

Shaeff Julie  
Form 4  
May 09, 2018

**FORM 4** UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

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**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Shaeff Julie

2. Issuer Name and Ticker or Trading Symbol  
COMFORT SYSTEMS USA INC  
[FIX]

5. Relationship of Reporting Person(s) to Issuer  
  
(Check all applicable)  
  
 Director  10% Owner  
 Officer (give title below)  Other (specify below)  
Chief Accounting Officer

(Last) (First) (Middle)  
C/O COMFORT SYSTEMS USA,  
INC., 675 BERING DR. SUITE 400  
  
(Street)

3. Date of Earliest Transaction  
(Month/Day/Year)  
05/07/2018

HOUSTON, TX 77057

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
|                                 |                                      |  | Code                           | V   | Amount or Price   |  |                                   |
| Common Stock                    | 05/07/2018                           |  | M                              |   | 1,008 A \$ 11   |  | D                                 |
| Common Stock                    | 05/07/2018                           |  | M                              |   | 800 A \$ 12.46  |  | D                                 |
| Common Stock                    | 05/07/2018                           |  | M                              |   | 500 A \$ 13.87  |  | D                                 |
| Common Stock                    | 05/07/2018                           |  | M                              |   | 500 A \$ 11.21  |  | D                                 |
| Common Stock                    | 05/07/2018                           |  | M                              |   | 500 A \$ 13.86  |  | D                                 |

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|              |            |   |       |   |                        |        |   |
|--------------|------------|---|-------|---|------------------------|--------|---|
| Common Stock | 05/07/2018 | M | 1,000 | A | \$ 16.15               | 37,319 | D |
| Common Stock | 05/07/2018 | M | 2,000 | A | \$ 19.67               | 39,319 | D |
| Common Stock | 05/07/2018 | S | 9,719 | D | \$<br><u>(1)</u> 42.64 | 29,600 | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |                            |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|----------------------------|
|  |  |                                      |  | Code                           | V (A) (D)   | Date Exercisable Expiration Date                         | Title   | Amount or Number of Shares |
| Option to Buy                              | \$ 11  | 05/07/2018                           |  | M                              | 1,008   | 04/01/2012 <sup>(2)</sup> 03/26/2019                     | Common Stock  | 1,008                      |
| Option to Buy                              | \$ 12.46   | 05/07/2018                           |  | M                              | 800   | 04/01/2013 <sup>(3)</sup> 03/25/2020                     | Common Stock  | 800                        |
| Option to Buy                              | \$ 13.87   | 05/07/2018                           |  | M                              | 500   | 04/01/2014 <sup>(4)</sup> 03/24/2021                     | Common Stock  | 500                        |
| Option to Buy                              | \$ 11.21   | 05/07/2018                           |  | M                              | 500   | 04/01/2015 <sup>(5)</sup> 03/26/2022                     | Common Stock  | 500                        |
| Option to Buy                              | \$ 13.86   | 05/07/2018                           |  | M                              | 500   | 04/01/2016 <sup>(6)</sup> 03/20/2023                     | Common Stock  | 500                        |
| Option to Buy                              | \$ 16.15   | 05/07/2018                           |  | M                              | 1,000   | 04/01/2017 <sup>(7)</sup> 03/19/2024                     | Common Stock  | 1,000                      |
| Option to Buy                              | \$ 19.67   | 05/07/2018                           |  | M                              | 2,000   | 04/01/2018 <sup>(8)</sup> 03/25/2025                     | Common Stock  | 2,000                      |

## Reporting Owners

| Reporting Owner Name / Address   | Relationships |           |                          |       |
|--|---------------|-----------|--------------------------|-------|
|  | Director      | 10% Owner | Officer                  | Other |
| Shaeff Julie<br>C/O COMFORT SYSTEMS USA, INC.<br>675 BERING DR. SUITE 400<br>HOUSTON, TX 77057 |               |           | Chief Accounting Officer |       |

## Signatures

/s/ Trent T. McKenna, by power of attorney

05/09/2018

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Represents the average price of shares sold; full information regarding the number of shares sold and specific prices will be made available upon request to the Company's Office of the General Counsel.
- (2) Stock options were granted on 3/26/2009, vested equally over a three-year period, and were fully vested on 4/1/2012.
- (3) Stock options were granted on 3/25/2010, vested equally over a three-year period, and were fully vested on 4/1/2013.
- (4) Stock options were granted on 3/24/2011, vested equally over a three-year period, and were fully vested on 4/1/2014.
- (5) Stock options were granted on 3/26/2012, vested equally over a three-year period, and were fully vested on 4/1/2015.
- (6) Stock options were granted on 3/20/2013, vested equally over a three-year period, and were fully vested on 4/1/2016.
- (7) Stock options were granted on 3/19/2014, vested equally over a three-year period, and were fully vested on 4/1/2017.
- (8) Stock options were granted on 3/25/2015, vested equally over a three-year period, and were fully vested on 4/1/2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.