

USHER THOMAS J
Form 4
March 13, 2013

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
USHER THOMAS J

(Last) (First) (Middle)

UNITED STATES STEEL CORPORATION,, 600 GRANT STREET, ROOM 610

(Street)

PITTSBURGH, PA 15219-2800

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
PPG INDUSTRIES INC [PPG]

3. Date of Earliest Transaction
(Month/Day/Year)
03/12/2013

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
				(A) or (D)	Code V Amount (D) Price		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative	2. Conversion	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if	4. Transaction	5. Number of Derivative	6. Date Exercisable and Expiration Date	7. Title and Amount of Underlying Securities	8
------------------------	---------------	--------------------------------------	-------------------------------	----------------	-------------------------	---	--	---

Edgar Filing: USHER THOMAS J - Form 4

Security (Instr. 3)	or Exercise Price of Derivative Security	any (Month/Day/Year)	Code (Instr. 8)	Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	(Month/Day/Year)	(Instr. 3 and 4)				
			Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Phantom Stock Units	(1)	03/12/2013	A		151.999		(2)	(2)	Common Stock	151.999 \$

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

USHER THOMAS J
 UNITED STATES STEEL CORPORATION,
 600 GRANT STREET, ROOM 610
 PITTSBURGH, PA 15219-2800

X

Signatures

Greg E. Gordon, Attorney-in-Fact for Thomas J. Usher

03/13/2013

 **Signature of Reporting Person

 Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The security converts to common stock on a one-for-one basis.
- (2) After termination of service as a Director of PPG Industries, Inc.
- (3) Total of all phantom stock units held by the reporting person in the PPG Industries, Inc. Deferred Compensation Plan for Directors.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number. 2007 2006 +/- (%) 2007 2006 +/- (%) GBpm GBpm GBpm GBpm Insurance 3,725 4,128 (10)% 3,725 3,921 (5)% Investment 10,442 7,322 43% 10,442 6,918 51% _____ Total 14,167 11,450 24% 14,167 10,839 31% 8. For Jackson, market share data is provided for the full year of 2006, being the latest available. Variable annuity data is sourced from VARDS, fixed annuity data is sourced from LIMRA and fixed index annuities data is sourced from LIMRA and The Advantage Group. 9. Financial Calendar 2006 - 2007: AGM 17 May 2007 Interim Results 1 August 2007 Q3 New Business Figures 18 October 2007

*Prudential plc is a company incorporated and with its principal place of business in England, and its affiliated companies constitute one of the world's leading financial services groups. It provides insurance and financial services directly and through its subsidiaries and affiliates throughout the world. It has been in existence for over 150 years and has GBP250.7 billion in assets under management as at 31 December 2006. Prudential plc is not affiliated in any manner with Prudential Financial, Inc, a company whose principal place of business is in the United States of America. Forward-Looking Statements This statement may contain certain "forward-looking statements" with respect to certain of Prudential's plans and its current goals and expectations relating to its future financial condition, performance, results, strategy and objectives. Statements containing the words "believes", "intends", "expects",

"plans", "seeks" and "anticipates", and words of similar meaning, are forward-looking. By their nature, all forward-looking statements involve risk and uncertainty because they relate to future events and circumstances which are beyond Prudential's control including among other things, UK domestic and global economic and business conditions, market related risks such as fluctuations in interest rates and exchange rates, and the performance of financial markets generally; the policies and actions of regulatory authorities, the impact of competition, inflation, and deflation; experience in particular with regard to mortality and morbidity trends, lapse rates and policy renewal rates; the timing, impact and other uncertainties of future acquisitions or combinations within relevant industries; and the impact of changes in capital, solvency or accounting standards, and tax and other legislation and regulations in the jurisdictions in which Prudential and its affiliates operate. This may for example result in changes to assumptions used for determining results of operations or re-estimations of reserves for future policy benefits. As a result, Prudential's actual future financial condition, performance and results may differ materially from the plans, goals, and expectations set forth in Prudential's forward-looking statements. Prudential undertakes no obligation to update the forward-looking statements contained in this statement or any other forward-looking statements it may make.

