GEORGE ROGER E

Form 4

February 22, 2012

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5

SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations

may continue. See Instruction

1(b).

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

GEORGE ROGER E

2. Issuer Name and Ticker or Trading

Symbol

ALIGN TECHNOLOGY INC

[ALGN]

(Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) 02/18/2012

C/O ALIGN TECHNOLOGY INC., 2560 ORCHARD PARKWAY

> (Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

OMB

Number:

Expires:

response...

OMB APPROVAL

Estimated average

burden hours per

3235-0287

January 31,

2005

0.5

Issuer

(Check all applicable)

Director 10% Owner X_ Officer (give title _ Other (specify

below)

VP, Legal Affairs & Gen. Coun

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

SAN JOSE, CA 95131

(City)	(State)	(Zip) Tabl	e I - Non-D)erivative	Secur	rities Acq	uired, Disposed o	f, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (Instr. 8)	4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5) (A) or			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	02/18/2012	02/18/2012	Code V	Amount 4,000	(D)	Price \$ 0	22,951 <u>(1)</u>	D	
Common Stock	02/18/2012	02/18/2012	F	1,468	D	\$ 27.22	21,483	D	
Common Stock	02/19/2012	02/19/2012	C	2,833	A	\$ 0	24,316	D	
Common Stock	02/19/2012	02/19/2012	F	1,040	D	\$ 27.22	23,276	D	
Common Stock	02/20/2012	02/20/2012	C	4,833	A	\$ 0	28,109	D	

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Common Stock 02/20/2012 02/20/2012 F 1,774 D \$ 26,335 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of or Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	' (A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Unit	\$ 0.0001	02/18/2012	02/18/2012	C		4,000	(3)	(3)	Common Stock	4,000
Restricted Stock Unit	\$ 0.0001	02/19/2012	02/19/2012	C		2,833	<u>(4)</u>	<u>(4)</u>	Common Stock	2,833
Restricted Stock Unit	\$ 0.0001	02/20/2012	02/20/2012	C		2,750	(5)	(5)	Common Stock	2,750
Restricted Stock Unit	\$ 0.0001 (2)	02/20/2012	02/20/2012	C		2,083	<u>(6)</u>	(6)	Common Stock	2,083
Restricted Stock Unit	\$ 0.0001 (2)	02/20/2012	02/20/2012	A	16,500		<u>(7)</u>	<u>(7)</u>	Common Stock	16,500
Market Stock Unit	\$ 0.0001	02/20/2012	02/20/2012	A	24,750 (8)		<u>(9)</u>	(9)	Common Stock	24,750 (8)

Reporting Owners

Reporting Owner Name / Address

Director 10% Owner Officer Other

GEORGE ROGER E C/O ALIGN TECHNOLOGY INC. 2560 ORCHARD PARKWAY SAN JOSE, CA 95131

VP, Legal Affairs & Gen. Coun

Reporting Owners 2

Signatures

/s/ Roger E. 02/22/2011 George

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 88 shares of ALGN common stock acquired thru the ALGN Employee Stock Purchase Plan on January 31, 2012.
- (2) Represents par value of ALGN common stock.
- 1/4th of the restricted stock unit granted on February 18, 2011became vested on February 18, 2012 and shares were delivered to reporting
- (3) person on February 21, 2012. The restricted stock unit will continue to vest annually and shares will be delivered to reporting person on each vest date.
 - 1/4th of the restricted stock unit granted on February 19, 2010 became vested on February 19, 2012 and shares were delivered to
- (4) reporting person on February 21, 2012. The restricted stock unit will continue to vest annually and shares will be delivered to reporting person on each vest date.
- (5) 1/4th of the restricted stock unit granted on February 20, 2008 became vested on February 20, 2012 and shares were delivered to reporting person on February 21, 2012.
- 1/4th of the restricted stock unit granted on February 20, 2009 became vested on February 20, 2012 and shares were delivered to reporting person on February 21, 2012. The restricted stock unit will continue to vest annually and shares will be delivered to reporting
- (6) reporting person on February 21, 2012. The restricted stock unit will continue to vest annually and shares will be delivered to reporting person on each vest date.
- (7) 1/4th of the restricted stock unit will become vested one year after the date of grant and 1/4th of the restricted stock unit will vest annually thereafter. Shares will be delivered to reporting person on each vest date.
- Represents the maximum number of shares which may be issued under the market stock unit. The actual number of shares to be issued on (8) each vest date will depend on the Issuer's stock price performance as compared to the NASDAQ Composite Index Performance calculated as of the vest date.
- (9) 100% of the shares which may be issued under the market stock unit will vest on the last day of the third year of the Performance Period (as defined in the market stock unit agreement).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3