Edgar Filing: UNIVERSAL ELECTRONICS INC - Form 5

UNIVERSAL ELECTRONICS INC

Form 5

February 14, 2014

FORM	15						OMB A	PPROVAL	
. 01111	_	STATES SEC	CURITIES AN	D EXCHANG	GE CON	MISSION	OMB Number:	3235-0362	
Check this box if no longer subject			Washington, D.C. 20549				Expires:	January 31, 2005	
to Section Form 4 or 5 obligati may cont	Form ANN ons inue.		MENT OF CH VERSHIP OF S			ICIAL	Estimated a burden hou response	verage	
See Instru 1(b). Form 3 H Reported Form 4 Transaction	Filed purs) of the Public	on 16(a) of the S c Utility Holdin e Investment Co	g Company A	ct of 19		on		
MULLIGAN WILLIAM C			2. Issuer Name and Ticker or Trading Symbol UNIVERSAL ELECTRONICS INC [UEIC]			5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last)	(First) (M	Giddle) 3. Sta				<pre> Director Officer (give ow) </pre>		Owner er (specify	
5900 LANI DRIVE, S	DERBROOK SUITE 200								
			Amendment, Date Original (Month/Day/Year)			6. Individual or Joint/Group Reporting (check applicable line)			
CLEVELA	ND, OH 44124	L				Form Filed by	One Reporting Po		
(City)	(State) (Zip)	Гable I - Non-Deri	ivative Securities	s Acquire	d, Disposed o	f, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date any (Month/Day/Ye	Code	4. Securities Ac (A) or Disposed (D) (Instr. 3, 4 and 5	1 of S 1 of S 5) (6 o	Securities Beneficially Dwned at end of Issuer's Fiscal Year Instr. 3 and	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
Common Stock	01/19/2010(1)	01/19/2010	S4	Amount (D) 9,000 D	Price	22,532 <u>(2)</u>	D	Â	
	port on a separate line seficially owned directly		Persons who respond to the collection of information contained in this form are not required to respond unless						

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

the form displays a currently valid OMB control number.

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1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exer	cisable and	7. Titl	le and	8. Price of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transaction	Number	Expiration D	ate	Amou	ınt of	Derivative
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	rlying	Security
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Secur	ities	(Instr. 5)
	Derivative				Securities			(Instr.	3 and 4)	
	Security				Acquired			·		
	•				(A) or					
					Disposed					
					of (D)					
					(Instr. 3,					
					4, and 5)					
					,					
									Amount	
						Date Expiration Exercisable Date	Expiration Date		or	
								Title	Number	
								of		
					(A) (D)				Shares	

Reporting Owners

Reporting Owner Name / Address	Relationships					
FB	Director	10% Owner	Officer	Other		
MULLIGAN WILLIAM C 5900 LANDERBROOK DRIVE SUITE 200 CLEVELAND, OH 44124	ÂX	Â	Â	Â		

Signatures

/s/William C. Mulligan by Richard A. Firehammer, Jr., pursuant to Limited Power of Attorney dated January 22, 2003 (attached)

02/13/2014

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**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Form 5 is being filed to report a sale of common stock by Reporting Person, the filing to report which was previously missed.
- (2) This figure represents the holdings of Common Stock of Company by Reporting Person as of December 31, 2010, Company's last day of the fiscal year, 2010.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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