

FIRST CITIZENS BANCSHARES INC /DE/  
 Form 4  
 September 06, 2013

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
 Expires: January 31, 2015  
 Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
 CONNELL HOPE HOLDING

2. Issuer Name and Ticker or Trading Symbol  
 FIRST CITIZENS BANCSHARES INC /DE/ [FCNCA]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
 4300 SIX FORKS ROAD  
 (Street)

3. Date of Earliest Transaction (Month/Day/Year)  
 09/05/2013

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
 Vice Chairman

RALEIGH, NC 27609

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
				(A) or (D)	Amount		
				Code	V		Price
Class A Common Stock					121,256	D	
Class A Common Stock					5,320	I	As beneficiary of Trust
Class A Common Stock					891	I <sup>(1)</sup>	As Custodian for Elliot
Class A Common Stock					330	I <sup>(1)</sup>	As custodian for John Patrick

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Stock								
Class A Common Stock						1,390	I <sup>(1)</sup>	John Connell as Custodian for Hewlette
Class A Common Stock						1,290	I <sup>(1)</sup>	John Connell as Custodian for John Patrick
Class A Common Stock						18,845	I <sup>(2)</sup>	By Yadkin Valley Company and subsidiary
Class A Common Stock						627	I <sup>(2)</sup>	By E&F Properties, Inc.
Class A Common Stock						8,214	I <sup>(2)</sup>	By Twin States Farming, Inc.
Class A Common Stock	09/05/2013	S	500	D	\$ 203.32	171,327	I <sup>(2)</sup>	By Southern BancShares (N.C.), Inc. and subsidiary
Class A Common Stock	09/05/2013	S	500	D	\$ 204	170,827	I <sup>(2)</sup>	By Southern BancShares(N.C.), Inc. and subsidiary
Class A Common Stock	09/05/2013	S	500	D	\$ 204.73	170,327	I <sup>(2)</sup>	By Southern BancShares(N.C.), Inc. and subsidiary
Class A Common Stock	09/05/2013	S	500	D	\$ 205.46	169,827	I <sup>(2)</sup>	By Southern BancShares(N.C.), Inc. and subsidiary
Class A Common Stock	09/05/2013	S	500	D	\$ 206	169,327	I <sup>(2)</sup>	By Southern BancShares(N.C.), Inc. and subsidiary
Class A Common Stock	09/05/2013	S	500	D	\$ 206.25	168,827	I <sup>(2)</sup>	By Southern BancShares(N.C.), Inc. and subsidiary
Class A Common Stock						100,000	I <sup>(2)</sup>	By Fidelity BancShares (N.C.), Inc.
Class B Common Stock						100,828	D	
Class B Common Stock						1,225	I	As beneficiary of Trust

Class B Common Stock	1,751	I <u>(1)</u>	As custodian for Hewlette Connell
Class B Common Stock	6,250	I <u>(1)</u>	Hewlette Collier Connell
Class B Common Stock	6,751	I <u>(1)</u>	As custodian for John Patrick
Class B Common Stock	6,950	I <u>(1)</u>	As custodian for Elliot
Class B Common Stock	323	I <u>(1)</u>	John Connell as custodian for Hewlette
Class B Common Stock	323	I <u>(1)</u>	John Connell as custodian for John Patrick
Class B Common Stock	100	I <u>(1)</u>	John Connell as custodian for Elliot
Class B Common Stock	1,900	I <u>(2)</u>	By Yadkin Valley Company and subsidiary
Class B Common Stock	200	I <u>(2)</u>	By E&F Properties, Inc.
Class B Common Stock	1,355	I <u>(2)</u>	By Twin States Farming, Inc.
Class B Common Stock	22,619	I <u>(2)</u>	By Southern BancShares (N.C.), Inc. and subsidiary

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security	2. Conversion or Exercise	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any	4. Transaction Code	5. Number of	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying	8. Price of Derivative Security	9. Nu Deriv Secur
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