MOODYS CORP /DE/ Form 8-K March 30, 2018

UNITED STATES

SECURITIES AND EXCHANGE COMMISSION

Washington, DC 20549

FORM 8-K

CURRENT REPORT

PURSUANT TO SECTION 13 OR 15(d) OF

THE SECURITIES EXCHANGE ACT OF 1934

Date of Report (Date of earliest event reported): March 30, 2018

MOODY S CORPORATION

(Exact Name of Registrant as Specified in Charter)

Delaware (State or Other Jurisdiction 1-14037 (Commission 13-3998945 (IRS Employer

of Incorporation)

File Number)

Identification No.)

7 World Trade Center at 250 Greenwich Street

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New York, New York 10007

(Address of Principal Executive Offices) (Zip Code)

Registrant s telephone number, including area code: (212) 553-0300

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c)) Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter).

Emerging growth company

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.

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Item 5.02 Departure of Directors or Certain Officers; Election of Directors; Appointment of Certain Officers; Compensatory Arrangements of Certain Officers.

(c) Moody s Corporation (Moody s) has designated Michael S. Crimmins, age 47, Senior Vice President Corporate Controller, to serve on an interim basis as Moody s principal financial officer, effective April 2, 2018. Mr. Crimmins was appointed as Moody s principal accounting officer as of August 1, 2016, and will continue to serve in such capacity in addition to serving as principal financial officer. The Company is continuing its search to identify a successor to its Chief Financial Officer.

Mr. Crimmins served as Managing Director Assistant Controller from January 2011 to August 1, 2016. Prior to becoming Managing Director, he served as Senior Vice President of Moody s Shared Services, Inc. from April 2008 to January 2011 and as Vice President from January 2006 to April 2008. Before joining Moody s, Mr. Crimmins worked at Deloitte & Touche LLP and PricewaterhouseCoopers LLP. He is a New York State Certified Public Accountant.

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

MOODY S CORPORATION

By: /s/ John J. Goggins
John J. Goggins
Executive Vice President and General
Counsel

Date: March 30, 2018

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