SCHEDULE 1A - CONSTANT EXCHANGE RATES PRUDENTIAL PLC - NEW BUSINESS - QUARTER 1 2007 TOTAL INSURANCE AND INVESTMENT NEW BUSINESS UK & Europe US (1a) Asia (1a)																										
2007	2006	Q1	Q1	Q1	Q1	Q1	Q1	YTD	YTD	+/-	YTD	YTD	+/-	YTD	YTD	+/-	YTD	YTD	+/-							
GBPm	GBPm	GBPm	GBPm	GBPm	GBPm	GBPm	GBPm	GBPm	GBPm	%	GBPm	GBPm	%	GBPm	GBPm	%	GBPm	GBPm	%							
Total Insurance																										
1,375	2,047							1,766	1,460	21%	584	414	41%	3,725	3,921	5%	3,283	2,915	13%							
Products Total Investment																										
7,155	4,003							10,442	6,918	51%																
Gross Inflows (2)																										
4,658	4,962							7,739	4,417	75%	14,167	10,839	31%													
INSURANCE OPERATIONS																										
Single Regular Total Annual Equivalents(3)																										
2007	2006	Q1	Q1	Q1	Q1	Q1	Q1	YTD	YTD	+/-	YTD	YTD	+/-	YTD	YTD	+/-	YTD	YTD	+/-							
GBPm	GBPm	GBPm	GBPm	GBPm	GBPm	GBPm	GBPm	GBPm	GBPm	%	GBPm	GBPm	%	GBPm	GBPm	%	GBPm	GBPm	%							
UK Insurance Operations Product Summary																										
325	254							325	254	28%	325	254	28%	25	32%	205	103	99%	21	10	110%					
Partnership Annuities Intermediated																										
132	0%							132	132	0%	13	13	0%													
Annuities																										
662	489							662	489	35%	66	49	35%													
Annuities Equity Release																										
34	9							278%	3	1	200%															
Individual Pensions																										
9	3							9	3	200%	1															
Corporate Pensions																										
129	13							892%	14	9	56%	143	22	550%	27	10	170%									
Unit Linked Bonds																										
71	115							71	115	38%	7	12	42%													
With-Profit Bonds																										
56	29							56	29	93%	6	3	100%													
Protection																										
1	3							1	3	67%	1	3	67%													
Offshore Products																										
127	191							128	191	33%	14	19	26%													
Total Retail																										
1,088	849							1,104	861	28%	125	97	29%													
Retirement Corporate Pensions																										
46	116							28	19	47%	74	135	45%	33	31	6%										
Other Products																										
49	65							6	6	0%	55	71	23%	11	13	15%										
DWP Rebates																										
129	161							129	161	20%	13	16	19%													
Total Mature Life and Pensions																										
224	342							34	25	36%	258	367	30%	56	59	5%										
Total Retail																										
1,312	1,191							1,362	1,228	11%	181	156	16%													
Wholesale Annuities																										
3	664							3	664	100%	66															
Credit Life																										
10	155							10	155	94%	1	16	94%													
Total UK Insurance																										
1,325	2,010							50	37	35%	1,375	2,047	33%	183	238	23%										
Operations Channel Summary																										
634	440							43	30	43%	677	470	44%	106	74	43%										
Partnership Intermediated																										
549	590							7	7	0%	556	597	7%	62	66	6%										
Wholesale																										
13	819							13	819	98%	1	82	99%													
Sub-Total																										
1,196	1,849							1,246	1,886	34%	170	222	23%	129	161	20%	129	161	20%	13	16	19%				
Total UK Insurance																										
1,325	2,010							1,375	2,047	33%	183	238	23%													
Operations US Insurance Operations (1a)																										
Fixed Annuities	134	133						134	133	1%	13	13	0%													
Fixed Index Annuities																										
109	119							109	119	8%	11	12	8%													
Variable Annuities																										
1,050	802							1,050	802	31%	105	80	31%	Life	2	2	0%	4	3	33%	6	5	20%	4	3	33%
Sub-Total Retail																										
1,295	1,056							1,299	1,059	23%	134	109	23%													
Guaranteed Investment																										
132	245							132	245	46%	13	25	48%													
Contracts GIC - Medium Term																										
335	156							335	156	115%	34	16	113%													
Note																										
																		Total US								
1,762	1,457							1,766	1,460	21%	180	149	21%													
Operations Asian Insurance Operations (1a)																										

Edgar Filing: USHER THOMAS J - Form 4

China 6 10 (40%) 11 5 120% 17 15 13% 12 6 100% Hong Kong 102 59 73% 26 17 53% 128 76 68% 36 23 57% India (6) 12 7 71% 56 29 93% 68 36 89% 57 30 90% Indonesia 16 4 300% 20 13 54% 36 17 112% 22 13 69% Japan 30 9 233% 7 - - 37 9 311% 10 1 900% Korea 27 28 (4%) 52 49 6% 79 77 3% 55 52 6% Malaysia 2 1 100% 14 14 0% 16 15 7% 14 14 0% Singapore 103 83 24% 15 13 15% 118 96 23% 25 21 19% Taiwan 36 27 33% 33 37 (11%) 69 64 8% 37 40 (8%) Other (4) 7 2 250% 9 7 29% 16 9 78% 10 7 43%

Total Asian Insurance 341 230 48% 243 184 32% 584 414 41% 277 207 34%

Operations _____ Group

Total 3,428 3,697 (7%) 297 224 33% 3,725 3,921 (5%) 640 594 8% Schedule 1B - Actual Exchange Rates

