

CATALINA LIGHTING INC

Form 3

February 10, 2005

FORM 3**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB
Number: 3235-0104Expires: January 31,
2005Estimated average
burden hours per
response... 0.5**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF
SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

Â MARBLE STEPHEN G

(Last) (First) (Middle)

C/O CATALINA LIGHTING,
INC.,Â 18191 N.W. 68TH
AVENUE

(Street)

MIAMI,Â FLÂ 33015

(City) (State) (Zip)

2. Date of Event Requiring
Statement

(Month/Day/Year)

02/01/2005

3. Issuer Name **and** Ticker or Trading Symbol
CATALINA LIGHTING INC [CALA]4. Relationship of Reporting
Person(s) to Issuer5. If Amendment, Date Original
Filed(Month/Day/Year)

(Check all applicable)

☒ Director ☐ 10% Owner
☐ Officer ☐ Other
(give title below) (specify below)6. Individual or Joint/Group
Filing(Check Applicable Line)
☒ Form filed by One Reporting
Person
☐ Form filed by More than One
Reporting Person**Table I - Non-Derivative Securities Beneficially Owned**1. Title of Security
(Instr. 4)2. Amount of Securities
Beneficially Owned
(Instr. 4)3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)4. Nature of Indirect Beneficial
Ownership
(Instr. 5)Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of
information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.****Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**1. Title of Derivative Security
(Instr. 4)2. Date Exercisable and
Expiration Date
(Month/Day/Year)Date Expiration
Exercisable Date3. Title and Amount of
Securities Underlying
Derivative Security
(Instr. 4)Title Amount or
Number of4. Conversion
or Exercise
Price of
Derivative
Security5. Ownership
Form of
Derivative
Security:
Direct (D)6. Nature of Indirect
Beneficial Ownership
(Instr. 5)

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				Shares		or Indirect (I) (Instr. 5)	
Stock Option (Right to Buy)	Â (1)	12/09/2011	Common Stock	2,250	\$ 1.75	D	Â
Stock Option (Right to Buy)	Â (2)	10/22/2012	Common Stock	1,000	\$ 7.23	D	Â
Stock Option (Right to Buy)	Â (3)	11/03/2012	Common Stock	5,000	\$ 7.8	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
MARBLE STEPHEN G C/O CATALINA LIGHTING, INC. 18191 N.W. 68TH AVENUE MIAMI, FL 33015	Â X	Â	Â	Â

Signatures

/s/ Stephen G.
Marble 02/10/2005

__Signature of
Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The option to purchase 3,000 shares of common stock was originally granted on December 10, 2001 and provided that 25% of the options would vest on December 10, 2002 and the remainder would vest on an annual basis for the three years thereafter. The option was amended and effective as of January 1, 2004 so that 750 options were forfeited and the remainder of the options vested on December 10, 2004.

(2) The option to purchase 2,000 shares of common stock was originally granted on October 23, 2002 and provided that 25% of the options would vest on October 23, 2003 and the remainder would vest on an annual basis for the three years thereafter. The option was amended effective as of January 1, 2004 so that 1,000 options were forfeited and the remainder of the options vested on October 23, 2004.

(3) The option to purchase 10,000 shares of common stock was originally granted on November 4, 2002 and provided that 25% of the options would vest on November 4, 2003 and the remainder would vest on an annual basis for the three years thereafter. The option was amended effective as of January 1, 2004 so that 5,000 options were forfeited and the remainder of the options vested on November 4, 2004.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.