Salient Midstream & MLP Fund Form N-PX August 26, 2016

## **UNITED STATES**

## SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## **FORM N-PX**

# ANNUAL REPORT OF PROXY VOTING RECORD OF REGISTERED

## MANAGEMENT INVESTMENT COMPANY

**Investment Company Act file number: 811-22626** 

## SALIENT MIDSTREAM & MLP FUND

(Exact name of registrant as specified in charter)

4265 SAN FELIPE, SUITE 800

**HOUSTON, TX 77027** 

(Address of principal executive offices) (Zip code)

Edgar Filing: Salient Midstream & MLP Fund - Form N-PX

George J. Zornada, Esq.

**K&L Gates LLP** 

**One Lincoln Street** 

**Boston, MA 02111** 

(Name and address of agent for service)

Registrant s Telephone Number, including Area Code: (713) 993-4001

Date of fiscal year end: November 30

Date of reporting period: July 1, 2015 June 30, 2016

Form N-PX is to be used by a registered management investment company, other than a small business investment company registered on Form N-5 (§§ 239.24 and 274.5 of this chapter), to file reports with the Commission, not later than August 31 of each year, containing the registrant s proxy voting record for the most recent twelve-month period ended June 30, pursuant to section 30 of the Investment Company Act of 1940 and rule 30b1-4 thereunder (17 CFR 270.30b1-4). The Commission may use the information provided on Form N-PX in its regulatory, disclosure review, inspection, and policymaking roles.

A registrant is required to disclose the information specified by Form N-PX, and the Commission will make this information public. A registrant is not required to respond to the collection of information contained in Form N-PX unless the Form displays a currently valid Office of Management and Budget (OMB) control number. Please direct comments concerning the accuracy of the information collection burden estimate and any suggestions for reducing the burden to the Secretary, Securities and Exchange Commission, 450 Fifth Street, NW, Washington, DC 20549-0609. The OMB has reviewed this collection of information under the clearance requirements of 44 U.S.C. § 3507.

# Item 1 Proxy Voting Record.

## Salient Midstream & MLP Fund

ny ICAN REAM IERS LP	Ticker AMID	CUSIP 02752P100	Meeting Date 2/11/2016	A brief identification of the matter voted on TO APPROVE THE ADOPTION OF THE THIRD AMENDED AND RESTATED AMERICAN MIDSTREAM GP, LLC LONG-TERM INCENTIVE PLAN			Vote Cast Against	With or Manag Against
EYE IERS, L.P.	BPL	118230101	6/7/2016	DIRECTOR 1 OLIVER G. RICHARD, III	Issuer	Yes	For	With
				2 CLARK C. SMITH				
				3 FRANK S. SOWINSKI				
EYE IERS, L.P.	BPL	118230101	6/7/2016	THE RATIFICATION OF THE SELECTION OF DELOITTE & TOUCHE LLP AS BUCKEYE PARTNERS, L.P. S INDEPENDENT REGISTERED PUBLIC ACCOUNTANTS FOR 2016.	Issuer	Yes	For	With
GAS LNG IERS LP	DLNG	Y2188B108	11/20/2015	TO ELECT EVANGELOS VLAHOULIS AS A CLASS I DIRECTOR TO SERVE FOR A THREE-YEAR TERM UNTIL THE 2018 ANNUAL MEETING OF LIMITED PARTNERS	Issuer	Yes	For	With
GAS LNG IERS LP	DLNG	Y2188B108	11/20/2015	TO APPROVE THE APPOINTMENT OF ERNST & YOUNG (HELLAS) CERTIFIED AUDITORS ACCOUNTANTS S.A. AS THE PARTNERSHIP S INDEPENDENT AUDITORS FOR THE FISCAL YEAR ENDING DECEMBER 31, 2015	Issuer	Yes	For	With
R LNG IERS LP	GMLP	Y2745C102	9/23/2015	TO ELECT ANDREW J.D. WHALLEY AS A CLASS III DIRECTOR OF THE PARTNERSHIP WHOSE TERM WILL EXPIRE AT THE 2018 ANNUAL MEETING OF LIMITED PARTNERS.	Issuer	Yes	For	With
R LNG IERS LP	GMLP	Y2745C102	9/23/2015	TO ELECT PAUL LEAND AS A CLASS III DIRECTOR OF THE PARTNERSHIP WHOSE TERM WILL EXPIRE AT THE 2018 ANNUAL MEETING OF LIMITED	Issuer	Yes	For	With

