Edgar Filing: BLOCH KATHLEEN B - Form 4

BLOCH KATHLE	EEN B									
Form 4										
November 02, 200)6									
FORM 4		~~ . ~~ ~	~~~~			~~~			PPROVAL	
		RITIES A	OMB Number:	3235-0287						
Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue.	STATEM Filed pur Section 17(Section 1 Public U	SECUI 16(a) of th Itility Hol	burden hou response	Estimated average burden hours per response 0.5					
See Instruction 1(b).		30(n)	of the fi	ivestmen	t Compar	y Act of 1	.940			
(Print or Type Respon	ses)									
1. Name and Address of Reporting Person <u>*</u> BLOCH KATHLEEN B			2. Issuer Name and Ticker or Trading Symbol ECHELON CORP [ELON]				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last) (H	First) (1	Middle)	3. Date of Earliest Transaction				(Che	ck all applicabl	e)	
550 MERIDIAN AVE			(Month/Day/Year) 10/31/2006				Director 10% Owner X Officer (give title Other (specify below) below) Sr. VP, Gen Counsl & Secretary			
(Street)			4. If Amendment, Date Original Filed(Month/Day/Year)			 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 				
SAN JOSE, CA 9	05126						Person	whole than one it	eporting	
(City) (S	State)	(Zip)	Tab	le I - Non-	Derivative	Securities A	cquired, Disposed o	of, or Beneficia	lly Owned	
	nsaction Date h/Day/Year)	2A. Deeme Execution any (Month/Da	Date, if	Code (Instr. 8)	4. Securit onAcquired Disposed (Instr. 3, 4 Amount	(A) or of (D)	Securities Beneficially Owned	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Reminder: Report on a	a separate line	for each cla	ass of sec	urities bene	ficially own	ned directly of	or indirectly.			
					Perso inform requir	ns who rest nation cont ed to respo sys a current	spond to the collect ained in this form ond unless the for ntly valid OMB con	are not m	SEC 1474 (9-02)	

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number of	6. Date Exercisable and	7. Title and Amount
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	orDerivative	Expiration Date	Underlying Securitie
Security	or Exercise		any	Code	Securities	(Month/Day/Year)	(Instr. 3 and 4)

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(Instr. 3)	Price of Derivative Security		(Month/Day/Year)	(Instr. 8	3)	Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)					
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amour or Numbe of Shar
Performance Shares	<u>(1)</u>	10/31/2006		А			8,750	(2)	10/31/2011	Common Stock	8,75
Stock Appreciation Right	\$ 8.43	10/31/2006		А		2	26,250	(3)	10/31/2011	Common Stock	26,25

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer		Other		
BLOCH KATHLEEN B 550 MERIDIAN AVE SAN JOSE, CA 95126			Sr. VP, Gen Co	unsl & Secretary			
Signatures							
/s/ C. Michael Marszewski, attorney-in-fact for Kathleen B. Bloch							
<u>**</u> Signature of Re	eporting Perso	on		Date			

**Signature of Reporting Person

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each performance share represents the right to receive one share of the Issur's Common Stock.
- Pursuant to the Issure's 1997 Stock Plan (the "Plan") the Reporting Person was granted 8,750 performance shares. 1/4th of such shares (2)will vest on October 31, 2007 and on each one year anniversary thereafter.
- Pursuant to the Plan the Reporting Person was granted stock appreciation rights with respect to 26,250 shares. 1/4th of such shares will (3) vest on October 31, 2007 and on each one year anniversary thereafter.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.