

TIMKEN JOHN M JR
Form 4
December 19, 2002

FORM 4

UNITED STATES SECURITIES AND EXCHANGE
COMMISSION
Washington, D.C. 20549

OMB APPROVAL

☐ Check this box if no
longer subject to Section
16. Form 4 or Form 5
obligations may continue.
See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL
OWNERSHIP**

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or
Section 30(h) of the Investment Company Act of 1940

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1. Name and Address of Reporting Person*			2. Issuer Name and Ticker or Trading Symbol				6. Relationship of Reporting Person(s) to Issuer (Check all applicable)		
Timken, Jr., John M.			The Timken Company (TKR)				☒ Director — ☐ 10% Owner — ☐ Officer (give title below) — ☐ Other (specify below) —		
(Last) (First) (Middle)			3. I.R.S. Identification Number of Reporting Person, if an entity (voluntary)		4. Statement for Month/Day/Year		5. If Amendment, Date of Original (Month/Day/Year)		
200 Market Avenue North, Suite 210									
(Street)			7. Individual or Joint/Group Filing (Check Applicable Line)			☒ Form filed by One Reporting Person ☐ Form filed by More than One Reporting Person			
Canton, OH 44702-1437									
(City) (State) (Zip)			Table I Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned						
1. Title of Security (Instr. 3)	2. Transaction Date (Month/ Day/ Year)	2A. Deemed Execution Date, if any (Month/Day/ Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 & 5)	5. Amount of Securities Beneficially Owned Following Reported Transactions(s) (Instr. 3 & 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common Stock					382,081	D			
Common Stock					10,460	I	By Spouse ⁽¹⁾		
Common Stock					20,630	I	By Child ⁽¹⁾		
Common Stock					20,630	I	By Child ⁽¹⁾		
Common Stock					116,000	I	Beneficiary of Trust ⁽²⁾		
Common Stock	12/18/02		G V	11,100 D	188,900	I	Advisor of Trust ⁽³⁾		
Common Stock					56,437	I	Trustee ⁽⁴⁾		
Common Stock					557,500	I	Co-Trustee ⁽⁵⁾		

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Total								1,352,638		
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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

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FORM 4 (continued) Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 & 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 & 4)		8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
						Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Nonqualified Stock Option (Right to Buy) ⁽⁶⁾	15.875					4/18/01	4/18/10	Common Stock	3,000		1	D	
Nonqualified Stock Option (Right to Buy) ⁽⁷⁾	15.02					4/17/02	4/17/11	Common Stock	3,000		1	D	
Nonqualified Stock Option (Right to Buy) ⁽⁸⁾	25.40					4/16/03	4/16/12	Common Stock	3,000		1	D	
Total											3		

Explanation of Responses:

(1) DISCLAIMER: Undersigned disclaims any beneficial interest

(2) Beneficiary of John M. Timken Trust D, FBO John M. Timken, Jr.

(3) Advisor for five subtrusts of John M. Timken No.1, Fund A, Marital

(4) Trustee for Susan H. Timken Generation Skipping Trust

(5) Co-Trustee of Trust U/Will of H.H. Timken, Jr.

(6) Nonqualified stock option with limited transferability granted to the reporting person pursuant to The Timken Company Long-Term Incentive Plan. Option becomes fully exercisable beginning on April 18, 2001, the first anniversary of the date of the grant. Option becomes fully exercisable upon the occurrence of a change in control of the Company or other similar event.

(7) Nonqualified stock option with limited transferability granted to the reporting person pursuant to The Timken Company Long-Term Incentive Plan. Option becomes fully exercisable beginning on April 17, 2002, the first anniversary of the date of the grant. Option becomes

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fully exercisable upon the occurrence of a change in control of the Company or other similar event.

(8) Nonqualified stock option with limited transferability granted to the reporting person pursuant to The Timken Company Long-Term Incentive Plan. Option becomes fully exercisable beginning on April 16, 2003, the first anniversary of the date of the grant. Option becomes fully exercisable upon the occurrence of a change in control of the Company or other similar event.

By: /s/ **John M. Timken, Jr.**

12/19/02

Date

**Signature of Reporting Person

**Intentional misstatements or omissions of facts constitute Federal Criminal Violations.
See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed.
If space is insufficient, See Instruction 6 for procedure.

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