IntercontinentalExchange Group, Inc.

Form 3

March 04, 2014

### FORM 3

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

3235-0104

Expires:

January 31, 2005

0.5

Estimated average burden hours per

response...

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement IntercontinentalExchange Group, Inc. [ICE] Swann Paul (Month/Day/Year) 02/27/2014 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 2100 RIVEREDGE (Check all applicable) PARKWAY, Â SUITE 500 (Street) 6. Individual or Joint/Group 10% Owner Director \_X\_\_ Officer Other Filing(Check Applicable Line) (give title below) (specify below) \_X\_ Form filed by One Reporting Pres&Mang Dir, ICE Clear Euro Person ATLANTA, GAÂ 30328 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 4. Nature of Indirect Beneficial 1. Title of Security 2. Amount of Securities Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 5) (Instr. 4) Form: Direct (D) or Indirect (I) (Instr. 5) Â 7,375 (1) Common Stock D Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not

required to respond unless the form displays a

currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)  2. Date Exercisal Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
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	Date Exercisable	Expiration Date		Amount or Number of Shares		or Indirect (I) (Instr. 5)	
Employee Stock Option (right to buy) Holding	(2)	12/10/2019	Common Stock	4,931	\$ 106	D	Â
Employee Stock Option (right to buy) Holding	(2)	12/16/2018	Common Stock	4,097	\$ 80.17	D	Â
Employee Stock Option (right to buy) Holding	(2)	12/28/2017	Common Stock	1,110	\$ 189.43	D	Â
Employee Stock Option (right to buy) Holding	(2)	07/09/2017	Common Stock	2,389	\$ 156.78	D	Â
Employee Stock Option (right to buy) Holding	(3)	01/17/2024	Common Stock	5,527	\$ 206.87	D	Â
Employee Stock Option (right to buy) Holding	(4)	01/11/2023	Common Stock	6,508	\$ 129.36	D	Â
Empoyee Stock Option (right to buy) Holding	(2)	01/11/2021	Common Stock	4,059	\$ 112.48	D	Â
Employee Stock Option (right to buy) Holding	(5)	01/17/2022	Common Stock	3,460	\$ 112.15	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships			
. 9	Director	10% Owner	Officer	Other
Swann Paul 2100 RIVEREDGE PARKWAY SUITE 500 ATLANTA, GA 30328	Â	Â	Pres&Mang Dir, ICE Clear Euro	Â

## **Signatures**

/s/ Andrew J. Surdykowski,
Attorney-in-fact
03/04/2014

\*\*Signature of Reporting Person

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Date

The common stock number referred to in Table I is an aggregate number and represents 2,225 shares of common stock and 5,150 unvested performance based restricted stock units, for which the performance period has been satisfied. The performance based restricted stock units vest over a three year period, in which 33.33% of the performance based restricted stock units vest each year on the anniversary of the respective grant dates.

- (2) These options are fully vested.
- (3) 33.33% of the options vest on January 17, 2015, the first anniversary date of grant, and the remaining 66.67% of the options vest in equal monthly installments between February 17, 2015 and January 17, 2017.

**(4)** 

Reporting Owners 2

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33.33% of the options vest on January 11, 2014, the first anniversary date of grant, and the remaining 66.67% of the options either have vested or will vest in equal monthly installments between February 11, 2014 and January 11, 2016.

(5) 33.33% of the options vested on January 17, 2013, the first anniversary date of grant, and the remaining 66.67% of the options either have vested or will vest in equal monthly installments between February 17, 2013 and January 17, 2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.