

FLEMING GREGORY J

Form 4

February 07, 2013

FORM 4
UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
FLEMING GREGORY J

(Last) (First) (Middle)

C/O MORGAN STANLEY, 1585
BROADWAY

(Street)

NEW YORK, NY 10036

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
MORGAN STANLEY [MS]

3. Date of Earliest Transaction
(Month/Day/Year)

01/28/2013

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

____ Director ____ 10% Owner
X Officer (give title below) ____ Other (specify below)

President, GWM/Investment Mgt.

6. Individual or Joint/Group Filing(Check
Applicable Line)
X Form filed by One Reporting Person
____ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Common Stock	01/28/2013		J(1)		12,295	D	\$ 22.55
Common Stock	01/30/2013		J(1)		8,506	D	\$ 22.68
Common Stock	02/05/2013		J(1)		6,723	D	\$ 23.25
Common Stock	02/06/2013		J(1)		18,398	D	\$ 23.34
Common Stock	02/07/2013		J(1)		28,775	D	\$ 23.13

Common Stock	01/28/2013	J ⁽¹⁾	12,295	A	\$ 22.55	12,295	I	By Spouse as Trustee for Irrevocable Family Trust
Common Stock	01/30/2013	J ⁽¹⁾	8,506	A	\$ 22.68	20,801	I	By Spouse as Trustee for Irrevocable Family Trust
Common Stock	02/05/2013	J ⁽¹⁾	6,723	A	\$ 23.25	27,524	I	By Spouse as Trustee for Irrevocable Family Trust
Common Stock	02/06/2013	J ⁽¹⁾	18,398	A	\$ 23.34	45,922	I	By Spouse as Trustee for Irrevocable Family Trust
Common Stock	02/07/2013	J ⁽¹⁾	28,775	A	\$ 23.13	74,697	I	By Spouse as Trustee for Irrevocable Family Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3,	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu
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4, and 5)

	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Code V (A) (D)				

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
FLEMING GREGORY J C/O MORGAN STANLEY 1585 BROADWAY NEW YORK, NY 10036			President, GWM/Investment Mgt.	

Signatures

/s/ Martin M. Cohen, Attorney-in-Fact	02/07/2013
**Signature of Reporting Person	Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The Reporting Person contributed shares of Common Stock to a family trust in exchange for assets of equal value. There is no change in the number of shares of Common Stock beneficially owned by the Reporting Person for purposes of Section 16.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.
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