  | \$<br>83.85   | 7,886  | D  |  |
|                  | (Month/Day/Year)   | any<br>(Month/Day/Year) | (Month/Day/Year) Execution Date, if any Code (Month/Day/Year) (Instr. 8)  Code V | (Month/Day/Year) Execution Date, if Transaction(A) or Doctor any Code (Instr. 3, (Month/Day/Year)) (Instr. 8)  Code V Amount | (Month/Day/Year) Execution Date, if any Code (Instr. 3, 4 and (Month/Day/Year) (Instr. 8)  (A) or Code V Amount (D) | (Month/Day/Year) Execution Date, if any Code (Instr. 3, 4 and 5) (Month/Day/Year) (Instr. 8)  (A) or Code V Amount (D) Price | (Month/Day/Year)       Execution Date, if any       Transaction(A) or Disposed of (D)       Securities         (Month/Day/Year)       (Instr. 8)       Owned         Following       Reported         (A)       or         Code       V       Amount       (D)       Price | (Month/Day/Year)       Execution Date, if any       Transaction(A) or Disposed of (D) (Disposed of (D))       Securities (D) or (D) o |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

### Edgar Filing: PNC FINANCIAL SERVICES GROUP, INC. - Form 4

| 1. Title of Derivative | 2. Conversion                                     | 3. Transaction Date (Month/Day/Year) |                      | 4.<br>Transacti    | 5.<br>orNumber  | 6. Date Exerc<br>Expiration D |                    | 7. Title a           |   | 8. Price of Derivative | 9. Nu<br>Deriv  |
|------------------------|---|--------------------------------------|----------------------|--------------------|---|-------------------------------|--------------------|----------------------|---|------------------------|---|
| Security (Instr. 3)    | or Exercise<br>Price of<br>Derivative<br>Security | (                                    | any (Month/Day/Year) | Code<br>(Instr. 8) | of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | es<br>d<br>d                  |                    | Underly<br>Securitie | Jnderlying<br>Securities<br>Instr. 3 and 4) | Security (Instr. 5)    | Secur<br>Bene<br>Owne<br>Follo<br>Repo<br>Trans<br>(Instr |
|                        |   |                                      |                      | Code V             | (A) (D)   | Date<br>Exercisable           | Expiration<br>Date | Title N              | lumber                                      |                        |   |

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Kozich Gregory H ONE PNC PLAZA 249 FIFTH AVENUE PITTSBURGH, PA 15222

Controller

## **Signatures**

Christi Davis, Attorney-in-Fact for Gregory H. Kozich

04/23/2014

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares have been withheld to satisfy tax liability resulting from the vesting of restricted stock.

#### **Remarks:**

See attached footnotes page.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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