

HELEN OF TROY LTD

Form 4

May 04, 2016

FORM 4
UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Check this box
 if no longer
 subject to
 Section 16.
 Form 4 or
 Form 5
 obligations
 may continue.
See Instruction
 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
 SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
 Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
OPPENHEIM RICHARD J

(Last) (First) (Middle)

1 HELEN OF TROY PLAZA

(Street)

EL PASO, TX 79912

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
 Symbol
HELEN OF TROY LTD [HELE]

3. Date of Earliest Transaction
 (Month/Day/Year)
05/02/2016

4. If Amendment, Date Original
 Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
 Issuer

(Check all applicable)

____ Director ____ 10% Owner
☒ Officer (give title below) ____ Other (specify below)
 Controller

6. Individual or Joint/Group Filing(Check
 Applicable Line)
☒ Form filed by One Reporting Person
 ____ Form filed by More than One Reporting
 Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock, par value \$0.10 per share	05/02/2016		M ⁽¹⁾	750 A	\$ 34.72 831 ⁽³⁾	D	
Common Stock, par value \$0.10 per share	05/02/2016		S ⁽¹⁾	750 D	\$ 99.34 81	D	
Common Stock, par value \$0.10 per share	05/02/2016		M ⁽¹⁾	427 A	\$ 64.19 508	D	

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Common Stock, par value \$0.10 per share	05/02/2016	<u>S</u> ⁽¹⁾	427	D	\$ 99.34	81	D
Common Stock, par value \$0.10 per share	05/02/2016	<u>M</u> ⁽¹⁾	73	A	\$ 64.19	154	D
Common Stock, par value \$0.10 per share	05/02/2016	<u>S</u> ⁽¹⁾	73	D	\$ 99.34	81	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. P Der Sec (Ins		
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Employee Stock Option (Right to Buy)	\$ 34.72	05/02/2016		M		750		<u>(2)</u>	05/01/2022	Common Stock	750	\$
Employee Stock Option (Right to Buy)	\$ 64.19	05/02/2016		M		427		<u>(2)</u>	05/02/2024	Common Stock	473	\$
Employee Stock Option	\$ 64.19	05/02/2016		M		73		<u>(2)</u>	05/02/2024	Common Stock	73	\$

(Right to
Buy)

Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other
OPPENHEIM RICHARD J 1 HELEN OF TROY PLAZA EL PASO, TX 79912	Controller

Signatures

Vincent D. Carson as Attorney-In-Fact for Richard Oppenheim

05/04/2016

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales reported on this Form 4 were effected pursuant to Rule 10b5-1 trading plan adopted by the reporting person on October 2015.
- (2) The options vest over five years in increments of 10%, 15%, 20%, and 30%.
- (3) Total shares updated to reflect those issued through Employee Stock Purchase Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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