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Allied World Assurance Co Holdings, AG Form 3 October 21, 2013 FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

# Washington, D.C. 20549

### INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2 Data of Essent Da

#### (Print or Type Responses)

1. Title of (Instr. 4)

1 Name and Address of Demanting

1. Name and Address of Reporting Person <u>*</u> Schwartz Eric S			2. Date of Event Requiring Statement (Month/Day/Year)	3. Issuer Name <b>and</b> Ticker or Trading Symbol Allied World Assurance Co Holdings, AG [AWH]				
(Last)	(First)	(Middle)	10/17/2013	4. Relationship of Reporting Person(s) to Issuer			5. If Amendment, Date Original Filed(Month/Day/Year)	
ALLIED WORLD ASSURANCE CO. HOLDINGS, AG, LINDENSTRASSE 8 (Street) BAAR/ZUG, V8 CH-6340		(Check all applicable) <u>X</u> Director 10% Owner <u>Officer</u> Other (give title below) (specify below)			<ul> <li>6. Individual or Joint/Group</li> <li>Filing(Check Applicable Line)</li> <li>_X_Form filed by One Reporting</li> <li>Person</li> <li> Form filed by More than One</li> </ul>			
(City)	(State)	(Zip)	Table I - N	Non-Deriva	tive Securiti	ies Be	Reporting Person neficially Owned	
1.Title of Secu (Instr. 4)	ırity		2. Amount o Beneficially (Instr. 4)		3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nat Owne (Instr.		
Reminder: Rep owned directly	-		ch class of securities benefic	ially S	SEC 1473 (7-02	)		
			oond to the collection of ained in this form are not					

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#### Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

f Derivative Security	Expiration Date				4. Conversion or Exercise Price of	5. Ownership Form of Derivative	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of	Derivative Security	Security: Direct (D)	

OMB APPROVAL

OMB Number: 3235-0104 Expires: January 31, 2005 Estimated average burden hours per response... 0.5

Shares or Indirect (I) (Instr. 5)

# **Reporting Owners**

Reporting Owner Name / Address	Relationships				
	Director	10% Owner	Officer	Other	
Schwartz Eric S ALLIED WORLD ASSURANCE CO. HOLDINGS, AG LINDENSTRASSE 8 BAAR/ZUG, V8 CH-6340	ÂX	Â	Â	Â	
Signatures					

/s/ Eric S. Schwartz

Date

10/17/2013

### \*\*Signature of Reporting Person

# **Explanation of Responses:**

### No securities are beneficially owned

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

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### **Remarks:**

### No securities are beneficially owned.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.