PRUDENTIAL PLC - NEW BUSINESS - QUARTER 1 2007 TOTAL INSURANCE AND INVESTMENT NEW BUSINESS UK & Europe US (1b) Asia (1b) Total 2007 2006 2007 2006 2007 Q1 2006 Q1 2007 2006 Q1 Q1 Q1 Q1 Q1 YTD YTD +/-(-%) YTD YTD +/-(-%) YTD YTD +/-(-%) YTD YTD +/-(-%) GBPm GBPm GBPm GBPm GBPm GBPm GBPm GBPm Total Insurance 1,375 2,047 (33%) 1,766 1,629 8% 584 452 29% 3,725 4,128 (10%)

Products Total Investment 3,283 2,915 13% 4 - - 7,155 4,407 62% 10,442 7,322 43% Products - Gross Inflows (2)

Group Total 4,658

4,962 (6%) 1,770 1,629 9% 7,739 4,859 59% 14,167 11,450 24% INSURANCE OPERATIONS Single Regular Total

Annual Equivalents(3) 2007 Q1 2006 Q1 2007 Q1 2006 Q1 2007 Q1 2006 Q1 2007 2006 Q1 Q1 YTD YTD +/-(-%)

YTD YTD +/-(-%) YTD YTD +/-(-%) YTD YTD +/-(-%) GBPm GBPm GBPm GBPm GBPm GBPm GBPm GBPm

UK Insurance Operations Product Summary Internal Vesting 325 254 28% - - - 325 254 28% 33 25 32% annuities

Direct and 205 103 99% - - - 205 103 99% 21 10 110% Partnership Annuities Intermediated 132 132 0% - - - 132 132

0% 13 13 0% Annuities

Total Individual 662 489 35% - - - 662 489 35% 66 49 35% Annuities Equity Release 34 9 278% - - - 34 9

278% 3 1 200% Individual Pensions 9 3 200% - - - 9 3 200% 1 - - Corporate Pensions 129 13 892% 14 9 56% 143 22

550% 27 10 170% Unit Linked Bonds 71 115 (38%) - - - 71 115 (38%) 7 12 (42%) With-Profit Bonds 56 29 93% - - -

56 29 93% 6 3 100% Protection - - - 1 3 (67%) 1 3 (67%) 1 3 (67%) Offshore Products 127 191 (34%) 1 - - 128 191

(33%) 14 19 (26%)

Total Retail 1,088 849 28% 16 12 33% 1,104 861 28% 125 97 29% Retirement Corporate Pensions 46 116 (60%) 28

19 47% 74 135 (45%) 33 31 6% Other Products 49 65 (25%) 6 6 0% 55 71 (23%) 11 13 (15%) DWP Rebates 129 161

(20%) - - - 129 161 (20%) 13 16 (19%)

Total Mature Life and 224 342 (35%) 34 25 36% 258 367 (30%) 56 59 (5%) Pensions

Total Retail 1,312 1,191

10% 50 37 35% 1,362 1,228 11% 181 156 16% Wholesale Annuities 3 664 (100%) - - - 3 664 (100%) - 66 - Credit

Life 10 155 (94%) - - - 10 155 (94%) 1 16 (94%)

Total UK Insurance 1,325 2,010 (34%) 50 37 35% 1,375 2,047 (33%) 183 238 (23%)

Operations Channel Summary Direct and 634 440 44% 43 30 43% 677 470 44% 106 74 43% Partnership

Intermediated 549 590 (7%) 7 7 0% 556 597 (7%) 62 66 (6%) Wholesale 13 819 (98%) - - - 13 819 (98%) 1 82 (99%)

Sub-Total 1,196

1,849 (35%) 50 37 35% 1,246 1,886 (34%) 170 222 (23%) DWP Rebates 129 161 (20%) - - - 129 161 (20%) 13 16

(19%)

Total UK

Insurance 1,325 2,010 (34%) 50 37 35% 1,375 2,047 (33%) 183 238 (23%) Operations US Insurance Operations (1b)

Fixed Annuities 134 149 (10%) - - - 134 149 (10%) 13 15 (13%) Fixed Index Annuities 109 133 (18%) - - - 109 133

(18%) 11 13 (15%) Variable Annuities 1,050 894 17% - - - 1,050 894 17% 105 89 18% Life 2 2 0% 4 4 0% 6 6 0% 4

4 0%

Sub-Total

Retail 1,295 1,178 10% 4 4 0% 1,299 1,182 10% 134 122 10% Guaranteed Investment 132 273 (52%) - - - 132 273

(52%) 13 27 (52%) Contracts GIC - Medium Term 335 174 93% - - - 335 174 93% 34 17 100% Note

