#### Edgar Filing: BROADWAY FINANCIAL CORP \DE\ - Form 4

#### BROADWAY FINANCIAL CORP \DE\

Form 4

December 05, 2007

Check this box

if no longer

subject to

Section 16.

Form 4 or

obligations

Form 5

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** 

**OMB APPROVAL** 

Number:

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. See Instruction

1(b).

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

(Last)

1. Name and Address of Reporting Person \*

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

ROBERTS VIRGIL P

Symbol **BROADWAY FINANCIAL CORP** 

(Check all applicable)

(Middle)

\DE\ [BYFC]

(Month/Day/Year)

3. Date of Earliest Transaction

X Director Officer (give title

10% Owner Other (specify

(First) 4800 WILSHIRE BOULEVARD

(Street)

11/30/2007

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year)

3.

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

I

Person

below)

LOS ANGELES, CA 90010

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

2. Transaction Date 2A. Deemed 1.Title of Security (Month/Day/Year) Execution Date, if (Instr. 3) (Month/Day/Year)

TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

4. Securities

5. Amount of Securities Beneficially Owned Following Reported

6. Ownership 7. Nature of Form: Direct Indirect Beneficial (D) or Indirect (I) Ownership (Instr. 4) (Instr. 4)

(A) or

Price

Transaction(s)

(Instr. 3 and 4)

Common Stock

11/30/2007

11/30/2007

Code V Amount

48

\$9 8,544

(D)

By VR and BR

Pension Fund (3)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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**SEC 1474** (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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8. Price of Derivative Security (Instr. 5)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	S		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Common Stock	<u>(1)</u>					<u>(4)</u>	<u>(4)</u>	Common Stock	8,496
Common Stock	(2)					<u>(4)</u>	<u>(4)</u>	Common Stock	1,838

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
Reporting Owner Numer Mudress	Director	10% Owner	Officer	Other		
ROBERTS VIRGIL P 4800 WILSHIRE BOULEVARD LOS ANGELES, CA 90010	X					

## **Signatures**

\s\ Daniele C. Johnson, Corporate Secretary, Attorney as-in-fact 12/05/2007

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Held by Virgil and Brenda Roberts Pension Plan
- (2) Held by Virgil Roberts.
- (3) 1838 held by Virgil Roberts personally.
- (4) The common stock may be exercised at any time, at the holder's election, and had no expiration date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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