

Gaynor Donard P
Form 3
October 06, 2011

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Â Gaynor Donard P

(Last) (First) (Middle)

BEAM INC.,Â 510 LAKE
COOK ROAD

(Street)

DEERFIELD,Â ILÂ 60015

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)

10/03/2011

3. Issuer Name **and** Ticker or Trading Symbol
BEAM INC [NYSE: BEAM]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

(Check all applicable)

___ Director ___ 10% Owner
X Officer ___ Other
(give title below) (specify below)
SVP Corporate Development

6. Individual or Joint/Group Filing(Check Applicable Line)
X Form filed by One Reporting Person
___ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities Beneficially Owned
(Instr. 4)

3. Ownership Form:
Direct (D)
or Indirect (I)
(Instr. 5)

4. Nature of Indirect Beneficial Ownership
(Instr. 5)

Beam Inc. Common Stock

1,797

D Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and Expiration Date
(Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security
(Instr. 4)
Title

4. Conversion or Exercise Price of Derivative Security

5. Ownership Form of Derivative Security:
Direct (D)

6. Nature of Indirect Beneficial Ownership
(Instr. 5)

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| | Date Exercisable | Expiration Date | | Amount or Number of Shares | | or Indirect (I) (Instr. 5) | |
|--|---------------------|--------------------|------------------------------|----------------------------------|----------|----------------------------------|---|
| Employee Stock Option (Right to Buy) ⁽¹⁾ | Â ⁽²⁾ | 09/29/2013 | Beam Inc. Common Stock | 38,224 | \$ 54.75 | D | Â |
| Employee Stock Option (Right to Buy) ⁽¹⁾ | Â ⁽³⁾ | 09/28/2014 | Beam Inc. Common Stock | 26,239 | \$ 68.89 | D | Â |
| Employee Stock Option (Right to Buy) ⁽¹⁾ | Â ⁽⁴⁾ | 09/27/2012 | Beam Inc. Common Stock | 30,000 | \$ 82.16 | D | Â |
| Employee Stock Option (Right to Buy) ⁽¹⁾ | Â ⁽⁵⁾ | 09/26/2013 | Beam Inc. Common Stock | 30,000 | \$ 74.39 | D | Â |
| Employee Stock Option (Right to Buy) ⁽¹⁾ | Â ⁽⁶⁾ | 09/24/2014 | Beam Inc. Common Stock | 30,000 | \$ 80.95 | D | Â |
| Employee Stock Option (Right to Buy) ⁽¹⁾ | Â ⁽⁷⁾ | 09/29/2015 | Beam Inc. Common Stock | 35,000 | \$ 57.01 | D | Â |
| Employee Stock Option (Right to Buy) ⁽¹⁾ | Â ⁽⁸⁾ | 09/30/2016 | Beam Inc. Common Stock | 23,333 | \$ 42.98 | D | Â |
| Employee Stock Option (Right to Buy) ⁽¹⁾ | Â ⁽⁹⁾ | 02/22/2017 | Beam Inc. Common Stock | 23,333 | \$ 43.67 | D | Â |
| Employee Stock Option (Right to Buy) ⁽¹⁾ | Â ⁽¹⁰⁾ | 02/22/2021 | Beam Inc. Common Stock | 20,000 | \$ 61.54 | D | Â |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|-----------------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| Gaynor Donard P BEAM INC. 510 LAKE COOK ROAD DEERFIELD, IL 60015 | Â | Â | Â SVP Corporate Development | Â |

Signatures

Todd M. Bloomquist, as Attorney-in-Fact for Donard P.
Gaynor

10/06/2011

 Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- As a result of the Issuer's spin-off of Fortune Brands Home & Security, Inc. on October 3, 2011, these securities are subject to an intrinsic value adjustment as described in the Issuer's Long-Term Incentive Plans and the Employee Matters Agreement dated as of September 28, 2011.
- (1) The options vested in three equal annual installments beginning on September 29, 2004.
 - (2) The options vested in three equal annual installments beginning on September 28, 2005.
 - (3) The options vested in three equal annual installments beginning on September 27, 2006.
 - (4) The options vested in three equal annual installments beginning on September 26, 2007.
 - (5) The options vested in three equal annual installments beginning on September 24, 2008.
 - (6) The options vested in three equal annual installments beginning on September 29, 2009.
 - (7) The options vested as to 11,667 shares on September 30, 2011 and will vest as to the remaining 11,666 shares on September 30, 2012.
 - (8) The options vest in two equal annual installments beginning on February 22, 2012.
 - (9) The options vest in three equal annual installments beginning on February 22, 2012.
 - (10)

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.