Total US Insurance 1,762 1,625 8%

4 4 0% 1,766 1,629 8% 180 167 8% Operations Asian Insurance Operations (1b) China 6 11 (45%) 11 5 120% 17 16

6% 12 6 100% Hong Kong 102 66 55% 26 20 30% 128 86 49% 36 27 33% India (5) 12 8 50% 56 32 75% 68 40 70%

57 33 73% Indonesia 16 4 300% 20 14 43% 36 18 100% 22 14 57% Japan 30 10 200% 7 - - 37 10 270% 10 1 900%

Korea 27 30 (10%) 52 52 0% 79 82 (4%) 55 55 0% Malaysia 2 1 100% 14 14 0% 16 15 7% 14 14 0% Singapore 103

88 17% 15 14 7% 118 102 16% 25 23 9% Taiwan 36 31 16% 33 42 (21%) 69 73 (5%) 37 45 (18%) Other (4) 7 2

250% 9 8 13% 16 10 60% 10 8 25%

Edgar Filing: USHER THOMAS J - Form 4

2007 2006 Q1 Q1 Q1 Q1 Q1 Q1 YTD YTD +/- (%) YTD YTD +/- (%) YTD YTD +/- (%) YTD YTD +/- (%) GBPm
 GBPm GBPm GBPm GBPm GBPm GBPm GBPm Total Insurance 1,375 2,047 (33%) 1,766 1,460 21% 584 414 41%
 3,725 3,921 (5%) Products Total Investment 3,283 2,915 13% 4 - - 7,155 4,003 79% 10,442 6,918 51% Products -
 Gross Inflows (2) _____
 Group Total 4,658 4,962 (6%) 1,770 1,460 21% 7,739 4,417 75% 14,167 10,839 31% INSURANCE OPERATIONS
 Single Regular Total PVNBP (3) 2007 2006 2007 2006 2007 2006 2007 2006 Q1 Q1 Q1 Q1 Q1 Q1 Q1 Q1 YTD
 YTD +/- (%) YTD YTD +/- (%) YTD YTD +/- (%) YTD YTD +/- (%) GBPm GBPm GBPm GBPm GBPm GBPm
 GBPm GBPm UK Insurance Operations Product Summary Internal Vesting 325 254 28% - - - 325 254 28% 325 254
 28% annuities Direct and 205 103 99% - - - 205 103 99% 205 103 99% Partnership Annuities Intermediated 132 132
 0% - - - 132 132 0% 132 132 0% Annuities _____
 _____ Total Individual 662 489 35% - - - 662 489 35% 662 489 35% Annuities Equity Release 34 9
 278% - - - 34 9 278% 34 9 278% Individual Pensions 9 3 200% - - - 9 3 200% 9 3 200% Corporate Pensions 129 13
 892% 14 9 56% 143 22 550% 189 47 302% Unit Linked Bonds 71 115 (38%) - - - 71 115 (38%) 71 115 (38%)
 With-Profit Bonds 56 29 93% - - - 56 29 93% 56 29 93% Protection - - - 1 3 (67%) 1 3 (67%) 7 13 (46%) Offshore
 Products 127 191 (34%) 1 - - 128 191 (33%) 131 191 (31%) _____
 _____ Total Retail 1,088 849 28% 16 12 33% 1,104 861 28% 1,159 896 29%
 Retirement Corporate Pensions 46 116 (60%) 28 19 47% 74 135 (45%) 149 189 (21%) Other Products 49 65 (25%) 6
 6 0% 55 71 (23%) 71 84 (15%) DWP Rebates 129 161 (20%) - - - 129 161 (20%) 129 161 (20%) _____
 _____ Total Mature Life and 224 342
 (35%) 34 25 36% 258 367 (30%) 349 434 (20%) Pensions _____
 _____ Total Retail 1,312 1,191 10% 50 37 35% 1,362 1,228 11% 1,508 1,330 13%
 Wholesale Annuities 3 664 (100%) - - - 3 664 (100%) 3 664 (100%) Credit Life 10 155 (94%) - - - 10 155 (94%) 10