# Edgar Filing: Salient Midstream & MLP Fund - Form N-PX PARTNERS.

UARIE STRUCTURE DRATION	MIC	55608B105		ELECTION OF DIRECTOR: NORMAN H. BROWN, JR.	Issuer	Yes	For	With
UARIE STRUCTURE DRATION	MIC	55608B105	5/18/2016	ELECTION OF DIRECTOR: GEORGE W. CARMANY, III	Issuer	Yes	For	With
UARIE STRUCTURE DRATION	MIC	55608B105	5/18/2016	ELECTION OF DIRECTOR: H.E. (JACK) LENTZ	Issuer	Yes	For	With
UARIE STRUCTURE PRATION	MIC	55608B105	5/18/2016	ELECTION OF DIRECTOR: OUMA SANANIKONE	Issuer	Yes	For	With

ny UARIE STRUCTURE DRATION	Ticker MIC	CUSIP 55608B105	Meeting Date 5/18/2016	A brief identification of the matter voted on ELECTION OF DIRECTOR: WILLIAM H. WEBB	Proposed by Issuer or Security Holder Issuer		Vote Cast For	With or Manag With
UARIE STRUCTURE )RATION	MIC	55608B105	5/18/2016	THE RATIFICATION OF THE SELECTION OF KPMG LLP AS OUR INDEPENDENT AUDITOR FOR THE FISCAL YEAR ENDING DECEMBER 31, 2016.	Issuer	Yes	For	With
UARIE STRUCTURE DRATION	MIC	55608B105	5/18/2016	THE APPROVAL, ON AN ADVISORY BASIS, OF EXECUTIVE COMPENSATION.	Issuer	Yes	Against	Against
UARIE STRUCTURE DRATION	MIC	55608B105	5/18/2016	THE APPROVAL OF THE MACQUARIE INFRASTRUCTURE CORPORATION 2016 OMNIBUS EMPLOYEE INCENTIVE PLAN.	Issuer	Yes	For	With
IELD, INC.	NYLD	62942X405	4/26/2016	DIRECTOR	Issuer	Yes	For	With
				1 JOHN F. CHLEBOWSKI				
				2 MAURICIO GUTIERREZ				
				3 KIRKLAND B. ANDREWS				
				4 BRIAN R. FORD				
				5 FERRELL P. MCCLEAN				
				6 CHRISTOPHER S. SOTOS				
IELD, INC.	NYLD	62942X405	4/26/2016	TO APPROVE AN AMENDMENT TO THE COMPANY S SECOND AMENDED AND RESTATED CERTIFICATE OF INCORPORATION.	Issuer	Yes	For	With
IELD, INC.	NYLD	62942X405	4/26/2016	TO RATIFY THE APPOINTMENT OF KPMG LLP AS THE COMPANY S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE 2016 FISCAL YEAR.	Issuer	Yes	For	With
IELD, INC.	NYLDA	62942X306	4/26/2016	DIRECTOR	Issuer	Yes	For	With
				1 JOHN F. CHLEBOWSKI				
				2 MAURICIO GUTIERREZ				

Edgar Filing: Salient Midstream & MLP Fund - Form N-PX

3 KIRKLAND B. ANDREWS

4 BRIAN R. FORD

5 FERRELL P. MCCLEAN

6 CHRISTOPHER S. SOTOS

IELD, INC. NYLDA 62942X306 4/26/2016 TO APPROVE AN AMENDMENT TO Issuer Yes For With

THE COMPANY S SECOND AMENDED AND RESTATED CERTIFICATE OF

INCORPORATION.

