

CARLISLE COMPANIES INC

Form 4

May 02, 2016

# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
FORD STEVEN J

2. Issuer Name and Ticker or Trading Symbol  
CARLISLE COMPANIES INC  
[CSL]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)  
04/28/2016

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)  
V.P., Chief Financial Officer

C/O CARLISLE COMPANIES INCORPORATED, 11605 NORTH COMMUNITY HOUSE ROAD

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

CHARLOTTE, NC 28277

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V	Amount or Price		
Common Stock	04/28/2016		M		3,743 A \$ 34.21	112,556	D
Common Stock	04/28/2016		S		3,743 D \$ 102.71	108,813	D
Common Stock	04/28/2016		M		2,648 A \$ 38.31	111,461	D
Common Stock	04/28/2016		S		2,648 D \$ 102.76	108,813	D

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(2)

Common Stock	04/28/2016	M	10,509	A	\$ 38.31	119,322	D
Common Stock	04/28/2016	S	10,509	D	\$ 104.06	108,813	D
Common Stock	04/28/2016	M	7,668	A	\$ 38.31	116,481	D
Common Stock	04/28/2016	S	7,668	D	\$ 105.08	108,813	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	Amount or Number of Shares
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title
Common Stock Options (5)	\$ 34.21	04/28/2016		M	3,743	(6) 02/01/2020	Common Stock	3,743
Common Stock Options (5)	\$ 38.31	04/28/2016		M	20,825	(7) 02/02/2021	Common Stock	20,825

## Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other  
V.P., Chief Financial Officer

FORD STEVEN J  
C/O CARLISLE COMPANIES INCORPORATED  
11605 NORTH COMMUNITY HOUSE ROAD  
CHARLOTTE, NC 28277

## Signatures

Steven J. Ford

05/02/2016

\*\*Signature of  
Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
  - (1) This transaction was executed in multiple trades at prices ranging from \$102.67 to \$102.74. The price reported above reflects the weighted average sales price. Mr. Ford hereby undertakes to provide to the SEC staff, the issuer or a security holder of the issuer, upon request, full information regarding the number of shares sold at each separate price.

This transaction was executed in multiple trades at prices ranging from \$102.74 to \$102.82. The price reported above reflects the weighted average sales price. Mr. Ford hereby undertakes to provide to the SEC staff, the issuer or a security holder of the issuer, upon request, full information regarding the number of shares sold at each separate price.
  - (2) This transaction was executed in multiple trades at prices ranging from \$104.00 to \$104.20. The price reported above reflects the weighted average sales price. Mr. Ford hereby undertakes to provide to the SEC staff, the issuer or a security holder of the issuer, upon request, full information regarding the number of shares sold at each separate price.
  - (3) This transaction was executed in multiple trades at prices ranging from \$105.00 to \$105.43. The price reported above reflects the weighted average sales price. Mr. Ford hereby undertakes to provide to the SEC staff, the issuer or a security holder of the issuer, upon request, full information regarding the number of shares sold at each separate price.
  - (4) Right to buy.
  - (5) 7,083 options exercisable on each of February 2, 2011 and February 2, 2012, and 7,084 options exercisable on February 2, 2013, cumulatively.
  - (6) 6,942 options exercisable on each of February 3, 2012 and February 3, 2013, and 6,941 options exercisable on February 3, 2014, cumulatively.
  - (7) The transaction is the exercise of a derivative security.
  - (8)

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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