 155 (94%) _____ Total UK
 Insurance 1,325 2,010 (34%) 50 37 35% 1,375 2,047 (33%) 1,521 2,149 (29%) Operations Channel Summary Direct
 and 634 440 44% 43 30 43% 677 470 44% 804 559 44% Partnership Intermediated 549 590 (7%) 7 7 0% 556 597
 (7%) 575 610 (6%) Wholesale 13 819 (98%) - - - 13 819 (98%) 13 819 (98%) _____
 _____ Sub-Total 1,196 1,849 (35%) 50 37 35% 1,246 1,886 (34%)
 1,392 1,988 (30%) DWP Rebates 129 161 (20%) - - - 129 161 (20%) 129 161 (20%) _____
 _____ Total UK Insurance 1,325 2,010 (34%) 50 37 35%
 1,375 2,047 (33%) 1,521 2,149 (29%) Operations US Insurance Operations (1a) Fixed Annuities 134 133 1% - - - 134
 133 1% 134 133 1% Fixed Index Annuities 109 119 (8%) - - - 109 119 (8%) 109 119 (8%) Variable Annuities 1,050
 802 31% - - - 1,050 802 31% 1,050 802 31% Life 2 2 0% 4 3 33% 6 5 20% 36 28 29% _____
 _____ Sub-Total Retail 1,295 1,056 23% 4 3 33%
 1,299 1,059 23% 1,329 1,082 23% Guaranteed Investment 132 245 (46%) - - - 132 245 (46%) 132 245 (46%)
 Contracts GIC - Medium Term 335 156 115% - - - 335 156 115% 335 156 115% Note _____
 _____ Total US Insurance 1,762 1,457 21% 4 3
 33% 1,766 1,460 21% 1,796 1,483 21% Operations Asian Insurance Operations (1a) China 6 10 (40%) 11 5 120% 17
 15 13% 57 38 50% Hong Kong 102 59 73% 26 17 53% 128 76 68% 247 159 55% India (6) 12 7 71% 56 29 93% 68
 36 89% 235 110 114% Indonesia 16 4 300% 20 13 54% 36 17 112% 81 47 72% Japan 30 9 233% 7 - - 37 9 311% 60
 2 2,900% Korea 27 28 (4%) 52 49 6% 79 77 3% 279 224 25% Malaysia 2 1 100% 14 14 0% 16 15 7% 83 82 1%
 Singapore 103 83 24% 15 13 15% 118 96 23% 194 190 2% Taiwan 36 27 33% 33 37 (11%) 69 64 8% 186 213 (13%)
 Other (4) 7 2 250% 9 7 29% 16 9 78% 36 34 6% _____
 _____ Total Asian Insurance 341 230 48% 243 184 32% 584 414 41% 1,458 1,099 33%
 Operations _____ Group
 Total 3,428 3,697 (7%) 297 224 33% 3,725 3,921 (5%) 4,775 4,731 1% Schedule 5 - Actual Exchange Rates
 PRUDENTIAL PLC - NEW BUSINESS - QUARTER 1 2007 TOTAL INSURANCE AND INVESTMENT NEW
 BUSINESS UK & Europe US (1b) Asia (1b) Total 2007 2006 2007 2006 2007 2006 2007 2006 Q1 Q1 Q1 Q1 Q1 Q1
 Q1 Q1 YTD YTD +/- (%) YTD YTD +/- (%) YTD YTD +/- (%) YTD YTD +/- (%) GBPm GBPm GBPm GBPm
 GBPm GBPm GBPm GBPm Total Insurance 1,375 2,047 (33%) 1,766 1,629 8% 584 452 29% 3,725 4,128 (10%)
 Products Total Investment 3,283 2,915 13% 4 - - 7,155 4,407 62% 10,442 7,322 43% Products - Gross Inflows (2)
 _____ Group Total 4,658

