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BROOKS DAVID H Form 4 December 18, 2002

FORM 4

_ Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

OMB Number: 3235-0287 Expires: January 31, 2005 Estimated average burden hours per response. . .0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

Filed By Romeo & Dye's Instant Form 4 Filer www.section16.net

| 1. Name and Ado Brooks David H | | | ne and Ticl p, Inc. SYI | | F | 6. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | | | |
|---------------------------------------|--|--|--|---------|---|---|--|--|---|---|--|
| (Last) 4010 Fox Meado | | | | | | th/Day/Year 1 7-2002 | Director O% Owner Officer (give title below) Other (specify below) Chairman & CEO | | | | |
| (Street) Prospect, KY 40059 | | | | | | | Date | of Original ((nth/Day/Year) <u>X</u> F | . Individual or Joint/Group Filing Check Applicable Line) Com filed by One Reporting Person Form filed by More than One Reporting Person | | |
| (City) | Т | able | I Non-D | erivati | rities Acquired, Dispos | posed of, or Beneficially Owned | | | | | |
| 1. Title of Security (Instr. 3) | 2. Trans- action Date (Month/ Day/ Year) | 2A. Deemed Execution Date, if any (Month/Day/ Year) | 3. Trans action (<u>(Instr. 8</u> Code | Code | 4. Securitie (A) or Disp (Instr. 3, 4 Amount | posed c | of (D) Price | 5. Amount of Securities Beneficially Owned Follow- ing Reported Transactions(s) (Instr. 3 & 4) | 6. Owner- ship Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| Common Stock | | | | | | | | 7,46 | 8 D | | |
| Common Stock | | | | | | | | 22,82 | 8 I | Wife | |
| Common Stock | | | | | | | | 7,457.99 | 0 I | ESOP Shares | |
| Common Stock | | | | | | | | 1,145.954 | 3 I | 401k Shares | |
| Common Stock | 12-2-2002 | | Р | | 13.603 | A | 39.20 | 391.60 | 3 I | Trust (1) | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

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|--------------------------------------|---------|----------------|----------|---|--|------|---------------|--------------|-----------------|------------------------------|------------------------|--|------|---|
| | | | <u> </u> | calls, | - | ants | s, options, o | | 1 | | | | | |
| Derivative Security (Instr. 3) | sion or | action Date | Deemed | 4. Trans- action Code (Instr. 8) Code | Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 & 5) | | | | | | Derivative Security | Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4) | Form | 11. Nature of Indirec Beneficial Ownershij (Instr. 4) |
| | | | | | () | (-) | Exer-cisable | tion Date | | or Number of Shares | | | | |
| Option (Right to Buy) | .8615 | | | | | | Immed. | None | Common Stock | | | 4,840 | I | Wife |
| Option (Right to Buy) | .8615 | | | | | | Immed. | None | Common Stock | , | | 3,680 | | |
| Option (Right to Buy) | 7.25 | | | | | | Immed. | 1/10/05 | Common Stock | | | 14,560 | | |
| Option (Right to Buy) | 14.50 | | | | | | Immed. | 1/03/07 | Common Stock | 4,000 | | 4,000 | D | |
| Option (Right to Buy) | 20.50 | | | | | | Immed. | 1/8/08 | Common Stock | 2,000 | | 2,000 | D | |
| Option (Right to Buy) | 23.9375 | | | | | | Immed. | 1/12/09 | Common Stock | 10,200 | | 10,200 | D | |
| Option (Right to Buy) | 21.00 | | | | | | Immed. | 1/07/10 | Common Stock | 11,500 | | 11,500 | D | |
| Option (Right to Buy) | 20.63 | | | | | | Immed. | 12/21/10 | Common Stock | 16,000 | | 16,000 | D | |
| Option (Right to Buy) | 33.60 | | | | | | Immed. | 12/27/11 | Common Stock | 12,000 | | 12,000 | D | |
| Option IRight to Buy) | 39.10 | 12/17/02 | | Α | 9,300 | | 6/17/02 | 12/17/12 | Common Stock | 9,300 | | 9,300 | D | |

FORM 4 (continued) Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

Explanation of Responses:

(1) Shares held in the deferred comp plan

By: /s/ //David H. Brooks

<u>12-18-2002</u> Date

**Signature of Reporting Person

**Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

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Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

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