SCANLON PATRICK RONALD

Form 5

February 04, 2003

SEC Form 5

OMB APPROVAL FORM 5 UNITED STATES SECURITIES AND EXCHANGE **COMMISSION** [] Check this box if no Washington, D.C. 20549 longer subject to Section 16. Form 4 OMB Number: 3235-0362 or Form 5 obligations may Expires: January 31, 2005 ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP continue. Estimated average burden See Instruction 1(b). hours per response. 1.0 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section [] Form 3 Holdings 17(a) of the Public Utility Reported Holding Company Act of 1935 or Section 30(f) of the Investment Company Act of 1940 [] Form 4 Transactions Reported Name and Address of Reporting Person* 2. Issuer Name 4. Statement for 6. Relationship of Reporting Person(s) to Scanlon, Patrick and Ticker or Trading Symbol Month/Year (Check all applicable) American States Water 12/2002 (Last) (First) Director _ 10% Owner Company (Middle) X Officer (give title below) _ Other 630 East Foothill Boulevard (specify below) 3. I.R.S. Identification 5. If Amendment, Number of Reporting (Street) Date of Original Description Vice President Person, if an entity San Dimas, CA 91773 (Month/Year) (voluntary) 571-92-8683 7. Individual or Joint/Group (City) (State) (Zip) Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1. Title of Security 2.Transaction 2A. Deemed 4. Securities 5. Amount of 6. Owner-7. Nature of Execution Date, if Transaction (Instr. 3) Date Acquired (A) or Securities ship Indirect (Month/Day/Year) any Code Disposed Of (D) Beneficially Form: Beneficial (Month/Day/Year) (Instr. 8) Owned at end Direct (D) Ownership (Instr. 3, 4, and 5) Issuer's Fiscal Indirect (Instr. 4) Year (Instr. 3 and (Instr. 4) Amount A/D Price 1954.9292 / A / Common VAR P 5558.3343 ī 401K \$23.15 Common //\$ 519.0000 D

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)										
 Title of Derivative 	2. Conver- sion or	_	3A. Deemed	4. Transaction			7. Title and Amount of	8. Price of	Number of Derivative	10. Owner-
Security (Instr. 3)	Deri-	Date (Month/ Day/	Execution Date, if any	Code (Instr.8)	Securities	and Expiration Date(ED) (Month/Day/Year)	Underlying Securities (Instr. 3 and 4)	Derivative Security (Instr.5)	Securities Beneficially Owned at End of Year	ship Form of Deriv- ative

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	Security	Year)	(Month/ Day/ Year)		or Disposed Of (D) (Instr. 3, 4 and 5)	DE / ED	Title / Amount or Number of Shares	Reported Transaction(s) (Instr.4)	Securities: Direct (D) or Indirect (I) (Instr.4)
Stock Options	\$20.83	05/01/2000		Α	165 A	04/30/2001 / 04/30/2010	Common / 165	\$ 165	D
Stock Options	\$20.83	05/01/2000		Α	165 A	04/30/2002 / 04/30/2010	Common / 165	\$ 165	D
Stock Options	\$20.83	05/01/2000		Α	170 A	04/30/2003 / 04/30/2010	Common / 170	\$ 170	D
Stock Options	\$23.21	01/02/2001		Α	165 A	01/01/2002 / 01/01/2011	Common / 165	\$ 165	D
Stock Options	\$23.21	01/02/2001		Α	165 A	01/01/2003 / 01/01/2011	Common / 165	\$ 165	D
Stock Options	\$23.21	01/02/2001		Α	170 A	01/01/2004 / 01/01/2011	Common / 170	\$ 170	D
Stock Options	\$23.43	02/04/2002		A	247 A	02/03/2003 / 02/03/2012	Common / 247	\$ 247	D
Stock Options	\$23.43	02/04/2002		Α	248 A	02/03/2004 / 02/03/2012	Common / 248	\$ 248	D
Stock Options	\$23.43	02/04/2002		Α	255 A	02/03/2005 / 02/03/2012	Common / 255	\$ 255	D

Explanation of Responses:

Rv:	Date:	
Increase in stock is due to 3-for-2 Stock Split in June 2002.		

/s/ Patrick Scanlon 01/22/2003

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not

required to respond unless the form displays a currently valid OMB Number.

^{**} Signature of Reporting Person SEC 2270 (09-02)

^{*} If the form is filed by more than one reporting person, see Instruction 4(b)(v).

^{**} Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).