Edgar Filing: USHER THOMAS J - Form 4

4,962 (6%) 1,770 1,629 9% 7,739 4,859 59% 14,167 11,450 24% INSURANCE OPERATIONS Single Regular Total PVNBP (3) 2007 2006 2007 2006 2007 2006 2007 2006 Q1 Q1 Q1 Q1 Q1 Q1 Q1 YTD YTD +/- (%) YTD YTD +/- (%) YTD YTD +/- (%) YTD YTD +/- (%) GBPm GBPm GBPm GBPm GBPm GBPm GBPm GBPm UK Insurance Operations Product Summary Internal Vesting 325 254 28% - - - 325 254 28% 325 254 28% annuities Direct and 205 103 99% - - - 205 103 99% 205 103 99% Partnership Annuities Intermediated 132 132 0% - - - 132 132 0% 132 132 0% Annuities _____ Total Individual 662 489 35% - - - 662 489 35% 662 489 35% Annuities Equity Release 34 9 278% - - - 34 9 278% 34 9 278% Individual Pensions 9 3 200% - - - 9 3 200% 9 3 200% Corporate Pensions 129 13 892% 14 9 56% 143 22 550% 189 47 302% Unit Linked Bonds 71 115 (38%) - - - 71 115 (38%) 71 115 (38%) With-Profit Bonds 56 29 93% - - - 56 29 93% 56 29 93% Protection - - - 1 3 (67%) 1 3 (67%) 7 13 (46%) Offshore Products 127 191 (34%) 1 - - 128 191 (33%) 131 191 (31%) _____ Total Retail 1,088 849 28% 16 12 33% 1,104 861 28% 1,159 896 29% Retirement Corporate Pensions 46 116 (60%) 28 19 47% 74 135 (45%) 149 189 (21%) Other Products 49 65 (25%) 6 6 0% 55 71 (23%) 71 84 (15%) DWP Rebates 129 161 (20%) - - - 129 161 (20%) 129 161 (20%) _____ Total Mature Life and (20%) Pensions _____ Total Retail 1,312 1,191 10% 50 37 35% 1,362 1,228 11% 1,508 1,330 13% Wholesale Annuities 3 664 (100%) - - - 3 664 (100%) 3 664 (100%) Credit Life 10 155 (94%) - - - 10 155 (94%) 10 155 (94%) _____ Total UK Insurance 1,325 2,010 (34%) 50 37 35% 1,375 2,047 (33%) 1,521 2,149 (29%) Operations Channel Summary Direct and 634 440 44% 43 30 43% 677 470 44% 804 559 44% Partnership Intermediated 549 590 (7%) 7 7 0% 556 597 (7%) 575 610 (6%) Wholesale 13 819 (98%) - - - 13 819 (98%) 13 819 (98%) _____ Sub-Total 1,196 1,849 (35%) 50 37 35% 1,246 1,886 (34%) 1,392 1,988 (30%) DWP Rebates 129 161 (20%) - - - 129 161 (20%) 129 161 (20%) _____ Total UK Insurance 1,325 2,010 (34%) 50 37 35% 1,375 2,047 (33%) 1,521 2,149 (29%) Operations US Insurance Operations (1b) Fixed Annuities 134 149 (10%) - - - 134 149 (10%) 134 149 (10%) Fixed Index Annuities 109 133 (18%) - - - 109 133 (18%) 109 133 (18%) Variable Annuities 1,050 894 17% - - - 1,050 894 17% 1,050 894 17% Life 2 2 0% 4 4 0% 6 6 0% 36 31 16% _____ Sub-Total Retail 1,295 1,178 10% 4 4 0% 1,299 1,182 10% 1,329 1,207 10% Guaranteed Investment 132 273 (52%) - - - 132 273 (52%) 132 273 (52%) Contracts GIC - Medium Term 335 174 93% - - - 335 174 93% 335 174 93% Note _____ Total US Insurance 1,762 1,625 8% 4 4 0% 1,766 1,629 8% 1,796 1,654 9% Operations Asian Insurance Operations (1b) China 6 11 (45%) 11 5 120% 17 16 6% 57 41 39% Hong Kong 102 66 55% 26 20 30% 128 86 49% 247 179 38% India (6) 12 8 50% 56 32 75% 68 40 70% 235 122 93% Indonesia 16 4 300% 20 14 43% 36 18 100% 81 51 59% Japan 30 10 200% 7 - - 37 10 270% 60 2 2,900% Korea 27 30 (10%) 52 52 0% 79 82 (4%) 279 241 16% Malaysia 2 1 100% 14 14 0% 16 15 7% 83 86 (3%) Singapore 103 88 17% 15 14 7% 118 102 16% 194 199 (3%) Taiwan 36 31 16% 33 42 (21%) 69 73 (5%) 186 242 (23%) Other (4) 7 2 250% 9 8 13% 16 10 60% 36 36 0% _____ Total Asian Insurance 341 251 36% 243 201 21% 584 452 29% 1,458 1,199 22% Operations _____ Group Total 3,428 3,886 (12%) 297 242 23% 3,725 4,128 (10%) 4,775 5,002 (5%) Schedule 6 PRUDENTIAL PLC - NEW BUSINESS - QUARTER 1 2007 VERSUS QUARTER 4 2006 INSURANCE OPERATIONS Single Regular Total PVNBP (3) Q1 Q 4 +/- (%) Q1 Q 4 2006 +/- (%) Q1 2007 Q 4 +/- (%) Q1 2007 Q 4 +/- (%) 2007 2006 2007 2006 2006 GBPm GBPm GBPm GBPm GBPm GBPm GBPm GBPm UK Insurance Operations Product Summary Internal Vesting 325 369 (12%) - - - 325 369 (12%) 325 369 (12%) annuities Direct and Partnership 205 279 (27%) - - - 205 279 (27%) 205 279 (27%) Annuities Intermediated 132 188 (30%) - - - 132 188 (30%) 132 188 (30%) Annuities _____ Total Individual 662 836 (21%) - - - 662 836 (21%) 662 836 (21%) Annuities Equity Release 34 34 0% - - - 34 34 0% 34 34 0% Individual Pensions 9 5 80% - - - 9 5 80% 9 5 80% Corporate Pensions 129 265 (51%) 14 27 (48%) 143 292 (51%) 189 314 (40%) Unit Linked Bonds 71 85 (16%) - - - 71 85 (16%) 71 85 (16%) With-Profit Bonds 56 49 14% - - - 56 49 14% 56 49 14% Protection - 9 (100%) 1 - - 1 9 (89%) 7 24 (71%) Offshore Products 127 100 27% 1 - - 128 100 28% 131 100 31% _____

