Edgar Filing: INTEVAC INC - Form 4

INTEVAC INC Form 4	12										
FORM 4		UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES								NPPROVAL 3235-0287	
Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. <i>See</i> Instruction 1(b).	STATEM Filed pur Section 17(Number: January 31, Expires: 2005 Estimated average burden hours per response 0.5	
(Print or Type Respondence)	nses)										
1. Name and Address of Reporting Person <u>*</u> Drapkin Matthew A			2. Issuer Name and Ticker or Trading Symbol INTEVAC INC [IVAC]				g	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last)	(First) (I	Middle)	3. Date of Earliest Transaction								
500 CRESCENT COURT, SUITE 230			(Month/Day/Year) 12/20/2013					_X_ Director 10% Owner Officer (give title Other (specify below) below)			
				ndment, Date Original th/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			
(City) ((State)	(Zip)	Table	e I - Non-D	erivative S	ecuri	ties Ac	quired, Disposed o	of, or Beneficia	ally Owned	
	ecurity (Month/Day/Year) Executionstr. 3) any			3. Transactic Code (Instr. 8)	(A) (A) (A) (A)		r)	5. Amount of	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of	
Common Stock					mount		ince	1,082,365	Ι	See Footnotes (1) (2)	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Expiration Date		7. Title and Amour Underlying Securit (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amo or Num of Sh
Non-Qualified Stock Option (Right to Buy)	\$ 7.89	12/20/2013		А	18,000	<u>(3)</u>	12/20/2020	Common Stock	18,0

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Drapkin Matthew A 500 CRESCENT COURT SUITE 230 DALLAS, TX 75201	х						
Signatures							
/s/ Andrew S. McLelland, attorney-in-fact	12/30/2013						

**Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Date

Represents common stock ("Common Stock"), par value \$0.001 per share, of Intevac, Inc. (the "Issuer") directly beneficially owned by Becker Drapkin Partners (QP), L.P. ("Becker Drapkin QP") (793,744 shares), Becker Drapkin Partners, L.P. ("Becker Drapkin, L.P.") (158,670 shares) and Common Stock directly held by a managed account on behalf of an investment advisory client (the "Managed

Account") of Becker Drapkin Management, L.P. ("BD Management") (129,951 shares). (continued in Footnote 2).

Mr. Drapkin may be deemed to beneficially own such Common Stock as he is a co-managing member of BC Advisors, LLC, which is the general partner of BD Management (of which Mr. Drapkin is a limited partner), and BD Management is the general partner of, and

- (2) general particle of *BD* Management (of which Mr. Drapkin is a inneed particle), and *BD* Management is die general particle of, and investment manager for, each of Becker Drapkin QP and Becker Drapkin, L.P, and the investment manager of the Managed Account. Mr. Drapkin disclaims beneficial ownership in such Common Stock except to the extent of his pecuniary interest therein.
- (3) The option vests in two equal installments on December 20, 2014 and December 20, 2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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