Esposito Orlando C. Form 3 April 10, 2013

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**SECURITIES** 

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement PNC FINANCIAL SERVICES GROUP, INC. [PNC] À Esposito Orlando C. (Month/Day/Year) 04/01/2013 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) ONE PNC PLAZA, 249 FIFTH (Check all applicable) **AVE** (Street) 6. Individual or Joint/Group 10% Owner Director \_X\_\_ Officer Other Filing(Check Applicable Line) (give title below) (specify below) \_X\_ Form filed by One Reporting **Executive Vice President** Person PITTSBURGH, Â PAÂ 15222 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 4. Nature of Indirect Beneficial 1. Title of Security 2. Amount of Securities Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Â \$5 Par Common Stock D 11,773 \$5 Par Common Stock 4,036 (1) I 401(k) Plan Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative	2. Date Exercisable and	3. Title and Amount of	4.	5.	<ol><li>Nature of Indirect</li></ol>
Security	Expiration Date	Securities Underlying	Conversion	Ownership	Beneficial Ownership
(Instr. 4)	(Month/Day/Year)	Derivative Security	or Exercise	Form of	(Instr. 5)
		(Instr. 4)	Price of	Derivative	

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)	
Employee Stock Option (Right-to-Buy)	01/22/2009(2)	01/22/2018	\$5 Par Common Stock	4,000	\$ 57.21	D	Â
Employee Stock Option (Right-to-Buy)	02/12/2010(2)	02/12/2019	\$5 Par Common Stock	4,500	\$ 31.07	D	Â
Employee Stock Option (Right-to-Buy)	01/25/2011(2)	01/25/2020	\$5 Par Common Stock	12,000	\$ 54.01	D	Â
Employee Stock Option (Right-to-Buy)	02/09/2012(2)	02/09/2021	\$5 Par Common Stock	16,000	\$ 64.21	D	Â
Employee Stock Option (Right-to-Buy)	01/23/2007(2)	01/23/2016	\$5 Par Common Stock	1,200	\$ 70.055	D	Â
Employee Stock Option (Right-to-Buy)	01/06/2005(2)	01/06/2014	\$5 Par Common Stock	1,200	\$ 54.04	D	Â
Employee Stock Option (Right-to-Buy) Reload Option	10/24/2006(2)	02/16/2010	\$5 Par Common Stock	2,000	\$ 58.645	D	Â
Employee Stock Option (Right-to-Buy) Reload Option	01/25/2008(2)	01/06/2014	\$5 Par Common Stock	1,110	\$ 72.65	D	Â
Phantom Stock Unit	(3)	(3)	\$5 Par Common Stock	2,256	\$ <u>(3)</u>	I	Deferred Compensation Plan
Phantom Stock Unit	(3)	(3)	\$5 Par Common Stock	436 (4)	\$ <u>(3)</u>	I	Supplemental Incentive Savings Plan
Restricted Share Units	(5)	(5)	\$5 Par Common Stock	576	\$ <u>(5)</u>	D	Â
Restricted Share Units	(6)	(6)	\$5 Par Common Stock	247	\$ <u>(6)</u>	D	Â

# **Reporting Owners**

Reporting Owner Name / Address

Relationships

Reporting Owners

#### Edgar Filing: Esposito Orlando C. - Form 3

Director 10% Owner Officer Other

Esposito Orlando C. ONE PNC PLAZA 249 FIFTH AVE

Â Executive Vice President Â

PITTSBURGH, Â PAÂ 15222

### **Signatures**

George P. Long, III Attorney-in-Fact for Orlando C. Esposito

04/10/2013

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
  - The amount of securities beneficially owned represents the number of shares of common stock indirectly held for the account of the reporting person under The PNC Financial Services Group, Inc. ("PNC") Incentive Savings Plan (the "ISP"). Shares of PNC common
- (1) stock are not directly allocated to ISP participants, but instead are held in a unitized fund, approximately 98% of which consists of PNC common stock, and the remainder of which is invested in a money market fund. The percentage of assets in the unitized fund investment option that are deemed to be invested in PNC common stock may vary from time to time.
- (2) Grant of stock options subject to the precondition of an appropriate agreement, signed by the parties. Options generally become exercisable in three equal annual installments, beginning one year after the date of grant.
- One phantom stock unit is the economic equivalent of one share of The PNC Financial Services Group, Inc. ("PNC") Common Stock. Phantom stock units will be settled in cash upon distribution to the reporting person and generally do not expire.
  - The amount of securities beneficially owned represents the number of shares of common stock indirectly held for the account of the reporting person under The PNC Financial Services Group, Inc. ("PNC") Supplemental Incentive Savings Plan (the "SISP"). Shares of
- (4) PNC common stock are not directly allocated to SISP participants, but instead are held in a unitized fund, approximately 98% of which consists of PNC common stock, and the remainder of which is invested in a money market fund. The percentage of assets in the unitized fund investment option that are deemed to be invested in PNC common stock may vary from time to time.
- (5) Each Restricted Share Unit represents the contingent right to receive the cash value of one share of PNC common stock. The Restricted Share Units will vest in installments of 288 units on February 7, 2014 and 288 units on February 7, 2015.
- (6) Each Restricted Share Unit represents the contingent right to receive the cash value of one share of PNC common stock. The Restricted Share Units will vest on February 9, 2014.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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