Edgar Filing: USHER THOMAS J - Form 4

Total Retail 1,088 1,383 (21%) 16 27 (41%)
 1,104 1,410 (22%) 1,159 1,447 (20%) Retirement Corporate Pensions 46 73 (37%) 28 19 47% 74 92 (20%) 149 113
 32% Other Products 49 52 (6%) 6 7 (14%) 55 59 (7%) 71 80 (11%) DWP Rebates 129 - - - - 129 - - 129 - -
 Total Mature Life and 224
 125 79% 34 26 31% 258 151 71% 349 193 81% Pensions
 Total Retail 1,312 1,508 (13%) 50 53 (6%) 1,362 1,561 (13%) 1,508 1,640
 (8%) Wholesale Annuities 3 131 (98%) - - - 3 131 (98%) 3 131 (98%) Credit Life 10 197 (95%) - - - 10 197 (95%) 10
 197 (95%) Total UK
 Insurance 1,325 1,836 (28%) 50 53 (6%) 1,375 1,889 (27%) 1,521 1,968 (23%) Operations Channel Summary Direct
 and Partnership 634 949 (33%) 43 47 (9%) 677 996 (32%) 804 1,045 (23%) Intermediated 549 559 (2%) 7 6 17% 556
 565 (2%) 575 595 (3%) Wholesale 13 328 (96%) - - - 13 328 (96%) 13 328 (96%)
 Sub-Total 1,196 1,836 (35%) 50 53 (6%) 1,246 1,889
 (34%) 1,392 1,968 (29%) DWP Rebates 129 - - - - 129 - - 129 - -
 Total UK Insurance 1,325 1,836 (28%) 50 53 (6%) 1,375 1,889
 (27%) 1,521 1,968 (23%) Operations US Insurance Operations (8) Fixed Annuities 134 171 (22%) - - - 134 171 (22%)
 134 171 (22%) Fixed Index Annuities 109 118 (8%) - - - 109 118 (8%) 109 118 (8%) Variable Annuities 1,050 1,010
 4% - - - 1,050 1,010 4% 1,050 1,010 4% Life 2 2 0% 4 4 0% 6 6 0% 36 43 (16%)
 Sub-Total Retail 1,295 1,301 (0%) 4 4 0% 1,299
 1,305 (0%) 1,329 1,342 (1%) Guaranteed Investment 132 133 (1%) - - - 132 133 (1%) 132 133 (1%) Contracts GIC -
 Medium Term Note 335 (6) 5,683% - - - 335 (6) 5,683% 335 (6) 5,683%
 Total US Insurance 1,762 1,428 23% 4 4 0% 1,766 1,432
 23% 1,796 1,469 22% Operations Asian Insurance Operations (8) China 6 5 20% 11 15 (27%) 17 20 (15%) 57 60
 (5%) Hong Kong 102 131 (22%) 26 35 (26%) 128 166 (23%) 247 324 (24%) India (6) 12 6 100% 56 28 100% 68 34
 100% 235 148 59% Indonesia 16 14 14% 20 22 (9%) 36 36 0% 81 87 (7%) Japan 30 27 11% 7 3 133% 37 30 23% 60
 42 43% Korea 27 27 0% 52 53 (2%) 79 80 (1%) 279 400 (30%) Malaysia 2 1 100% 14 23 (39%) 16 24 (33%) 83 136
 (39%) Singapore 103 87 18% 15 25 (40%) 118 112 5% 194 237 (18%) Taiwan 36 34 6% 33 33 0% 69 67 3% 186 143
 30% Other (4) 7 6 17% 9 11 (18%) 16 17 (6%) 36 31 16%
 Total Asian Insurance 341 338 1% 243 248 (2%) 584 586 (0%) 1,458 1,608
 (9%) Operations
 Group Total 3,428 3,602 (5%) 297 305 (3%) 3,725 3,907 (5%) 4,775 5,045 (5%) INVESTMENT OPERATIONS
 Market & Net Opening Other Currency Movement Closing FUM Gross Redemptions Net Movements Movements In
 FUM FUM Inflows Inflows GBPm GBPm GBPm GBPm GBPm GBPm GBPm GBPm M&G Q1 44,946 3,283
 (1,916) 1,367 (81) (107) 1,179 46,125 2007 Q4 42,258 3,505 (2,514) 991 (6) 1,703 2,688 44,946 2006 +/-(-%) 6%
 (6%) 24% 38% (1,250%) (106%) (56%) 3% Asia Retail Mutual Q1 11,413 7,150 (6,537) 613 (68) 45 590 12,003
 Funds 2007 Q4 10,396 5,673 (4,734) 939 (34) 112 1,017 11,413 2006 +/-(-%) 10% 26% (38%) (35%) (100%) (60%)
 (42%) 5% Asia Third Party Q1 840 5 (18) (13) - 8 (5) 835 2007 Q4 758 40 (4) 36 - 46 82 840 2006 +/-(-%) 11% (88%)
 (350%) (136%) - (83%) (106%) (1%) US Retail Mutual Q1 - 4 - 4 - 1 5 5 Funds 2007 Q4 - - - - - 2006 +/-(-%) - - -
 - - - - Total Investment Q1 57,199 10,442 (8,471) 1,971 (149) (53) 1,769 58,968 Products 2007 Q4 53,412 9,218
 (7,252) 1,966 (40) 1,861 3,787 57,199 2006 +/-(-%) 7% 13% (17%) 0% (273%) (103%) (53%) 3% PRUDENTIAL
 PLC - NEW BUSINESS SCHEDULES BASIS OF PREPARATION The new business schedules are provided as an
 indicative volume measure of transactions undertaken in the reporting period that have the potential to generate profits
 for shareholders. The amounts shown are not, and not intended to be, reflective of premium income recorded in the
 IFRS income statement. The format of the schedules is consistent with the distinction between insurance and
 investment products as applied for previous financial reporting periods. Products categorised as "insurance" refer to
 those classified as contracts of long-term insurance business for regulatory reporting purposes, i.e. falling within one
 of the classes of insurance specified in part II of Schedule 1 to the Regulated Activities Order under FSA regulations.
 The details shown for insurance products include contributions for contracts that are classified under IFRS 4
 "Insurance Contracts" as not containing significant insurance risk. These products are described as investment
 contracts or other financial instruments under IFRS. Contracts included in this category are primarily certain
 unit-linked and similar contracts written in UK Insurance Operations, and Guaranteed Investment Contracts and
 similar funding agreements written in US operations. New business premiums for regular premium products are

