Edgar Filing: DUDLEY EDWARD L - Form 4

DUDLEY El Form 4 May 20, 201									
FORM	IЛ							OMB AF	PROVAL
	UNITED	STATES SECU W	RITIES A ashington,			NGE C	COMMISSION	OMB Number:	3235-0287
Check thi if no long subject to	er STATEM	ENT OF CHA	NGES IN	BENEF	[CIA	LOW	NERSHIP OF	Expires:	January 31, 2005
Section 1 Form 4 or	6.		SECURITIES				Estimated a burden hour response	•	
Form 5 obligation may cont <i>See</i> Instru	$\frac{18}{1000}$ Section 17(a	suant to Section a) of the Public 30(h) of the 1	Utility Hole	ding Con	ipany	Act of	1935 or Section		0.0
1(b). (Print or Type F	Responses)								
1. Name and A DUDLEY E	ddress of Reporting F DWARD L	Symbol	2. Issuer Name and Ticker or Trading Symbol5. Relationship of Reporting Person(s) to Issuer				on(s) to		
		СНОС	ROCKY MOUNTAIN CHOCOLATE FACTORY INC			(Check all applicable)			
(Last)	(First) (M		FJ of Earliest Ti /Day/Year)	ransaction			Director X_Officer (give below)	title Othe below)	Owner r (specify
265 TURNER DRIVE			(Month/Day/Year) VP Sales & Marketing 05/16/2011					g	
	(Street)		nendment, Da onth/Day/Year	-	l		6. Individual or Jo Applicable Line)	int/Group Filin	g(Check
DURANGO	, CO 81303						_X_ Form filed by C Form filed by M Person		
(City)	(State)	(Zip) Ta	ble I - Non-E	Derivative	Secur	ities Acq	uired, Disposed of	, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		Code	4. Securi on(A) or Di (Instr. 3,	spose	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	
Common	05/16/2011	05/16/2011	Code V G	Amount 2,000	(D) D	Price \$ 10.98	(Instr. 3 and 4) 53,869	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

Edgar Filing: DUDLEY EDWARD L - Form 4 1. Title of 2. 3. Transaction Date 3A. Deemed 4. Derivative Conversion (Month/Day/Year) Execution Date, if Transa Code Day/Year) (Instr.

-	conversion	(1.101111/2 a), 10a)	Liteeation
	or Exercise		any
	Price of		(Month/D
	Derivative		
	Security		

	5.	6. Date Exercisable and	7. Title and	8. Price of
sactio	onNumber	Expiration Date	Amount of	Derivative
	of	(Month/Day/Year)	Underlying	Security
. 8)	Derivative	e	Securities	(Instr. 5)
	Securities		(Instr. 3 and 4)	
	Acquired			
	(A) or			
	Disposed			
	of (D)			
	(Instr. 3,			
	4, and 5)			
			Amount	

							mount
				Date	Expiration		or
				Exercisable	Expiration	Title	Number
				Exercisable	Date		of
Code	V	(A)	(D)				Shares

Reporting Owners

Security

(Instr. 3)

Reporting Owner Name / Add	lress	Relationships						
I B	Director	10% Owner	Officer	Other				
DUDLEY EDWARD L 265 TURNER DRIVE DURANGO, CO 81303			VP Sales & Marketing					
Signatures								
Edward L. Dudley	05/20/2011							

**Signature of Reporting Person Date

Explanation of Responses:

If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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Deriv

Secu

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