

CONNELL HOPE HOLDING  
Form 4  
January 30, 2013

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
CONNELL HOPE HOLDING

2. Issuer Name and Ticker or Trading Symbol  
FIRST CITIZENS BANCSHARES INC /DE/ [FCNCA]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
4300 SIX FORKS ROAD  
(Street)

3. Date of Earliest Transaction (Month/Day/Year)  
01/28/2013

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
Vice Chairman

RALEIGH, NC 27609

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
|                                 |                                      |  | Code                           | V   | Amount  | (D)  | Price   |
| Class A Common Stock            |                                      |  |                                |   | 121,256   | D  |   |
| Class A Common Stock            |                                      |  |                                |   | 5,320   | I  | As beneficiary of Trust                               |
| Class A Common Stock            |                                      |  |                                |   | 891   | I <sup>(1)</sup>   | As Custodian for Elliot                               |
| Class A Common Stock            |                                      |  |                                |   | 330   | I <sup>(1)</sup>   | As custodian for John                                 |

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|                            |            |  |   |         |   |         |                    |              |  |   |
|----------------------------|------------|--|---|---------|---|---------|--------------------|--------------|--|---|
| Stock                      |            |  |   |         |   |         |                    |              | Patrick  |   |
| Class A<br>Common<br>Stock |            |  |   |         |   | 1,390   |                    | I <u>(1)</u> | John<br>Connell as<br>Custodian<br>for Hewlette                |   |
| Class A<br>Common<br>Stock |            |  |   |         |   | 1,290   |                    | I <u>(1)</u> | John<br>Connell as<br>Custodian<br>for John<br>Patrick         |   |
| Class A<br>Common<br>Stock |            |  |   |         |   | 18,845  |                    | I <u>(2)</u> | By Yadkin<br>Valley<br>Company<br>and<br>subsidiary            |   |
| Class A<br>Common<br>Stock |            |  |   |         |   | 627     |                    | I <u>(2)</u> | By E&F<br>Properties,<br>Inc.                                  |   |
| Class A<br>Common<br>Stock |            |  |   |         |   | 8,214   |                    | I <u>(2)</u> | By Twin<br>States<br>Farming,<br>Inc.                          |   |
| Class A<br>Common<br>Stock |            |  |   |         |   | 146,699 |                    | I <u>(2)</u> | By Southern<br>BancShares<br>(N.C.), Inc.<br>and<br>subsidiary |   |
| Class A<br>Common<br>Stock | 01/28/2013 |  | J | 100,000 | A | \$ 0    | 100,000 <u>(3)</u> | I <u>(2)</u> | By Fidelity<br>BancShares<br>(N.C.), Inc.                      |   |
| Class B<br>Common<br>Stock |            |  |   |         |   |         | 100,828            |              | D  |   |
| Class B<br>Common<br>Stock |            |  |   |         |   |         | 1,225              |              | I  | As<br>beneficiary<br>of Trust           |
| Class B<br>Common<br>Stock |            |  |   |         |   |         | 1,751              |              | I <u>(1)</u>   | As custodian<br>for Hewlette<br>Connell |
| Class B<br>Common<br>Stock |            |  |   |         |   |         | 6,250              |              | I <u>(1)</u>   | Hewlette<br>Collier<br>Connell          |
| Class B<br>Common<br>Stock |            |  |   |         |   |         | 6,751              |              | I <u>(1)</u>   | As custodian<br>for John<br>Patrick     |

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|                            |  |        |              |  |
|----------------------------|--|--------|--------------|--|
| Class B<br>Common<br>Stock |  | 6,950  | I <u>(1)</u> | As custodian<br>for Elliot                                     |
| Class B<br>Common<br>Stock |  | 323    | I <u>(1)</u> | John<br>Connell as<br>custodian for<br>Hewlette                |
| Class B<br>Common<br>Stock |  | 323    | I <u>(1)</u> | John<br>Connell as<br>custodian for<br>John Patrick            |
| Class B<br>Common<br>Stock |  | 100    | I <u>(1)</u> | John<br>Connell as<br>custodian for<br>Elliot                  |
| Class B<br>Common<br>Stock |  | 1,900  | I <u>(2)</u> | By Yadkin<br>Valley<br>Company<br>and<br>subsidiary            |
| Class B<br>Common<br>Stock |  | 200    | I <u>(2)</u> | By E&F<br>Properties,<br>Inc.                                  |
| Class B<br>Common<br>Stock |  | 1,355  | I <u>(2)</u> | By Twin<br>States<br>Farming,<br>Inc.                          |
| Class B<br>Common<br>Stock |  | 22,619 | I <u>(2)</u> | By Southern<br>BancShares<br>(N.C.), Inc.<br>and<br>subsidiary |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2. Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4. Transaction<br>Code<br>(Instr. 8) | 5. Number<br>of<br>Derivative<br>Securities<br>Acquired | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) | 7. Title and<br>Amount of<br>Underlying<br>Securities<br>(Instr. 3 and 4) | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Nu<br>Deriv<br>Secur<br>Bene<br>Own<br>Follo |
|---|--|---|---|--------------------------------------|---|--|---|---|---|
|---|--|---|---|--------------------------------------|---|--|---|---|---|

(A) or  
Disposed  
of (D)  
(Instr. 3,  
4, and 5)

Repo  
Trans  
(Instr

| Code | V | (A) | (D) | Date<br>Exercisable | Expiration<br>Date | Title | Amount<br>or<br>Number<br>of<br>Shares |
|------|---|-----|-----|---------------------|--------------------|-------|--|
|------|---|-----|-----|---------------------|--------------------|-------|--|

## Reporting Owners

| Reporting Owner Name / Address                                   | Relationships |           |               |       |
|--|---------------|-----------|---------------|-------|
|  | Director      | 10% Owner | Officer       | Other |
| CONNELL HOPE HOLDING<br>4300 SIX FORKS ROAD<br>RALEIGH, NC 27609 | X             | X         | Vice Chairman |       |

## Signatures

Hope Holding Connell, By: William R. Lathan, Jr.,  
Attorney-in-fact

01/30/2013

\*\*Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The reporting person disclaims beneficial ownership of these securities, and this report shall not be deemed an admission that the  
(1) reporting person is the beneficial owner of such securities for purposes of Section 16 of the Securities Exchange Act of 1934 or for any other purpose.

The reporting person is a director, officer and/or shareholder of the companies that own these shares, but she disclaims beneficial  
(2) ownership of the listed shares, and this report shall not be deemed an admission that the reporting person is the beneficial owner of such securities for purposes of Section 16 of the Securities Exchange Act of 1934 or for any other purpose.

(3) On January 28, 2013, Ms. Connell became a director of the corporation that owns these shares.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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