shown on an annualised basis. Department of Work and Pensions pension business is classified as single recurrent business. Internal vesting business is classified as new business where the contracts include an open market option. UK and Asian investment products referred to in the tables for funds under management above are unit trusts, mutual funds and similar types of retail fund management arrangements. These are unrelated to insurance products that are classified as investment contract under IFRS 4, as described in the preceding paragraph, although similar IFRS recognition and measurement principles apply to the acquisition costs and fees attaching to this type of business. New Department of Work and Pensions (DWP) rebate business for SAIF is excluded from the new business schedules. This is because, as noted above, SAIF is solely for the benefit of SAIF policyholders. Notes to Schedules 1A - 6 (1a) Insurance and investment new business for overseas operations has been calculated using constant exchange rates. The applicable rate for Jackson is 1.95. (1b) Insurance and investment new business for overseas operations has been calculated using average exchange rates. The applicable rate for Jackson is 1.95 (2006: 1.84). (2) Represents cash received from sale of investment products. (3) Annual Equivalents, calculated as regular new business contributions plus 10 per cent single new business contributions, are subject to roundings. PVNBPs are calculated as equalling single premiums plus the present value of expected premiums of new regular premium business. In determining the present value, allowance is made for lapses and other assumptions applied in determining the EEV new business profit. (4) In Asia, 'Other' insurance operations include Thailand, the Philippines and Vietnam. (5) Balance includes segregated and pooled pension funds, private finance assets and other institutional clients. Other movements reflect the net flows arising from the cash component of a tactical asset allocation fund managed by PPM South Africa. (6) New business in India is included at Prudential's 26 per cent interest in the India life operation. Mandatory Provident Fund (MPF) product sales in Hong Kong are included at Prudential's 36 per cent interest in Hong Kong MPF operation. (7) Balance sheet figures have been calculated at the closing exchange rate. The 2006 balance is shown on a constant exchange rate. (8) Sales are converted using the year to date average exchange rate applicable at the time. The sterling results for individual quarters represent the difference between the year to date reported sterling results at successive quarters and will include foreign exchange movements from earlier periods. (9) In August 2005, Prudential's joint venture partner in the Prudential ICICI Asset Management Company purchased an additional 6 per cent share ownership reducing Prudential's stake to 49 per cent. As a result, Prudential no longer consolidates the company as a subsidiary. (10) GBP303m of FUM reported under Prudential Asian funds operations relates to M&G's products distributed through those Asian operations and this amount is also included in M&G's FUM. SIGNATURES Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized. Date 19 April 2007 PRUDENTIAL PUBLIC LIMITED COMPANY By: /s/ Jon Bunn Director of Public Relations