many	Ticker	CUSIP	Meeting Date	A brief identification of the matter voted on	Proposed by Issuer or Security Holder	Votad	Vote Cast	With or Aga
npany G YIELD,				TO RATIFY THE APPOINTMENT OF KPMG LLP AS THE COMPANY S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE 2016 FISCAL YEAR.	Issuer	Yes	For	With
TERN ERGY DUP INC.	PEGI	70338P100	6/15/2016	ELECTION OF DIRECTOR: ALAN BATKIN	Issuer	Yes	For	With
TERN ERGY DUP INC.	PEGI	70338P100	6/15/2016	ELECTION OF DIRECTOR: PATRICIA BELLINGER	Issuer	Yes	For	With
TERN ERGY DUP INC.	PEGI	70338P100	6/15/2016	ELECTION OF DIRECTOR: THE LORD BROWNE OF MADINGLEY	Issuer	Yes	For	With
TERN ERGY DUP INC.	PEGI	70338P100	6/15/2016	ELECTION OF DIRECTOR: MICHAEL GARLAND	Issuer	Yes	For	With
TERN ERGY DUP INC.	PEGI	70338P100	6/15/2016	ELECTION OF DIRECTOR: DOUGLAS HALL	Issuer	Yes	For	With
TERN ERGY DUP INC.	PEGI	70338P100	6/15/2016	ELECTION OF DIRECTOR: MICHAEL HOFFMAN	Issuer	Yes	For	With
TERN ERGY DUP INC.	PEGI	70338P100	6/15/2016	ELECTION OF DIRECTOR: PATRICIA NEWSON	Issuer	Yes	For	With
TERN ERGY DUP INC.	PEGI	70338P100	6/15/2016	TO RATIFY THE APPOINTMENT OF ERNST & YOUNG LLP AS THE COMPANY S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR FISCAL YEAR 2016.	Issuer	Yes	For	With
TERN ERGY DUP INC.	PEGI	70338P100	6/15/2016	AN ADVISORY VOTE TO APPROVE EXECUTIVE COMPENSATION.	Issuer	Yes	For	With
TERN ERGY DUP INC.	PEGI	70338P100	6/15/2016	AN ADVISORY VOTE ON THE FREQUENCY OF HOLDING FUTURE ADVISORY VOTES ON EXECUTIVE COMPENSATION.	Issuer	Yes	1 Year	With
E LLIAMS	WMB	969457100	6/27/2016	TO APPROVE THE ADOPTION OF THE AGREEMENT AND PLAN OF MERGER	Issuer	Yes	Against	Against

## Edgar Filing: Salient Midstream & MLP Fund - Form N-PX

MPANIES,

(THE MERGER AGREEMENT ) AMONG ENERGY TRANSFER EQUITY, L.P., ENERGY TRANSFER CORP LP ( ETC ), ENERGY TRANSFER CORP GP, LLC, LE GP, LLC, ENERGY TRANSFER EQUITY GP, LLC AND THE WILLIAMS COMPANIES, INC. ( WMB ), AND THE TRANSACTIONS CONTEMPLATED THEREBY, INCLUDING THE MERGER OF WMB WITH AND INTO ETC.

mpany IE ILLIAMS IMPANIES, C.	Ticker WMB		Meeting Date 6/27/2016	A brief identification of the matter voted on	Proposed by Issuer or Security Holder Issuer		Vote Cast Against	With or Aga Manageme Against
IE ILLIAMS OMPANIES, C.	WMB	969457100	6/27/2016	TO APPROVE THE ADJOURNMENT OF THE SPECIAL MEETING FROM TIME TO TIME, IF NECESSARY OR APPROPRIATE, TO SOLICIT ADDITIONAL PROXIES IF THERE ARE INSUFFICIENT VOTES AT THE TIME OF THE SPECIAL MEETING TO APPROVE THE MERGER PROPOSAL.	Issuer	Yes	Against	Against

## **SIGNATURE**

Pursuant to the requirements of the Investment Company Act of 1940, as amended, the Registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

(Registrant) Salient Midstream & MLP Fund

By: /s/ Gregory A. Reid

Gregory A. Reid

President and Chief Executive

Officer

Date: 8/24/16