

FORTUNE BRANDS INC

Form 4

June 03, 2005

**FORM 4****UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**LOHMAN GORDON R**

(Last) (First) (Middle)

**FORTUNE BRANDS, INC., 300  
TOWER PARKWAY**

(Street)

**LINCOLNSHIRE, IL 60069**

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol

**FORTUNE BRANDS INC [FO]**3. Date of Earliest Transaction  
(Month/Day/Year)**06/01/2005**4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

☒ Director ☐ 10% Owner  
☐ Officer (give title below) ☐ Other (specify below)6. Individual or Joint/Group Filing(Check Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting Person**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed Execution Date, if any<br>(Month/Day/Year) | 3. Transaction Code<br>(Instr. 8) | 4. Securities Acquired (A) or Disposed of (D)<br>(Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned<br>Following Reported Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I)<br>(Instr. 4) | 7. Nature of Ownership<br>(Instr. 4) |
|------------------------------------|---|---|-----------------------------------|--|---|---|--------------------------------------|
| Common Stock, Par Value \$3.125    |   |   |                                   | (A) or (D) Price   | 1,500   | D   |                                      |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**SEC 1474  
(9-02)**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned  
(e.g., puts, calls, warrants, options, convertible securities)**

## Edgar Filing: FORTUNE BRANDS INC - Form 4

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2. Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4. Transaction<br>Code<br>(Instr. 8) | 5. Number<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3, 4,<br>and 5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                    | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) |  | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) |
|---|--|---|---|--------------------------------------|--|--|--------------------|---|--|---|
|   |  |   |   | Code                                 | V (A) (D)  | Date<br>Exercisable  | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares |   |
| Deferred<br>Stock                                   | \$ 86.28   | 06/01/2005                              |   | A <sup>(1)</sup>                     | 700  | <sup>(2)</sup>   | <sup>(2)</sup>     | Common<br>Stock   | 700                                    | \$ 86.2   |

## Reporting Owners

| Reporting Owner Name / Address   | Relationships                    |
|--|----------------------------------|
|  | Director 10% Owner Officer Other |
| LOHMAN GORDON R<br>FORTUNE BRANDS, INC.<br>300 TOWER PARKWAY<br>LINCOLNSHIRE, IL 60069 | X                                |

## Signatures

Mark A. Roche, Attorney-in-Fact for Gordon R.  
Lohman 06/03/2005

\_\_\_\_\_  
Signature of Reporting Person

\_\_\_\_\_  
Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Reflects the deferral by the undersigned of the receipt of 700 shares of common stock granted under the issuer's 2005 Non-Employee
- (1) Director Stock Plan. receipt of stock is deferred until the January following the calendar year in which the undersigned ceases to be a member of the Board of Directors.
- (2) There is no applicable exercisable and expiration date for this type of derivative security.

### Remarks:

On June 1, 2005, Mr. Lohman was granted 700 shares of the issuer's common stock under the issuer's 2005 Non-Employee Director Stock Plan. In this transaction, Mr. Lohman has the right to receive a total of 5,264 shares of the issuer's common stock, receipt of which he has deferred until the January following the calendar year in which he ceases to be a member of the Board of Directors. Mr. Lohman also has the right to receive common stock and options to purchase 22,217 shares of the issuer's common stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.