

GEORGE ROGER E

Form 4

February 20, 2013

**FORM 4****UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person \*  
GEORGE ROGER E

2. Issuer Name **and** Ticker or Trading  
Symbol  
ALIGN TECHNOLOGY INC  
[ALGN]

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

(Last) (First) (Middle)  
C/O ALIGN TECHNOLOGY  
INC., 2560 ORCHARD PARKWAY

3. Date of Earliest Transaction  
(Month/Day/Year)  
02/18/2013

\_\_\_\_ Director \_\_\_\_ 10% Owner  
\_\_X\_\_ Officer (give title \_\_\_\_ Other (specify  
below) below)  
VP, Leg. Affairs & Gen. Counsel

(Street)  
SAN JOSE, CA 95131

4. If Amendment, Date Original  
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
\_\_X\_\_ Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting  
Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount (A) or (D)	Price	
Common Stock	02/18/2013	02/18/2013	C		13,000	A \$ 0	13,351 <sup>(1)</sup> D
Common Stock	02/18/2013	02/18/2013	F		4,887	D \$ 32.73	8,464 D
Common Stock	02/19/2013	02/19/2013	C		2,833	A \$ 0	11,297 D
Common Stock	02/19/2013	02/19/2013	F		1,065	D \$ 32.6	10,232 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

## Reporting Owners

## Signatures

Signature of \_\_\_\_\_ Date \_\_\_\_\_  
Reporting Person

### Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Includes 259 shares issued on July 31, 2012 and 92 shares issued on January 31, 2013 under the ALGN ESPP.

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- (2) Represents par value of ALGN common stock.  
1/3rd of the restricted stock unit granted on February 18, 2011 became vested on February 18, 2013 and shares were delivered to reporting person on February 19, 2013. The restricted stock unit will continue to vest annually and shares will be delivered to reporting person on each vest date.
- (3) 50% of the market stock unit granted on February 18, 2011 became vested on February 18, 2013 and shares were delivered to reporting person on February 19, 2013. The remaining 50% of the market stock unit will vest on February 18, 2014.  
1/4th of the restricted stock unit granted on February 19, 2010 became vested on February 19, 2013 and shares were delivered to reporting person on that date. The restricted stock unit will continue to vest annually and shares will be delivered to reporting person on each vest date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.