Edgar Filing: AMERICAN FINANCIAL GROUP INC - Form 4

AMERICAN FINANCIAL GROUP INC Form 4

November (04, 2013										
FORM	/ / /		GEGU					NOP CO		OMB AP	PROVAL
	UNITED	STATES				AND EX(, D.C. 20		NGE CC	OMMISSION	OMB Number:	3235-0287
Check t if no lor subject Section Form 4	F CHAI			BENEF	ICIA	L OWN	ERSHIP OF	Expires: Estimated a burden hour response			
Form 5 obligati may con <i>See</i> Inst 1(b). (Print or Type	ons Section 17(ruction	(a) of the	Public U	Jtility	Hol	ding Con	npan	•	Act of 1934, 935 or Section		
(Thit of Type	(Kesponses)										
1. Name and LINDNER	Address of Reporting S CRAIG	Person [*]	Symbol			d Ticker or		I	Relationship of ssuer	Reporting Pers	on(s) to
			AMER INC [A		[FI]	NANCIA	L Gł	ROUP	(Check	all applicable)
(Last)	(First) (FOURTH STREE	Middle)	(Month/	Day/Ye		ransaction		-	_X Director _X Officer (give elow)		Owner r (specify
301 EAST	FUUKINSIKE	21	10/31/2	2013					Co-CEC	& Co-Preside	nt
	(Street)		4. If Am Filed(Mo			ate Origina r)	1	A	b. Individual or Joi Applicable Line) X_Form filed by O	ne Reporting Per	son
CINCINN	ATI, OH 45202							P	Form filed by Me Person	ore than One Rej	porting
(City)	(State)	(Zip)	Tat	ole I - N	on-]	Derivative	Secur	ities Acqui	red, Disposed of,	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deem Execution any (Month/D	Date, if	3. Transa Code (Instr.		4. Securiti nor Dispose (Instr. 3, 4	ed of (5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common				Code	V	Amount	(D)	Price	(Instr. 3 and 4)		
Common Stock	09/17/2013			G	V	200	D	\$0	1,530,278	Ι	#1 <u>(1)</u>
Common Stock	10/10/2013			G	V	262	А	\$ 0	1,530,540	Ι	#1 <u>(1)</u>
Common Stock	10/28/2013			G	V	4,480	D	\$0	1,526,060	Ι	#1 <u>(1)</u>
Common stock	10/31/2013			М		32,500	А	\$ 26.8933	1,558,560	Ι	#1 <u>(1)</u>
Common Stock	10/31/2013			S		32,500	D	\$ 56.616 (2)	1,526,060	Ι	#1 <u>(1)</u>

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Common Stock	11/01/2013	S	8,66	3	D	\$ 56.653 (3)	1,517,397	Ι	#1 <u>(1)</u>
Common Stock	11/04/2013	S	30,0	00	D	\$ 56.1945 (4)	1,487,397	Ι	#1 <u>(1)</u>
Common Stock	10/10/2013	G	V 262		A	\$ 0	112,461	I	#3 <u>(5)</u>
Common Stock							28,418.3	Ι	#12 <u>(6)</u>
Common Stock							2,366,296	Ι	#27 <u>(7)</u>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of etionDerivative Securities b) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exerc Expiration D (Month/Day/	ate	7. Title and A Underlying S (Instr. 3 and	Securities	8 E S (1
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Option Exercise	\$ 26.8933	10/31/2013		М		32,500	(8)	02/22/2016	Common	32,500	

Reporting Owners

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
LINDNER S CRAIG 301 EAST FOURTH STREET CINCINNATI, OH 45202	Х		Co-CEO & Co-President					

Signatures

S. Craig Lindner By: Karl J. Grafe, as Attorney-in-Fact

**Signature of Reporting Person

11/04/2013 Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Indirect #1: SCL TTEE of the SCL Living Trust DTD 03/30/83.

The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$56.60 to \$56.67, inclusive. The reporting person undertakes to provide to American Financial Group, Inc., ("AFG"), any security holder of

- (2) AFG, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in footnotes (2), (3) and (4).
- (3) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$56.65 to \$56.67, inclusive.
- (4) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$56.10 to \$56.285, inclusive.
- (5) Indirect #3: By Frances R. Lindner, Trustee for the Frances R. Lindner living Trust dated 9/13/93. (SCL)
- (6) Indirect #12: Held in the Company's Retirement and Savings Plan. The number of shares of Common is based on a statement dated as of 12/31/2012. (SCL)

Indirect #27: Shares voting and dispositive power and holds a remainder interest in shares held directly or indirectly by a charitable lead

- (7) annuity trust. The reporting person disclaims beneficial interest of the shares held by the trust except to the extent of his pecuniary interest in such shares.
- (8) These Employee Stock Options become exercisable in five equal installments beginning on the first anniversary of the grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.